



## **Final Draft of the original manuscript**

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0.41Zr-0.29Zn alloy.**

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# Influences of Al and high shearing dispersion technique on the microstructure and creep resistance of Mg-2.85Nd-0.92Gd-0.41Zr-0.29Zn alloy

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## Abstract

Effects of 0.25% Al addition and the high shearing dispersion technique (HSDT) on the microstructure and creep resistance of Mg-2.85Nd-0.92Gd-0.41Zr-0.29Zn (Elektron21, E121) alloy were investigated. Compressive creep tests were performed at 240 °C over a stress range between 80-140 MPa. The results indicate that the creep resistance of E121 was significantly improved by about one order of magnitude with the addition of 0.25% Al and HSDT than that of E121 without Al and HSDT. Microstructural characterizations show that the grains were coarsened from  $80.1 \pm 5.0$  to  $167.0 \pm 5.7$   $\mu\text{m}$  due to the loss of grain refiner Zr by the chemical reaction of Al with Zr. With the addition of 0.25% Al to E121, the grain morphology was changed from equiaxed to typical dendrite. The morphology of intermetallic  $\text{Mg}_3\text{RE}$  was modified from network to a pronounced dendritic structure. Owing to the employment of HSDT, the dendrite arm spacing of primary  $\alpha$ -Mg was refined from  $74.0 \pm 6.4$  to  $56.2 \pm 1.6$   $\mu\text{m}$  in Al-containing E121 alloy, the dominant Al-Zr compound changed from  $\text{Al}_2\text{Zr}_3$  to  $\text{Al}_2\text{Zr}$  phase. A small amount of  $\text{Al}_2\text{Nd}$  phase was formed. Creep data analysis indicates that the dominant controlling mechanism for H-E121A alloy is dislocation climb during creep deformation. Its superior creep resistance was mainly attributed to the pronounced and dense dendritic structure of  $\text{Mg}_3\text{RE}$  intermetallic in the  $\alpha$ -Mg hindering the grain boundary sliding and dislocation movement. In addition, the relative homogeneous dispersion of  $\text{Al}_2\text{Zr}$  phase and  $\text{Al}_2\text{Nd}$  phase with a high melting point can also act as efficient reinforcements to inhibit the dislocation movement and benefit the creep properties.

Key words: Magnesium, Elektron21, Creep, high shearing, Aluminium

# 1. Introduction

Owing to the light weight, good castability and high specific strength, magnesium (Mg) alloys are becoming the focus of interest in many application fields, such as aircrafts, automobiles and commercial electrical products [1-3]. They are beneficial especially for conserving energy, reducing weight and increasing fuel efficiency in the engineering applications [4]. In addition to their remarkable structural properties, Mg alloys also have a good electromagnetic shielding property with those additions of Y, Ce and/or Cu [5-7]. However, creep resistance, as an important criterion to evaluate the dimensional stability at elevated temperatures, is relatively poor for Mg alloys, which limits their wider applications in powertrain applications in a temperature regime above 150 °C [8].

Alloying was often regarded as a promising way to improve the creep resistance of Mg alloys, but its influences are diverse and uncertain. For example, with the addition of Al element in Mg alloys, such as the most commonly used alloy AZ91 (Mg-9Al-1Zn) and AM60 (Mg-6Al-0.3Mn), they often exhibit inferior creep resistance due to the occurrence of low thermal stability phase  $Mg_{17}Al_{12}$  in Mg matrix [9, 10]. The additions of rare earth (RE) elements, such as Nd, Gd and Y, were widely reported as an effective way to improve the creep resistance of Mg alloys. Zhu et al. [11] reported that Mg-Nd alloy exhibited better creep resistance compared with Mg-La and Mg-Ce binary alloys due to the supersaturation of Nd element in the  $\alpha$ -Mg matrix. Suzuki et al. [12] found that the creep properties of Mg were significantly enhanced with the addition of Y as compared with Al and Mn, which was mainly due to the forest dislocation-hardening and dynamic precipitation during creep. Besides, the combination of Al and RE addition in Mg alloys, including AE41 (Mg-4Al-1RE) [13], AE42 (Mg-4Al-2RE) [14], and AE44 (Mg-4Al-4RE) [15], always shows a superior improvement of creep resistance due to the reduction of  $Mg_{17}Al_{12}$  phase fraction and the occurrence of Al-RE phase with a high thermal stability. These Mg-Al-RE alloys are usually Zr-free alloys because Al is commonly regarded as the poisoning element for Zr, which interferes grain refinement owing to the formation Al-Zr compound. Nevertheless, Kabirian et al. [16] reported that with the addition of Zr in the range of 0.2-1.0 wt.% in AZ91, its creep resistance was improved apparently due to the formation of  $Al_2Zr$  and  $Al_3Zr_2$  intermetallics, which can act as thermal stable barriers to oppose the recovery process. This report implies that the coexistence of Al and Zr in Mg-based alloy might also have positive effect to enhance the creep properties. Katsarou et al. [17] reported that by adding the mixture of Al and ceramic AlN nanoparticles in Zr-containing Mg-RE alloy (Elektron21, Mg-2.8Nd-1.2Gd-0.4Zr-0.3Zn, wt. %), its creep resistance was

1 significantly improved. Further report from Daudin et al. [18] only researched on the key  
2 mechanisms from AlN nanoparticles in improving the creep properties of Elektron21 (E121),  
3 but the influences from Al was not yet totally unraveled. Indeed the true detailed effects from  
4 Al element on the creep resistance, microstructural modification, and strengthening  
5 mechanisms of E121 alloy still requires further investigations.

6 The solidification process and microstructures of the Mg alloys are also influenced by the  
7 stirring treatment prior to solidification. Zhao et al. [19] found that with the ultrasonic treatment,  
8 the grain size of Mg-3% Al-1% Zn-0.8% Ce-0.3% Mn alloy was decreased from 136.3 to  
9 44.7  $\mu\text{m}$  compared with non-ultrasonic treated alloy. Its intermetallic MgAlCeMn was also  
10 modified from needle-like to a more globular shape. Recently, a high shearing dispersion  
11 technique (HSDT), which was invented by BCAST in Brunel university, was applied to stir the  
12 melt before solidification [20]. Zhu et al. [21] reported that the high shearing rate introduced  
13 by HSDT can lead to a significant grain refinement for AZ91D alloy.

14 In the present paper, the influence of HSDT before solidification on the microstructural  
15 evolutions was investigated. HSDT was used to prepared E121+0.25 wt.% Al alloy. For  
16 comparison, non-high sheared E121, non-high sheared 0.25% Al-containing E121 alloy and  
17 high-sheared E121 alloy were also produced, respectively. Their creep resistances and  
18 microstructures were examined. The responsible mechanisms were discussed.

## 19 2. Experimental material and procedures

20 Commercial magnesium alloy E121 with a composition of Mg-2.85Nd-0.92Gd-0.41Zr-  
21 0.29Zn (all compositions are in wt.% unless specified), provided by Magnesium Elektron Ltd  
22 at Manchester, UK, was selected as a master alloy. E121 ingots with a weight of 12 kg were  
23 molten at 720 °C in a mild steel crucible under a mixed protective gas (Ar+1 vol. % SF<sub>6</sub>). 0.25%  
24 pure Al was added in the melt at 720 °C and then the melt was subjected to intensive shearing  
25 by a  $\Phi$  67.5 mm rotor-stator mixer at a speed of 3000 rpm for 1 min. Detailed illustration of  
26 the high shearing dispersion technique (HSDT) and its principle can be found elsewhere [22-  
27 25]. After high shearing, the melt with a weight of about 3 kg was poured into a cylindrical  
28 mould that was preheated to 450 °C and held in a three-zone resistance ring furnace at a  
29 constant temperature of 670 °C for 5 min. Then the crucible with the melt was lowered into a  
30 water bath at a rate of 100 mm/ min. Similarly, high-sheared E121 (H-E121) base alloy was also  
31 prepared using the above process. For comparison, non-high sheared E121 (NH-E121) and non-  
32 high sheared E121+0.25% Al alloy (NH-E121A) were also fabricated. Detailed casting

1 parameters based on the above procedures are listed in **Table 1**. The solidification behaviour  
 2 of investigated alloys was predicted by Pandat software with the thermodynamic database  
 3 PanMg 2017. The thermodynamic calculations were performed based on Scheil's model [22].

4 **Table 1.** Casting parameters, grain size and hardness of NH-EI21, H-EI21, NH-EI21A and HS-  
 5 EI21A alloy.

