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1                    **Microstructure and Corrosion Behavior of Ca/P Coatings**  
2                    **Prepared on Magnesium by Plasma Electrolytic Oxidation**

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9                    **Abstract**

10                   In order to enhance the corrosion resistance and biocompatibility of Mg based implants, porous  
11                   MgO-CaP coatings were fabricated on commercial Mg by adding hydroxyapatite (HAp) particles  
12                   in alkaline phosphate solution for plasma electrolytic oxidation (PEO) treatment. The effects of  
13                   particle concentration on the coating morphology and composition were investigated under  
14                   constant current PEO processing. Electrochemical impedance spectroscopy (EIS) and immersion  
15                   test conducted in simulated body fluid (SBF) environment were applied to evaluate the corrosion  
16                   performance of the coatings. The results showed that most HAp particles were reactively  
17                   incorporated into the PEO coatings and the coating microstructure was significantly modified  
18                   with increasing concentration of HA, which resulted in higher corrosion resistance in return. The  
19                   consecutive growth of impedance during 72h immersion resulted from the precipitation and  
20                   deposition of CaP compound and corrosion product into the pores sealing the defects of PEO  
21                   coatings. Overall, a higher concentration (20g/L) of HAp particles addition would bring out a  
22                   denser and protective PEO-HAp coating.

1 **Key words: Magnesium; Plasma electrolytic oxidation; Hydroxyapatite; Corrosion**

## 2 **1 Introduction**

3 It is well known that magnesium and its alloys are not only used in transport applications where  
4 weight reduction is of great importance, but can also be employed in manufacturing  
5 biodegradable implants for orthopedic and trauma surgery [1, 2]. Mg is an essential element and  
6 present in the human body, since it is directly related with many metabolic reactions and  
7 biological mechanisms [3, 4]. Also, the specific density and Young's modulus of Mg are close to  
8 those of human bone. And this feature decreases the stress shielding effects at the implant/bone  
9 interface, reducing the possibility of secondary injury. From the aspect of physiology, Mg-based  
10 alloys degrade gradually with the healing of tissue around the wound. This could prevent the  
11 secondary operation and the risk of infection. However, their rapid corrosion behavior in vivo  
12 environment is often seen as the critical factor that restricts the application of Mg alloys as  
13 biodegradable implants in clinical practice. The generation of a large volume of hydrogen gas and  
14 the significant increment of local pH value of body fluid can lead to the necrosis of tissues, blood  
15 stream blockage and even the patient's death [5]. Hence, controlling the corrosion and  
16 degradation rate in human body fluid environment is an imperative issue for promoting the  
17 application of Mg as implant material.

18 To solve these problems, many surface treatment methods have been studied and applied on Mg  
19 implants, such as chemical etching, electro-/electroless deposition, sol-gel coatings,  
20 chemical/physical vapor deposition and thermal spraying, etc [6-9]. Among these techniques,  
21 plasma electrolytic oxidation (PEO) is a powerful method for producing an oxide coating on the  
22 substrate, which significantly reduces the tendency of the metal to corrode and wear out. Three  
23 interdependent requirements determine the bone-forming ability of a bone substitute: scaffolding

1 for osteoconduction (both mineral and collagen), growth factor for osteoinduction  
2 (noncollagenous bone matrix proteins) and progenitor cells for osteogenesis [10, 11]. Based on  
3 these aspects, Mg-PEO coatings can provide a controllable surface roughness and stable  
4 composition. However, unavoidable defects caused by the discharges (leading to pitting corrosion)  
5 [12] and poor bioactivity (retarding the adsorption and reproduction of osteoblast) [13] remain a  
6 concern.

7 Calcium phosphorus compounds, especially in form of hydroxyapatite (HAp), biphasic calcium  
8 phosphates and  $\beta$ -tricalcium phosphate, are advantageous and safe candidates for implants, since  
9 they are the main composition of human bones (37.5 wt.%: Ca 25.9%, P 11.6%) [14-16]. The  
10 abundance of calcium phosphate can activate not only bioactivity of implants, but also accelerate  
11 the healing process of wound in body fluid environment. Several approaches have been grafted  
12 with PEO technique for fabricating protective calcium phosphate coatings on implant surface.  
13 Electrolytes containing calcium phosphate salts (calcium glycerophosphate (CaGP), calcium  
14 citrate/acetate and sodium phosphate) were introduced by Gnedenkov and Tang [17-19]. It was  
15 found that the Ca/P ratio and microstructure of PEO coating was correlated with the amount of  
16 alkali and, under some circumstance (e.g. bipolar power regime), the PEO coatings required a  
17 further post-treatment to treat the defects left on the surface. Liu et al. deposited calcium deficient  
18 hydroxyapatite and dicalcium phosphate dehydrate (DCPD) on PEO coating by a chemical  
19 conversion method. They have reported the formation of hydroxyapatite on the coating surface  
20 during the incubation in SBF solution, and the decrease of released hydrogen volume [12, 20].  
21 The synthesis of CaP substance by sol-gel method is suggested by Seyfoori et al.. With adding  
22 sol-gel HAp nano powder into electrolyte, nanostructured biphasic calcium phosphate (BCP) was  
23 detected. The further characterization showed that the enhanced corrosion resistance of

1 composite coating was related to the blocking feature of nanoparticles, and greater apatite  
2 forming ability was confirmed [15]. Gao et al. fabricated PEO-hydroxyapatite (HAp) coating by  
3 cathodic deposition. They claimed that corrosion resistance of Mg substrate was promoted  
4 by PEO coating and additional HA layer. After immersion test in physiological environment,  
5 Ca/P ratio increased for PEO coating but decreased for PEO-HAp coating [21]. Although quasi-  
6 