Materials	Composition	High-shearing speed/ rpm	High-shearing time/ min	Grain size/ $\mu\text{m}$	Hardness/ HV5
NH-EI21	EI21	-	-	80.1 $\pm$ 5.0	45.0 $\pm$ 1.2
H-EI21	EI21	3000	1	59.4 $\pm$ 1.7	43.7 $\pm$ 1.8
NH-EI21A	EI21+0.25% Al	-	-	151.3 $\pm$ 4.0	50.8 $\pm$ 2.5
H-EI21A	EI21+0.25% Al	3000	1	167.0 $\pm$ 5.7	48.2 $\pm$ 2.0

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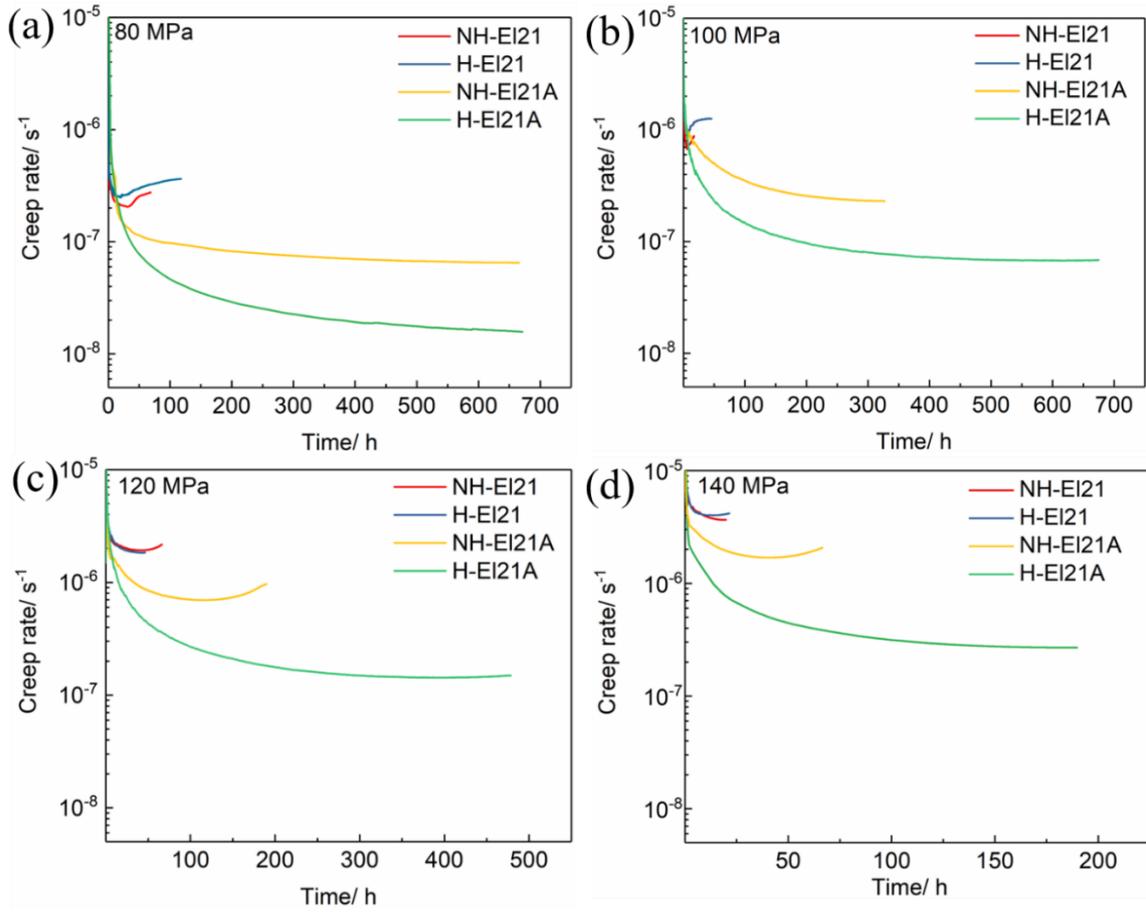
8 Compression creep samples with 15 mm gauge length, 6 mm in diameter were tested at  
 9 240 °C and a load from 80 to 140 MPa. Creep tests were performed at Applied Test Systems  
 10 (ATS) lever arm creep test systems. Two thermocouples with an accuracy of  $\pm 1$  °C were used  
 11 to measure the working temperature. The creep strain of the specimens was recorded by an  
 12 extensometer connecting with the measuring unit. The creep tests were stopped once the  
 13 minimum creep rate was achieved.

14 The specimens for optical microscopy (OM) were ground with silicon carbide emery paper  
 15 up to 2500 grit and then polished using a diamond suspension (1  $\mu\text{m}$ ) and colloidal silica (OPS,  
 16 0.05  $\mu\text{m}$ ). The polished surface was etched in a solution of 8 g picric acid, 5 ml acetic acid, 10  
 17 ml distilled water and 100 ml ethanol. Optical microstructures were characterized using a Leica  
 18 DMI5000 with a digital camera. The average grain size was measured by the linear intercept  
 19 method [26]. Microstructural observations were performed by a scanning electron microscope  
 20 (SEM) equipped with Tescan energy dispersive X-ray (EDX) spectrometer (TESCAN  
 21 VEGA3-SB, Brno, Czech Republic). Phase analyses were performed on the high-energy X-ray  
 22 beamline HEMS P07B at PETRA III (DESY, Hamburg) with a wave length  $\lambda=0.01424$  nm.  
 23 The thin foils for further transmission electron microscopy (TEM) characterization were  
 24 prepared by a 30 keV Ga $\uparrow$  focused ion beam (FIB) in a Nova-200 dual-beam SEM. TEM  
 25 examinations were characterized by a Philips CM 200 (FEI Company, Netherlands) equipped  
 26 with an EDS system operating at 200 kV.

## 3. Results

### 3.1 Creep properties

The typical creep rate curves of four alloys tested at 240 °C under various applied stresses from 80-140 MPa are shown in **Fig. 1**. The creep rates were calculated by taking the time derivative from creep strain. It can be seen that the creep rates reduce rapidly during the primary creep stage and then experience a steady-state region for all alloys. The minimum creep rates of NH-EI21 and H-EI21 alloys are much higher than that of NH-EI21A and H-EI21A alloys under all applied stresses, demonstrating that the addition of 0.25% Al can enhance the creep resistances of EI21 alloy. The creep curves of NH-EI21 and H-EI21 alloys are very close, implying that only fabricating with HSDT has no obvious positive effects on the creep properties of EI21. However, the creep rates of H-EI21A are obviously lower than that of NH-EI21A under the loads from 80-140 MPa. It exhibits a superior creep resistance by about more than half an order of magnitude than that of NH-EI21A alloy without HSDT (**Table 2**). This indicates that HSDT can enhance the creep resistance only when Al was added in EI21 alloy. Among these four alloys, H-EI21A alloy has the best creep resistance under the stress from 80-140 MPa (**Fig. 1**). With the assistance of HSDT, the minimum creep rate of H-EI21A under 140 MPa ( $2.68 \times 10^{-7} \text{ s}^{-1}$ ) exhibits about one order of magnitude lower than that of NH-EI21 alloy ( $3.68 \times 10^{-6} \text{ s}^{-1}$ ) and H-EI21 alloy ( $4.01 \times 10^{-6} \text{ s}^{-1}$ ) (**Table 2**).



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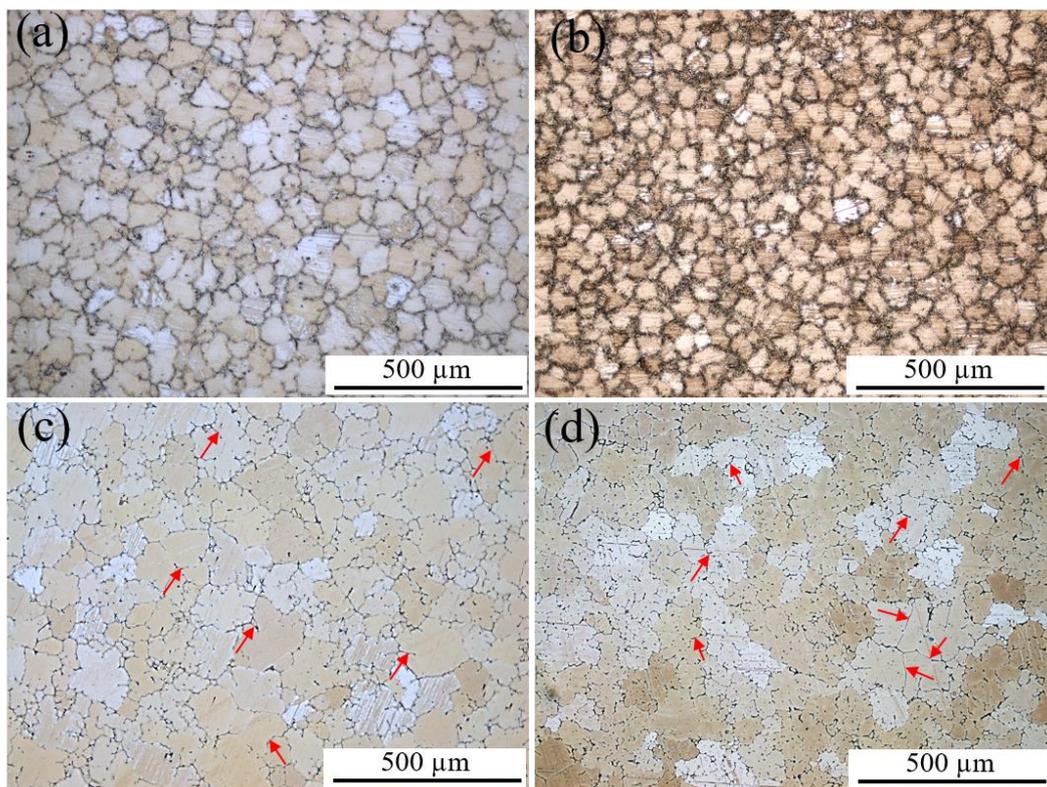
**Fig. 1.** Creep rate over time for NH-EI21, H-EI21, NH-EI21A and H-EI21A at 240 °C and under applied stresses of (a) 80 MPa, (b) 100 MPa, (c) 120 MPa and (d) 140 MPa.

**Table 2.** Minimum creep rates at different applied stresses.

Materials	Stress/ MPa	$\dot{\epsilon}/ s^{-1}$
NH-EI21	80	$2.06 \times 10^{-7}$
	100	$6.87 \times 10^{-7}$
	120	$1.88 \times 10^{-6}$
	140	$3.68 \times 10^{-6}$
H-EI21	80	$2.5 \times 10^{-7}$
	100	$9.25 \times 10^{-7}$
	120	$1.83 \times 10^{-6}$
	140	$4.01 \times 10^{-6}$
NH-EI21A	80	$6.57 \times 10^{-8}$
	100	$2.31 \times 10^{-7}$
	120	$6.95 \times 10^{-7}$
	140	$1.68 \times 10^{-6}$
H-EI21A	80	$1.56 \times 10^{-8}$
	100	$6.71 \times 10^{-8}$
	120	$1.47 \times 10^{-7}$
	140	$2.68 \times 10^{-7}$

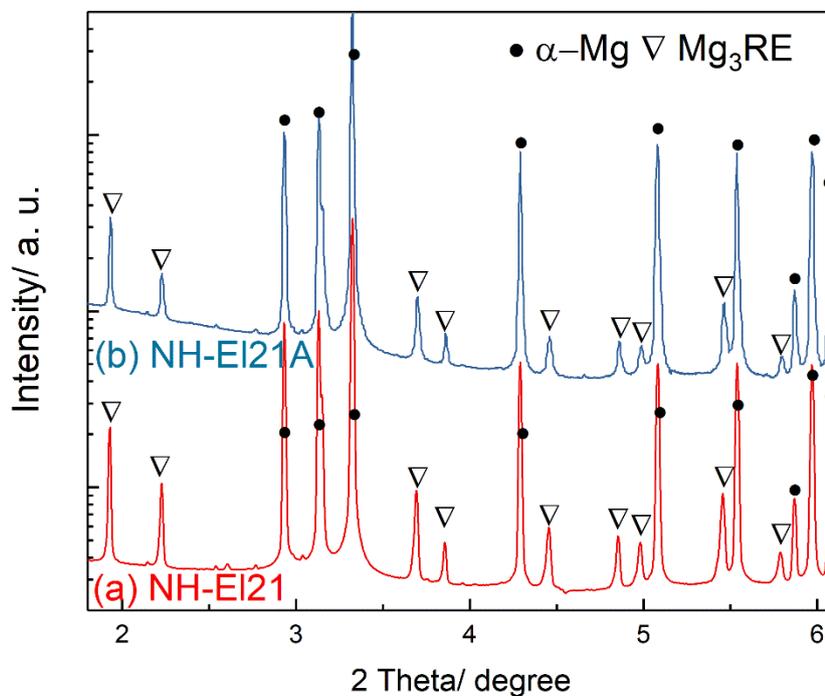
### 3.2 Microstructural characterizations

**Fig. 2** shows the optical microstructures of NH-EI21, H-EI21, NH-EI21A and H-EI21A alloys, respectively. The grain sizes and hardness of four alloys are listed in **Table 1**. NH-EI21 alloy shows equiaxed grains with an average grain size of  $80.1 \pm 5.0 \mu\text{m}$  (**Fig. 2(a)**). With the assistance of HSDT, the grain size decreases to an average size of  $59.4 \pm 1.7 \mu\text{m}$  (**Fig. 2(b)**). After adding 0.25% Al to EI21 alloy, the morphology of grains was transformed from equiaxed to typical dendritic grains (**Fig. 2 (c-d)**). Compared with NH-EI21 and H-EI21 alloys, these Al-containing EI21 alloys exhibit coarsening grains in **Fig. 2(c-d)** ( $151.3 \pm 4.0$  and  $167.0 \pm 5.7 \mu\text{m}$ ). Moreover, with the assistance of HSDT, the dendrite arms in H-EI21A are more pronounced in the  $\alpha$ -Mg regions than that in NH-EI21A alloy (red arrows in **Fig. 2(c-d)**). This phenomenon reveals that the addition of 0.25% Al could influence the morphology of grains and the growth process of dendritic arms.



**Fig. 2.** Optical microstructures of as cast (a) NH-EI21, (b) H-EI21, (c) NH-EI21A and (d) H-EI21A alloys.

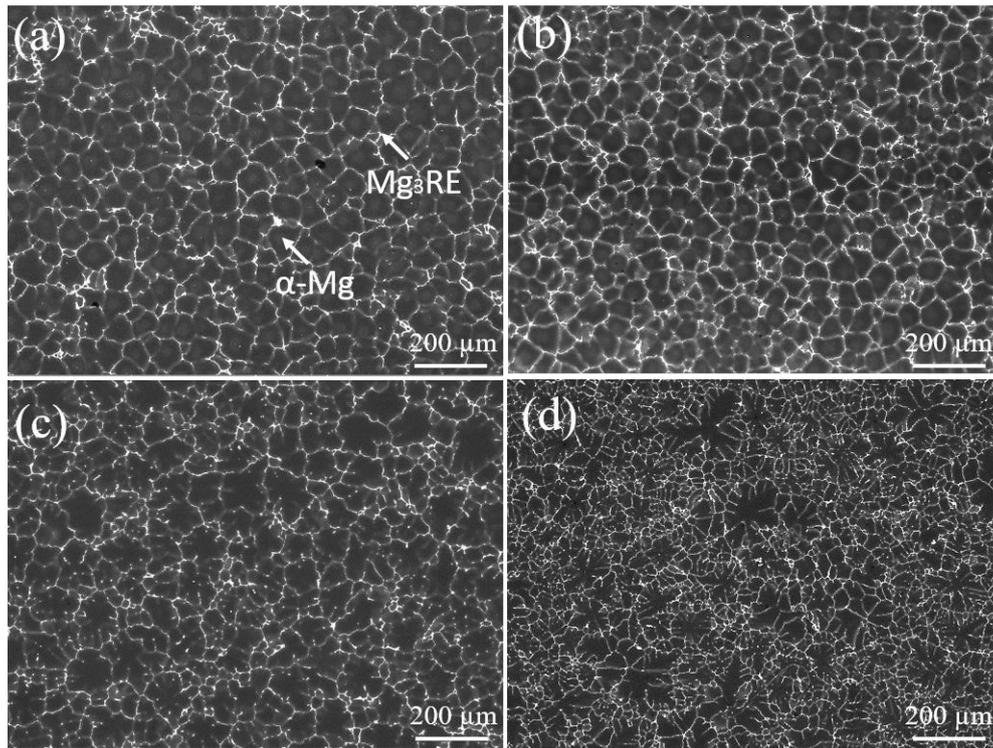
1 The high-energy X-ray diffraction (XRD) patterns were shown in **Fig. 3**. In addition to the  
2 typical  $\alpha$ -Mg peaks, the peaks corresponding to  $Mg_3RE$  phase is also observed (**Fig. 3(a)**),  
3 indicating  $Mg_3RE$  phase is the dominant intermetallic phase in E121 alloy. There are also a few  
4 very low peaks between 2-3  $^\circ$ , which might be identified as  $Mg_5Gd$  phases. With the addition  
5 of 0.25% Al, no additional peak was detected (**Fig. 3(b)**). However, it cannot be excluded that  
6 some additional phases were possibly formed after the addition of 0.25% Al. Due to the slight  
7 content of Al, it may still be difficult for high-energy XRD to detect such very small amount  
8 of phases. The morphologies of intermetallics were observed using BSE imaging technique  
9 (**Fig. 4**). NH-E121 and H-E121 alloys exhibit similar morphologies of network intermetallics  
10 with primary  $\alpha$ -Mg and white eutectic  $Mg_3RE$  (**Fig. 4 (a)** and (b)). Due to the very low intensity  
11 of the peaks in **Fig. 3** for  $Mg_5Gd$  phases, they may combined together with  $Mg_3RE$  and make  
12 it hard to identify them separately. Herein the intermetallics are all considered as  $Mg_3RE$  phases.  
13 With the addition of 0.25% Al, the morphology of intermetallics was changed to a continuous  
14 network with apparent dendritic arms (**Fig. 4 (c)**). In particular, the H-E121A alloy with high  
15 shearing shows more pronounced dendritic arms than NH-E121A alloy (**Fig. 4 (d)**). The  
16 distribution of intermetallics in H-E121A alloy is obviously denser than that in NH-E121A alloy.



17

18 **Fig. 3.** High-energy XRD patterns of the as cast (a) NH-E121 and (b) NH-E121A.

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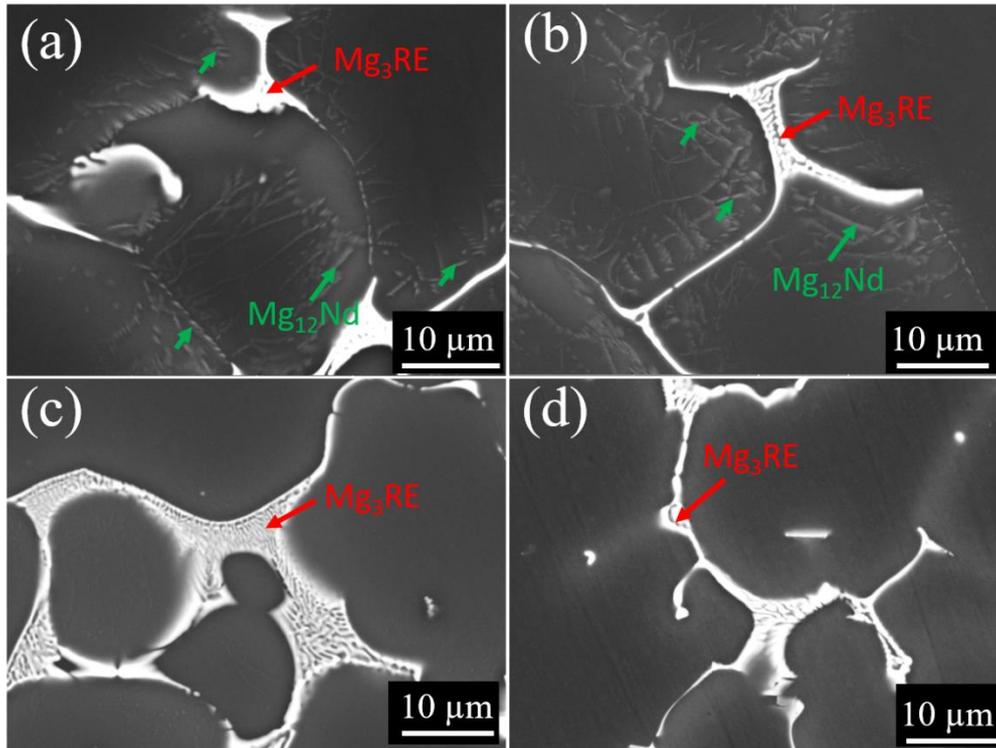
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3 **Fig. 4.** Back scattered electron (BSE) SEM images of as cast (a) NH-EI21, (b) H-EI21, (c)  
4 NH-EI21A and (d) H-EI21A alloys.

5

6 Besides the eutectic phase, many lath-like precipitates were observed near the eutectic in  
7 NH-EI21 and H-EI21 alloys (green arrows in **Fig. 5(a)** and (b)). Due to the slight content of  
8 these precipitates, it is difficult to identify them by high-energy XRD. Liu et al. [27] confirmed  
9 these lath-like precipitates were  $Mg_{12}Nd$  with a tetragonal structure using TEM. These  $Mg_{12}Nd$   
10 precipitates are commonly formed in Mg-Nd based alloys, which is associated with the  
11 supersaturated solid solution of Nd element [28, 29]. However, such precipitates can hardly be  
12 detected in NH-EI21A and H-EI21A alloy (**Fig. 5(c-d)**). This demonstrates that the formation  
13 of  $Mg_{12}Nd$  precipitates was suppressed by adding Al in combination with HSDT. The existence  
14 of Al element and HSDT played an important role in influencing the formation of second  
15 phases.

16



1

2 **Fig. 5.** BSE images of as cast (a) NH-EI21, (b) H-EI21, (c) NH-EI21A and (d) H-EI21A  
 3 alloys.

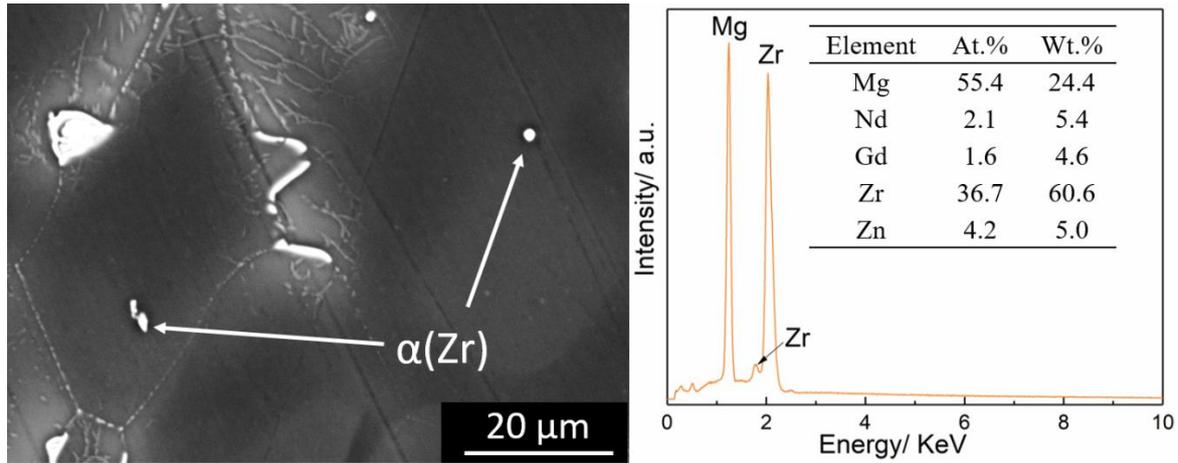
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### 5 3.3 Phase identifications

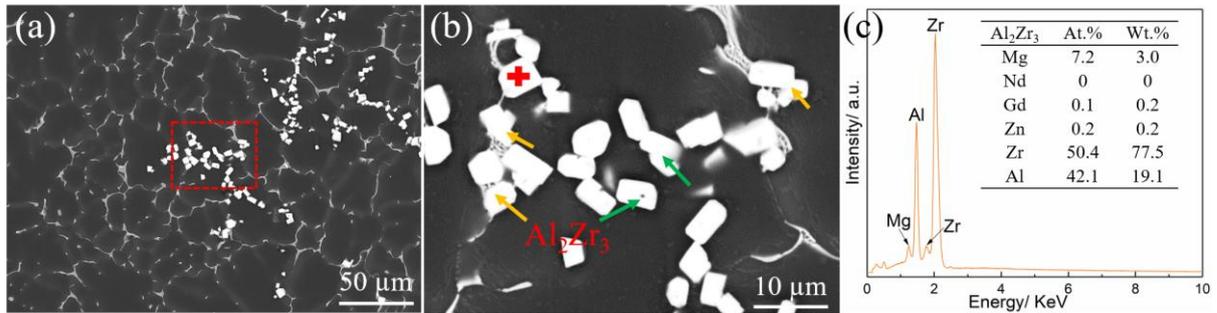
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7 The bright dotted particles located in the centre of  $\alpha$ -Mg grains were identified to be  $\alpha$ (Zr)  
 8 phase, which shows a high concentration of Zr using EDS analysis (**Fig. 6**). With the addition  
 9 of 0.25% Al in EI21 alloy, besides those network intermetallics, many bright block-shaped  
 10 particles were observed in NH-EI21A alloy **Fig. 7(a)**). They agglomerated as clusters in the  
 11 matrix. Some of these block-shaped particles are located inside the  $\alpha$ -Mg grains (green arrow).  
 12 Some of them were pushed to the solid-liquid interface during solidification and connected  
 13 with eutectic phases (yellow arrow). The corresponding EDS result of the block-shaped particle  
 14 (red cross position in (b)) reveals that it is mainly comprised of Al and Zr elements (**Fig. 7(c)**).  
 15 It is widely reported that Al is prone to react with Zr to form the stable intermediate phase such  
 16 as  $\text{Al}_3\text{Zr}$  [30, 31],  $\text{Al}_2\text{Zr}_3$  [32-34] and  $\text{Al}_2\text{Zr}$  [35, 36]. Based on the statistical analyses of 20  
 17 EDS quantitative points, the average ratio of Zr to Al was obtained as  $1.22 \pm 0.03$ , which is close  
 18 to the  $\text{Al}_2\text{Zr}_3$  phase ratio (Zr/Al=1.5). Tamim [37] proposed that  $\text{Al}_2\text{Zr}_3$  phase has a tetragonal  
 19 crystal structure.

20



1  
2 **Fig. 6.** BSE images and the corresponding EDS result of as cast NH-EI21 alloy.



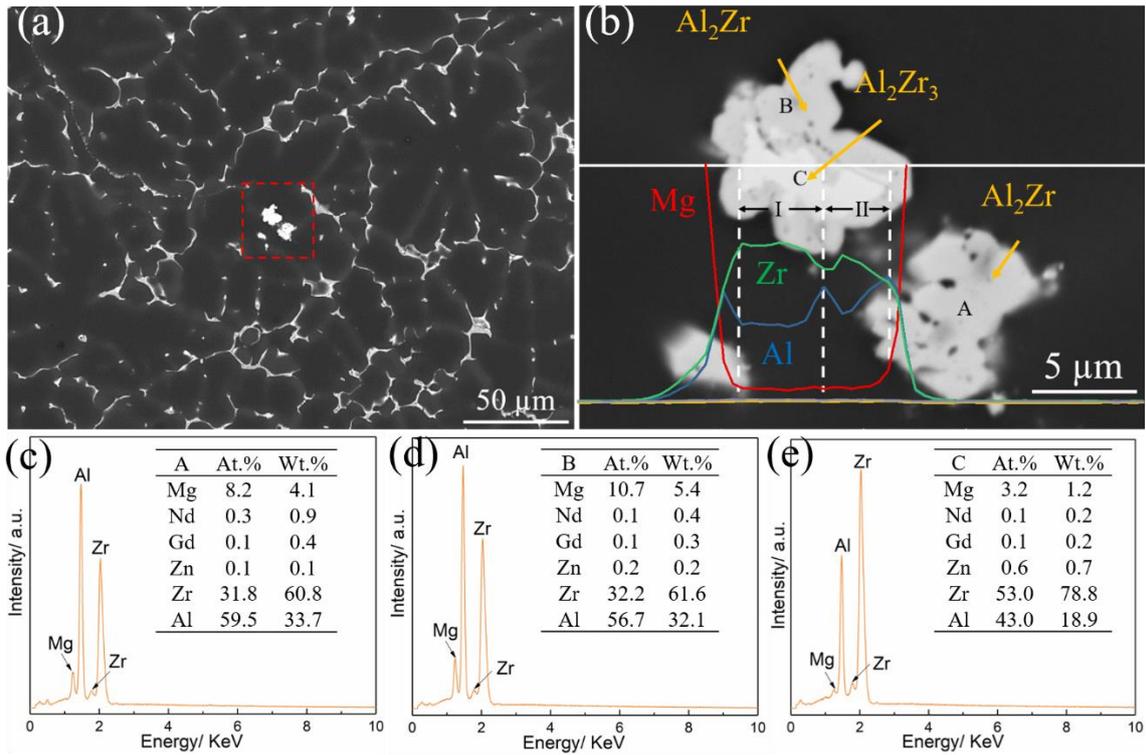
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4 **Fig. 7.** BSE images of as cast (a) NH-EI21A alloy, (b) magnified image for the rectangular  
5 position in (a) and (c) the corresponding EDS result for the position in (b).

6  
7 The microstructures of H-EI21A are shown in **Fig. 8**. Unlike the Al<sub>2</sub>Zr<sub>3</sub> phase in H-EI21A,  
8 the agglomerations of bright particles was relatively decreased inside H-EI21A alloy after  
9 HSdT, which were observed separately inside the grains (**Fig. 8(a)**). The shape of these bright  
10 particles (particulates) are different from that of those particles (block) in NH-EI21A alloy (**Fig.**  
11 **7(b)** and **Fig. 8(b)**). EDS analyses in **Fig. 8(c)** indicates that this particulate phase is mainly  
12 made up of Al and Zr (A area in **Fig. 8(b)**). This similar morphology of Al-Zr phase was also  
13 characterized by Lakshman et al. [38] using SEM. In **Fig. 8(c)**, the atomic content of Al is  
14 higher than that of Zr. Quantitative calculations with 20 EDS points unraveled the average ratio  
15 of Zr to Al is 0.56±0.02. Hence, the particle at point A was identified as Al<sub>2</sub>Zr phase  
16 (Zr/Al=0.5). Next to the particle A, the particles B and C with dark grey and light grey were  
17 also identified, respectively (**Fig. 8(b)**). The corresponding EDS analyses exhibited that both  
18 particles B and C have high concentrations of Al and Zr. The only difference is that particle B  
19 is an Al-rich phase and particle C is a Zr-rich phase (**Fig. 8(d)** and (e)). In addition, the EDS  
20 line-scan analysis also shows that particle C has a much higher Zr concentration than particle

1 B. With the quantitative calculations of EDS analysis, the Zr/Al ratios for particles B and C are  
 2 0.58 and 1.23, respectively. Consequently, the particles B and C are identified as  $\text{Al}_2\text{Zr}$  and  
 3  $\text{Al}_2\text{Zr}_3$  phases, respectively. Noting that the amount of this shell structure phase ( $\text{Al}_2\text{Zr}+\text{Al}_2\text{Zr}_3$   
 4 at B and C) is much smaller than that of  $\text{Al}_2\text{Zr}$  phase in H-EI21A alloy.

5 Hence, it is reasonable to conclude that without the addition of 0.25% Al, Zr acted as an  
 6 isolated nucleation core in EI21 alloy (**Fig. 6**). When 0.25% Al was added into EI21, Zr easily  
 7 reacted with it to form different Al-Zr intermediate phases. In NH-EI21A alloy  $\text{Al}_2\text{Zr}_3$  phase  
 8 was found to be the dominant Al-Zr phase. In H-EI21A alloy with high shearing,  $\text{Al}_2\text{Zr}$  phase  
 9 is the main Al-Zr phase. Besides, a few shell structure phases ( $\text{Al}_2\text{Zr}+\text{Al}_2\text{Zr}_3$ ) were also  
 10 detected. In summary, both the addition of Al element and the employment of HSdT  
 11 chemically influence the formation of Al-Zr compound during solidification.

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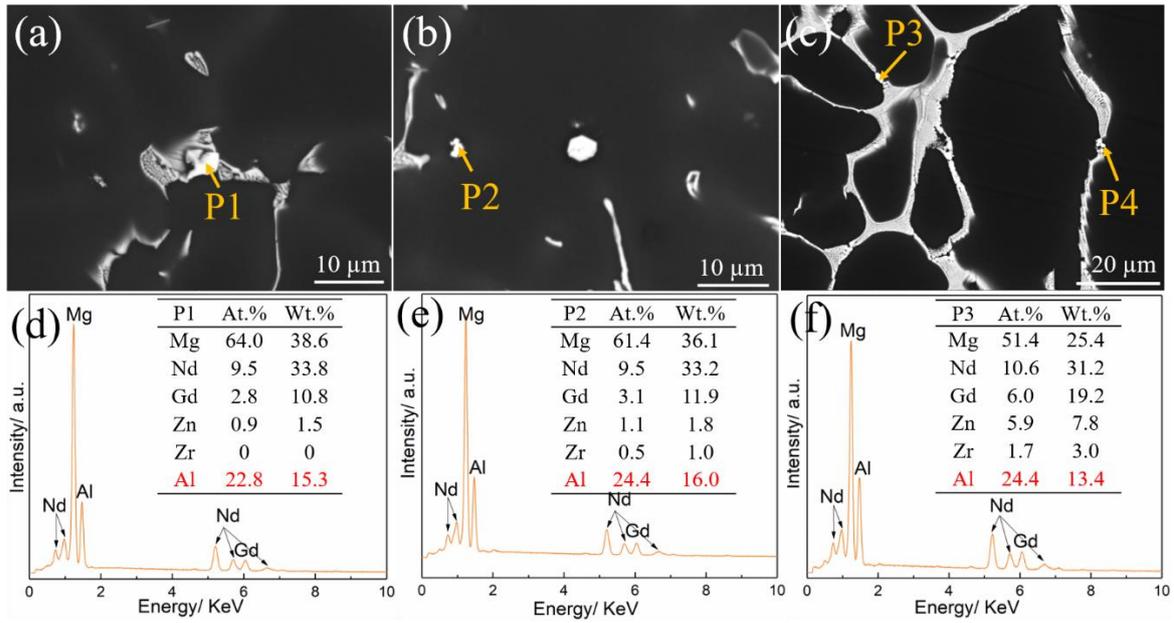
14 **Fig. 8.** (a) BSE images of as cast H-EI21A alloy, (b) magnified image of the rectangular  
 15 position in (a) and (c)-(e) are EDS analyses corresponding to A, B, C areas in (b).

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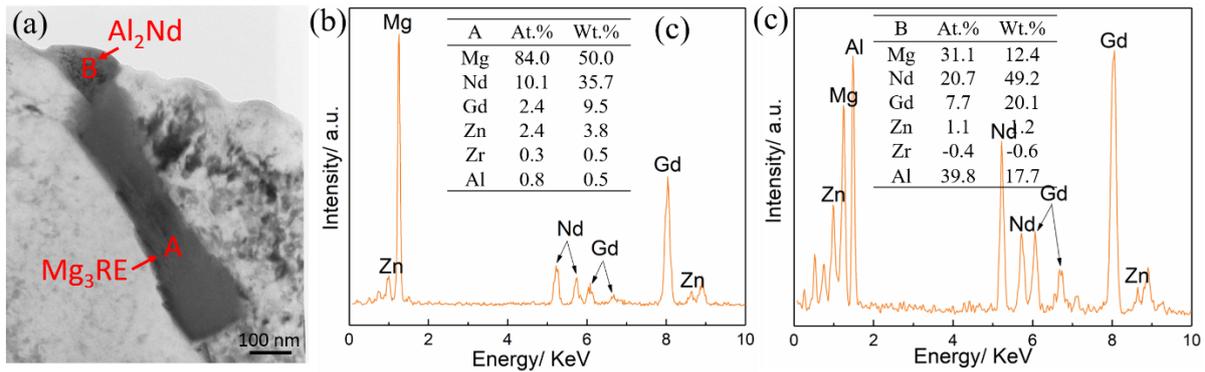
17 In addition to aforementioned  $\text{Mg}_3\text{RE}$  and Al-Zr intermetallics, a small amount of additional  
 18 Al-RE phases at three different formation positions were also observed in H-EI21A alloy,  
 19 respectively (**Fig. 9**). First, Al-RE particle at P1 was entrapped by the eutectic  $\text{Mg}_3\text{RE}$  (**Fig.**

1 **9(a)**). Second, Al-RE particle at P2 is a separated core in the  $\alpha$ -Mg matrix (**Fig. 9(b)**). Third,  
2 Al-RE particles at P3 and P4 are connected with the intermetallic  $Mg_3RE$  (**Fig. 9(c)**). **Fig. 10(a)**  
3 shows the TEM micrographs of the intermetallics in H-EI21A alloy. Particle A is mainly  
4 composed of Mg and RE element, which is already confirmed as  $Mg_3RE$  phase according to  
5 high-energy XRD patterns (**Fig. 10(b)**). Particle B is connected closely with  $Mg_3RE$  phase  
6 which contains high amounts of Al (39.8 at. %) as well as RE element (20.7 at.% Nd and 7.7  
7 at.% Gd) (**Fig. 10(c)**). This Al-RE particle is fairly small (about 100 nm) that made it difficult  
8 to obtain the corresponding electron diffraction patterns. Previous researchers [39, 40] reported  
9 that  $Al_2RE$  (face-centred cubic structure) and  $Al_{11}RE_3$  (body-centred orthorhombic structure)  
10 phases are two dominant phases in Mg-Al-RE alloys, such as AE42 [41] and AE44 [15] alloys.  
11 The chemical formula of Al-RE phase is found to have a close relationship with the content of  
12 RE. Zhang et al. [42] claimed that the preferentially formed Al-Nd phase is  $Al_2Nd$  when the  
13 content of Nd is below 4%. Further addition of Nd to 6% leads to the occurrence of  $Al_{11}Nd_3$   
14 phase in Mg-4Al-based alloy. In addition, they [42] reported that the morphologies of the  
15  $Al_2Nd$  and  $Al_{11}Nd_3$  phases are distinctly different.  $Al_{11}Nd_3$  phase usually exhibits lamellar-like  
16 morphology and  $Al_2Nd$  phase is particulate. Their result is also in agreement with that reported  
17 by other literatures [15, 41, 43]. In the present result, the content of Nd in EI21 is 2.85%, which  
18 is lower than 4%. It implies that  $Al_2Nd$  phase might be formed instead of  $Al_{11}Nd_3$  phase in H-  
19 EI21A alloy. According to the corresponding EDS result (**Fig. 10(c)**), the ratio of Al to Nd is  
20 about 1.92, which is almost identical to the atomic ratio of  $Al_2Nd$ . Therefore, it can be  
21 concluded that the particle B is  $Al_2Nd$  phase (**Fig. 10(a)**). Saboori et al. [44] also detected the  
22  $Al_2Nd$  phase using XRD, which was caused by the reaction of Nd with Al in 2% AlN/Al  
23 nanoparticles reinforced EI21 composites. Their result further validates the formation of  $Al_2Nd$   
24 phase in the present investigation.

25



**Fig. 9.** (a), (b) and (c) BSE images of as cast H-EI21A alloy, (d), (e) and (f) the EDS analyses corresponding to (a), (b) and (c), respectively.



**Fig. 10.** (a) TEM micrograph of the phase in the as cast H-EI21A alloy, (b-c) the corresponding EDS analyses of particles A and B.

## 4. Discussion

### 4.1 Microstructure

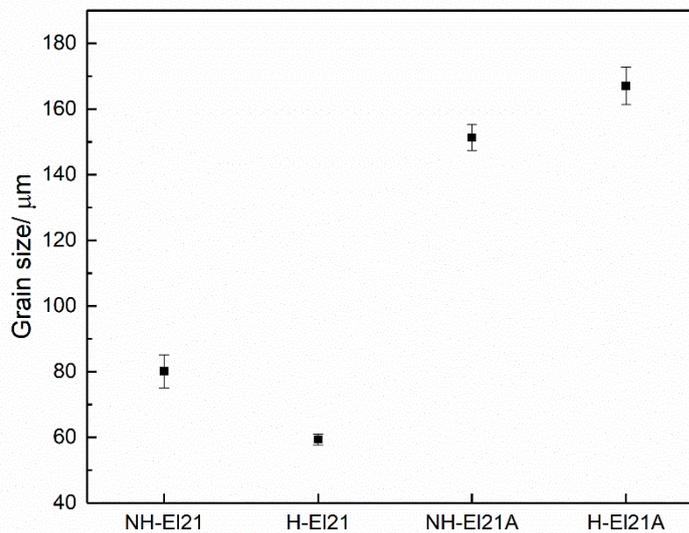
#### 4.1.1 Grain size and morphology

**Fig. 11** shows the average grain size for NH-EI21, H-EI21, NH-EI21A and H-EI21A alloys. With the assistance of HSDT, the grain size of H-EI21 alloy obviously reduces compared with NH-EI21 alloy. The reason for such an obvious grain refinement is owing to the refining efficiency of MgO particles generating from intensive shearing [23]. It was reported that MgO can act as potential nucleation sites for  $\alpha$ -Mg during solidification. Fan et al. [24] observed the average grain size of AZ91D alloy was reduced from 682 to 187  $\mu\text{m}$  after the employment of

1 HSDT. After adding 0.25% Al in E121 alloy (NH-E121A and H-E121A), the grains coarsen.  
2 This is attributed to the poison effect resulting from Al in Zr-containing E121 alloy. Normally,  
3 Zr element, which was observed as an isolated core in non-Al containing E121 alloy (**Fig. 6**),  
4 is regarded as an effective nucleant site for  $\alpha$ -Mg during solidification [45]. The present  
5 addition of 0.25% Al in E121 alloy results in the formation of  $Al_2Zr_3$  and  $Al_2Zr$  phases in NH-  
6 E121A and H-E121A alloys (**Fig. 7** and **Fig. 8**), respectively. The interaction of Al with Zr  
7 consumed a certain amount of Zr and consequently deteriorated the grain refinement effect of  
8 Zr for E121 alloy.

9 The use of HSDT also influences the grain size. After HSDT, the grain size of H-E121A  
10 alloy ( $167.0 \pm 5.7 \mu m$ ) increases compared with NH-E121A alloy ( $151.3 \pm 4.0 \mu m$ ). HSDT with  
11 special rotor-stator design can create an intense friction and high shear stress in the melt, which  
12 is beneficial for stirring the melt homogeneously prior to solidification. This effective shearing  
13 promoted the possibilities of contacts between Al and Zr. As a result, the reaction of Al with  
14 Zr to form Al-Zr compound was enhanced during solidification. The grain coarsening occurred  
15 in H-E121A alloy is due to more consumption of grain refiner Zr, although the use of HSDT is  
16 beneficial for the grain refinement of the same alloy.

17



18

19 **Fig. 11.** Average grain sizes for the as cast NH-E121, H-E121, NH-E121A and H-E121A alloys.

20

21 Besides the differences in grain size, the grain morphology was also changed from equiaxed  
22 to dendritic with the addition of 0.25% Al (**Fig. 2**). This morphology transformation might

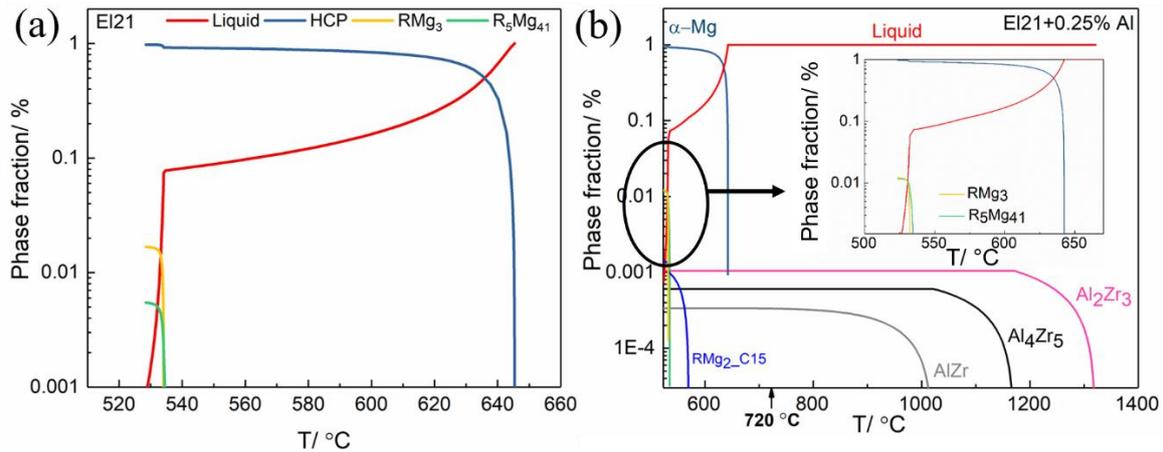
1 derive from the solute segregation power of Al. Recent research stated that solute segregation  
2 power can be quantified using growth restriction factor (GRF), or  $Q$ -value [46]. In a binary  
3 system, the GRF value can be simply defined as:  $Q = mC_0(k-1)$  [47] ( $C_0$  is concentration of  
4 solute,  $m$  is the slope of the liquidus,  $k$  is the solute distribution coefficient). The higher  $Q$   
5 values signified the higher tendency of the solute to introduce constitutional supercooling  
6 ahead of the advancing solid/liquid interface. It is reported that Al has a strong segregation  
7 power ( $Q=5.31$  [48]) in Mg alloys and results in a significant constitutional supercooling ahead  
8 of solid/liquid interface, thus making  $\alpha$ -Mg grow easily by dendritic type. This result is in  
9 agreement with Jiang's report [48]. They also observed a typical dendritic growth in the Al-  
10 modified Mg-5Sn-0.3Li alloy. Moreover, with the employment of HSDT in H-EI21A alloy,  
11 the outline of dendritic arms are more pronounced (**Fig. 2(c-d)**). The dendritic arm spacing  
12 (DAS) was calculated as:  $DAS=L/n$ , where  $L$  is the distance of the line drawn from edge to  
13 edge of the measured grains,  $n$  is the number of the dendritic cells [49]. The DAS of H-EI21A  
14 is  $56.2\pm 1.6 \mu\text{m}$ , which is finer than that of NH-EI21A alloy with a DAS of  $74.0\pm 6.4 \mu\text{m}$ . It  
15 indicates that the DAS largely decreases using HSDT in Al-containing EI21 alloy. The reason  
16 can be ascribed to the more homogeneous dispersion of Al atoms in the melt using HSDT prior  
17 to solidification, which can play a more effective role in creating a constitutional supercooling  
18 ahead of the solid/liquid interface during solidification, and therefore reduce the DAS.

#### 19 4.1.2 Phase formation

20

21 The intermetallic morphologies of NH-EI21 and H-EI21 alloys were modified from  
22 continuous network to branched dendrite after the addition of 0.25% Al (**Fig. 4**). In addition,  
23 there is almost no intermetallics formed inside  $\alpha$ -Mg grains for NH-EI21 and H-EI21 alloys  
24 (**Fig. 4(a-b)**). In contrast, many dendritic arms were formed in the interdendritic regions,  
25 especially in H-EI21A with compact hyper-branched intermetallics (**Fig. 2 (d)**).  
26 Thermodynamic calculation for EI21 using Pandat software shows that the primary  $\alpha$ -Mg  
27 solidifies over a wide range of  $117 \text{ }^\circ\text{C}$  ( $528\text{-}645 \text{ }^\circ\text{C}$ ), whereas the solidification of  $\text{Mg}_3\text{RE}$   
28 intermetallics occurs only in the last  $6 \text{ }^\circ\text{C}$  ( $528\text{-}534 \text{ }^\circ\text{C}$ ) (**Fig. 12(a)**). This indicates that  $\text{Mg}_3\text{RE}$   
29 intermetallics solidified at the latest stage among the dendrite arms after most  $\alpha$ -Mg grains  
30 were formed. The morphology of the intermetallics is thus a footprint of the solidification of  
31 these branched dendrites. Since the grains changed from equiaxed to dendrite with the addition  
32 of 0.25% Al, the morphology of  $\text{Mg}_3\text{RE}$  intermetallics is therefore modified to branched  
33 dendrite morphologies.

1



2

3 **Fig. 12.** Mass fraction of the phases calculated under Scheil's condition (a) El21 and (b)  
 4 El21+0.25% Al. The region marked with a black circle was magnified to that at the top right  
 5 corner, which indicates the phase fraction evolution of RMg<sub>3</sub> and R<sub>5</sub>Mg<sub>41</sub> during solidification  
 6 (R indicates RE here).

7

8 The Mg<sub>12</sub>Nd precipitates, which were originally formed near eutectic phases in NH-El21  
 9 and H-El21 alloys, become difficult to be observed in Al-containing El21 (**Fig. 5(c-d)**). The  
 10 formation of Al<sub>2</sub>Nd phase in H-El21A validated that some RE atoms were captured by Al,  
 11 which consequently alleviated the supersaturated Nd element in the α-Mg matrix. Therefore,  
 12 the formation of Mg<sub>12</sub>Nd precipitates was suppressed in the alloys with the addition of 0.25%  
 13 Al.

14 Based on the phase characterizations using SEM in NH-El21A, Al reacted with Zr to form  
 15 Al<sub>2</sub>Zr<sub>3</sub> phase. No obvious Al-RE phases could be observed (**Fig. 7**). These results are consistent  
 16 with that obtained by the thermodynamic calculations for El21+0.25% Al alloy (**Fig. 12(b)**).  
 17 With the assistance of HSDT, the Al<sub>2</sub>Zr phase becomes the dominant Al-Zr phase in H-El21A  
 18 alloy instead of Al<sub>2</sub>Zr<sub>3</sub> phase (**Fig. 8**). This result is inconsistent with that obtained by  
 19 thermodynamic calculations (**Fig. 12(b)**). Murray et al. [50] proposed that Al<sub>2</sub>Zr<sub>3</sub> phase might  
 20 occur in Al-Zr alloys with a content of 39 at.% Al. Al<sub>2</sub>Zr phase is a Al-rich phase formed with  
 21 a content of 68.8 at.% Al in Al-Zr alloy. The increment in the content of Al could lead to a  
 22 composition transformation from Zr-rich phase (Al<sub>2</sub>Zr<sub>3</sub>) to Al-rich phase (Al<sub>2</sub>Zr). As for H-  
 23 El21A alloy, HSDT could create high shear stress to stir the melt and increase the probabilities  
 24 of contacts between Al and Zr. This effect facilitates more Al atoms to involve in the Al-Zr  
 25 reaction. Thus, Al<sub>2</sub>Zr phase becomes preferentially to form in H-El21A alloy. In H-El21A alloy,  
 26 the shell structure with Al<sub>2</sub>Zr+Al<sub>2</sub>Zr<sub>3</sub> phases was also observed (**Fig. 8(b)**), indicating that

1 HSDT is still insufficient to promote the phase transformation from  $\text{Al}_2\text{Zr}_3$  to  $\text{Al}_2\text{Zr}$  phase  
 2 completely. Only could the outer layer of  $\text{Al}_2\text{Zr}_3$  be transformed into  $\text{Al}_2\text{Zr}$  phase. The core is  
 3 still kept with  $\text{Al}_2\text{Zr}_3$  phase. It is hereby noted that the distribution of the Al-Zr phase was also  
 4 influenced by HSDT. The  $\text{Al}_2\text{Zr}_3$  phase in NH-EI21A alloy is prone to agglomerate in the  
 5 matrix (**Fig. 7**). However, in H-EI21A with HSDT it is difficult to observe the discernible  
 6 agglomeration of  $\text{Al}_2\text{Zr}$  phase (**Fig. 8**). This phenomenon strongly suggests that HSDT is also  
 7 beneficial for breaking up the clusters of intermetallic phases.

8 In the present investigation, an additional phase  $\text{Al}_2\text{Nd}$  with small amount was detected in  
 9 H-EI21A alloy rather than in NH-EI21A alloy. This is inconsistent with the results of  
 10 thermodynamic calculations for EI21+0.25% Al alloy in which no Al-RE phase was predicted  
 11 (**Fig. 12(b)**). It is therefore worthwhile to consider the influence of HSDT on the solidification  
 12 process of H-EI21A alloy.

13 In EI21 alloy with the addition of 0.25% Al, Mg-Al, Mg-RE (Mg-Nd and Mg-Gd), Al-RE  
 14 (Al-Nd and Al-Gd) and Al-Zr binary interactions might happen, but their preferential reaction  
 15 sequence still remains unknown. Miedema et al. [51, 52] proposed a semi-empirical model to  
 16 calculate the standard molar enthalpies of formation ( $\Delta H$ ) for these binary systems. The lower  
 17 the standard molar enthalpies of formation the higher the bond energy, the better the thermal  
 18 stability and the more the energy releases during their chemical reactions. Consequently, the  
 19 binary systems with lower  $\Delta H$  react more preferentially at the same condition. The Miedema  
 20 model to calculate  $\Delta H$  can be expressed as:

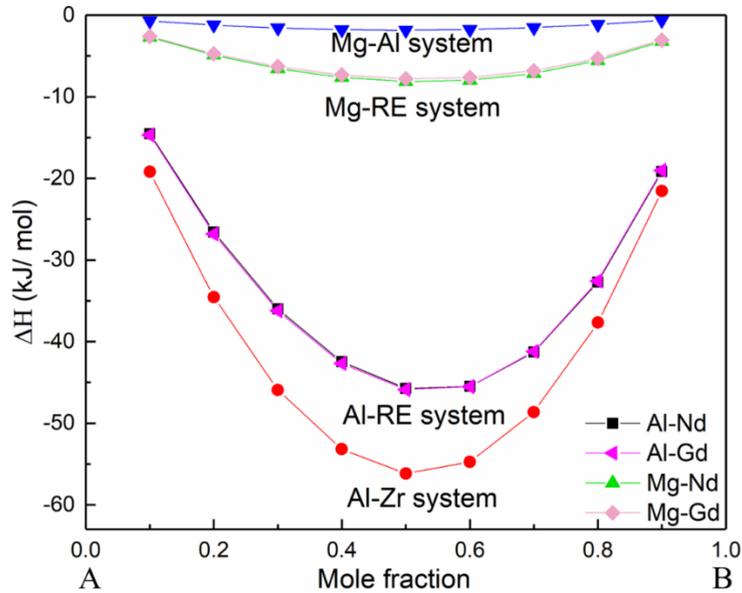
$$21 \quad \Delta H = f_{ij} \frac{x_i(1+\mu_i x_j(\Phi_i - \Phi_j))x_j(1+\mu_j x_i(\Phi_j - \Phi_i))}{x_i V_i^{2/3}(1+\mu_i x_j(\Phi_i - \Phi_j)) + x_j V_j^{2/3}(1+\mu_j x_i(\Phi_j - \Phi_i))} \quad (1)$$

22 Where  $x_i$  and  $x_j$  are the mole fractions of  $i$  and  $j$ ,  $V_i$  and  $V_j$  are the mole volumes of  $i$  and  $j$ ,  $\Phi_i$   
 23 and  $\Phi_j$  are the electronegativities of  $i$  and  $j$ , respectively,  $\mu$  is the empirical parameter.  $f_{ij}$  can  
 24 be rewritten as following:

$$25 \quad f_{ij} = 2p V_i^{2/3} V_j^{2/3} \frac{q/p((n_{ws}^{1/3})_j - (n_{ws}^{1/3})_i)^2 - (\Phi_i - \Phi_j)^2 - \alpha(r/p)}{(n_{ws}^{1/3})_i^{-1} + (n_{ws}^{1/3})_j^{-1}} \quad (2)$$

26 Where  $n_{ws}^i$  and  $n_{ws}^j$  are the electron density parameters, respectively,  $p$ ,  $q$ ,  $r$  and  $\alpha$  are the  
 27 empirical parameters. Based on the data referred from [52], the curves of formation enthalpy  
 28 as a function of mole fraction are plotted in **Fig. 13**. Al-Zr system exhibits the lowest  $\Delta H$   
 29 among all the binary systems, suggesting that Al-Zr phase prefers to be formed firstly in

1 E121+0.25% Al alloy. This result is consistent with the observation of  $\text{Al}_2\text{Zr}_3$  phase in NH-  
 2 E121A alloy. Al-RE system shows the second lowest value of  $\Delta H$ , indicating that Al would  
 3 preferentially react with RE to form Al-RE phase rather than with Mg to form Mg-Al phase.  
 4 Previous investigations also demonstrated that  $\text{Al}_2\text{RE}$  (Al-Nd and Al-Gd) phase was  
 5 preferentially formed instead of  $\text{Mg}_{17}\text{Al}_{12}$  in AE42 alloy [53, 54]. It is reasonable to assume  
 6 that with the employment of HSDT,  $\text{Al}_2\text{RE}$  phase was formed in E121+0.25% Al alloy besides  
 7 Al-Zr phase. The molten E121+0.25% Al was intensively sheared by HSDT, which increases  
 8 the likelihood of mutual contacts between each atoms. Consequently, Al and Nd atoms were  
 9 promoted to involve in the reaction to form  $\text{Al}_2\text{Nd}$  phase.



10

11 **Fig. 13.** Formation enthalpies of Mg-Al, Mg-Nd, Mg-Gd, Al-Nd, Al-Gd and Al-Zr binary  
 12 systems (A-B binary systems).

## 13 4.2 Creep mechanisms

### 14 4.2.1 Creep data analysis

15

16 In order to explain the creep mechanism, the minimum creep rate  $\dot{\epsilon}$  depending on various  
 17 applied stresses  $\sigma$  is shown in **Fig. 14** (a). The stress exponent  $n$  was then calculated by **Eq. (3)**  
 18 [55]:

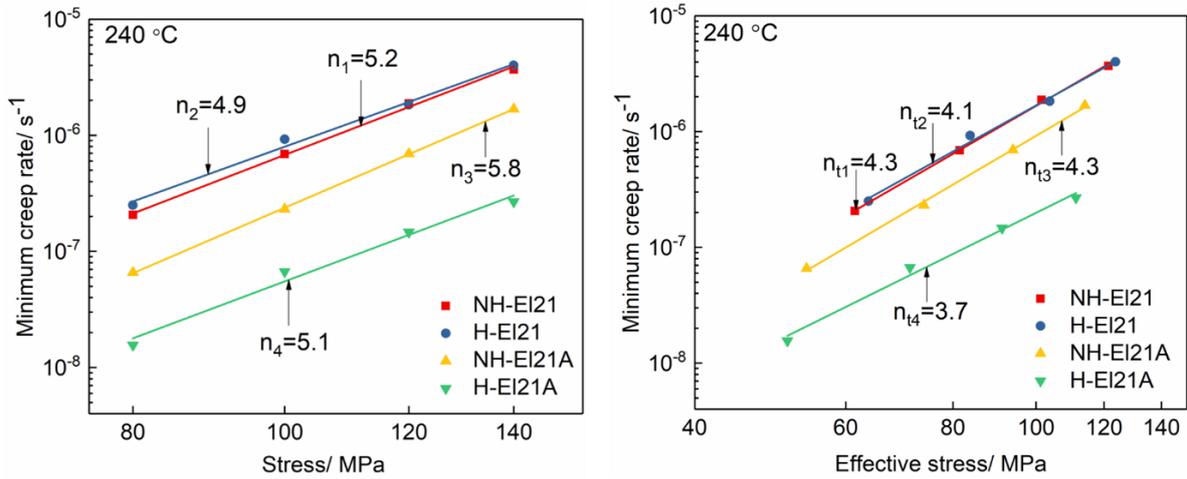
19

$$n = \left( \frac{\partial \ln \dot{\epsilon}}{\partial \ln \sigma} \right)_T \quad (3)$$

1 When the creep temperature  $T$  is fixed, the stress exponent  $n$  was determined by linear fitting  
 2 the slopes of the double logarithm of minimum creep rate vs applied stress. The  $n$  values for  
 3 these alloys vary around 5 at 240 °C (**Fig. 14(a)**). As for EI21 alloy with large amount of  
 4 intermetallics, a threshold stress  $\sigma_{thr}$  was necessarily introduced to consider the interaction  
 5 between dislocations and dispersoid obstacles [56]. **Eq. (4)** describes the relationship between  
 6 effective stress  $\sigma_{eff}$  and  $\sigma_{thr}$ .

$$7 \quad \sigma_{eff} = \sigma - \sigma_{thr} \quad (4)$$

8 The  $\sigma_{thr}$  was calculated as the lower stress limit below that no creep occurs. Based on Li and  
 9 Langdon's model [56],  $\sigma_{thr}$  is calculated by the extrapolation of the double logarithmic curves  
 10 of  $\dot{\epsilon}$  over  $\sigma$  to a value of  $10^{-10} \text{ s}^{-1}$ . The  $\sigma_{thr}$  of H-EI21A is the highest among these four alloys  
 11 (28.7 MPa) (**Table 3**), indicating the combination of HSdT with 0.25% Al addition gives a  
 12 rise in the threshold stress of EI21 alloy.



13 **Fig. 14.** (a) Stress exponent  $n$ , (b) true stress exponents  $n_t$  of these four alloys.

14 **Table 3.** Values of stress exponent  $n$ , threshold stress  $\sigma_{thr}$  and true stress exponent  $n_t$  of the four  
 15 alloys.  
 16

No.	Materials	$n$	$\sigma_{thr}/ \text{MPa}$	$n_t$
1	NH-EI21	5.2	18.5	4.3
2	H-EI21	4.9	16.7	4.1
3	NH-EI21A	5.8	26.0	4.3
4	H-EI21A	5.1	28.7	3.7

17

18 Applying the  $\sigma_{thr}$  in **Eq. 4**, **Eq. 5** is then given as:

$$n_t = \left( \frac{\partial \ln \dot{\epsilon}}{\partial \ln \sigma_{thr}} \right)_T \quad (5)$$

The values of  $n_t$  are in a range that fits the theoretical assumption of creep mechanisms [17, 25, 57]. The results of  $n_t$  are close to 4 for all these four alloys, which are slightly lower than  $n$  values (**Fig. 14** and **Table 3**). Athul et al. [57] suggested that the true stress exponent 4-5 is related to the dislocation climb mechanism. It is therefore concluded that the main controlling mechanism was dislocation climb when these four alloys were crept at 240 °C under a stress of 80-140 MPa.

#### 4.2.2 Effects of high shearing on creep properties

The effects of high shearing on the creep properties of the investigated alloys can be discussed based on its influences on their microstructures. As aforementioned, after high shearing, two apparent microstructural changes can be summarized. One is the grain coarsening. Another is that the intermetallics become dendritic and their distribution is denser. The influences from grain size could be negligible since the dominant creep mechanism is dislocation climb instead of grain boundary sliding (**Fig. 14**). Thus, in this section, the influence of high shearing on creep properties is discussed with focus on its effect on the intermetallic microstructure. Since Mg<sub>3</sub>RE phase is the dominant intermetallic in both alloys, it is reasonable to conclude that the different creep resistance is related to the dendritic boundary strengthening caused by these Mg<sub>3</sub>RE intermetallics phases. H-EI21A alloy has the most pronounced dendritic structure of intermetallic among these four alloys (**Fig. 4**). These intermetallics not only distribute along  $\alpha$ -Mg grain boundaries but also inside  $\alpha$ -Mg grains. Mg<sub>3</sub>RE phase, which is a thermal stable phase with a high melting point of 780 °C [58], can give a better boundary strengthening at elevated temperature during creep. Amberger et al. [59] stated that a high interconnectivity and strong skeleton structure of intermetallics can effectively shield load from  $\alpha$ -Mg matrix and therefore lead to a higher creep resistance. With the assistance of high shearing, H-EI21A has a shorter DAS (56.2±1.6  $\mu$ m) than NH-EI21A (74.0±6.4  $\mu$ m), which exhibits a denser distribution of intermetallic phase in the  $\alpha$ -Mg interiors. Consequently, more dendritic boundaries were covered by Mg<sub>3</sub>RE phase to give a stronger resistance and more efficient dislocation impedance. Therefore, its creep resistance exhibits about one order of magnitude higher than that of NH-EI21 and H-EI21.

#### 4.2.3 Effect of Al addition on creep properties

1 The present investigation discussed that the addition of 0.25% Al in E121 alloy creates a  
2 constitutional supercooling ahead of the solid/liquid interface and results in a dendritic  
3 morphology of  $\alpha$ -Mg (**Fig. 2(a)** and (c)). Consequently, the morphology of intermetallic  
4  $Mg_3RE$  was modified. Meanwhile, by adding Al, the formation of Al-Zr and Al-RE second  
5 phases were observed in NH-E121A and H-E121A alloys. Although their amount is not so high,  
6 their existence contributes to the improvement in creep resistance of Al-containing E121 alloys.  
7 Kabirian et al. [16] found that the creep resistance of the Zr-containing AZ91 alloy was  
8 enhanced due to the presence of  $Al_2Zr$  and  $Al_3Zr_2$  intermetallics. Since  $Al_2Zr_3$  and  $Al_2Zr$  phases  
9 were observed inside the grains in NH-E121A and H-E121A alloys, it is reasonable to conclude  
10 that the present  $Al_2Zr$  and  $Al_2Zr_3$  phase can also act as obstacles to dislocations movement at  
11 elevated temperatures.  $Al_2Zr_3$  phase is a high temperature phase, which is formed by peritectic  
12 reactions as following:  $L + Al_4Zr_5 \leftrightarrow Al_2Zr_3$  at 1751 °C [37]. As for  $Al_2Zr$  phase in H-E121A  
13 with high shearing, its homogeneous distribution can give efficient resistance to hinder the  
14 dislocation movement. Besides the Al-Zr phases, with Al addition an additional  $Al_2Nd$  phase  
15 with small amount was formed in H-E121A alloy (**Fig. 9**). With the assistance of HSDT, the  
16 reaction of Al with RE was enhanced in H-E121A. Some of  $Al_2Nd$  particles filled the gap of  
17 the network  $Mg_3RE$  phase and made these intermetallics interconnected (**Fig. 9(d-f)**). They  
18 could supply additional resistance to impede the grain boundary sliding. Meanwhile,  $Al_2Nd$   
19 has a higher melting temperature (1200 °C) than  $Mg_3RE$  [58], its existence may provide more  
20 efficient strengthening than  $Mg_3RE$  at elevated temperatures during creep. As a result, H-  
21 E121A alloy exhibits superior creep resistance to NH-E121A alloy. Zhang et al. [13] also  
22 verified that the creep resistance of AE41 alloy was enhanced by the occurrence of  $Al_2RE$   
23 compound, which can inhibit the climbing of dislocation and the sliding and diffusion of grain  
24 boundary.

## 25 5. Conclusions

26

27 The influence from the addition of 0.25% Al and the employment of HSDT on the creep  
28 resistance of E121 alloy was investigated systematically. The following conclusions can be  
29 drawn:

- 30 1. The creep resistance of E121 alloy is significantly improved by about one order of  
31 magnitude with the combination of 0.25% Al addition and HSDT under all the applied  
32 stresses at 240 °C.

- 1        2. The grains of NH-EI21A and H-EI21A are coarsened due to the loss of grain refiner Zr  
2        by its chemical reaction with Al. The morphological transformation of intermetallics  
3        from equiaxed to dendritic derives from the solute segregation power of Al, which  
4        results in a significant constitutional supercooling ahead of solid/liquid interface.
- 5        3. HSDT is beneficial to break up the clusters of Al-Zr phase and change the Al-Zr  
6        compound from  $\text{Al}_2\text{Zr}_3$  in NH-EI21A alloy to  $\text{Al}_2\text{Zr}$  in H-EI21A alloy. In addition, a  
7        small amount of  $\text{Al}_2\text{Nd}$  phase is formed due to the employment of HSDT in H-EI21A  
8        alloy.
- 9        4. The dominant mechanism is dislocation climb during creep at elevated temperature  
10       240 °C. The superior creep resistance of H-EI21A alloy is mainly attributed to the  
11       dendritic and denser  $\text{Mg}_3\text{RE}$  intermetallic. They can effectively hinder the grain  
12       boundary sliding and dislocation movement. In addition, the formation of  $\text{Al}_2\text{Zr}$  phase  
13       and  $\text{Al}_2\text{Nd}$  with a high melting point can also act as an efficient obstacle to inhibit the  
14       dislocation movement, thus contributing to the improvement of creep resistance for H-  
15       EI21A alloy.

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17  
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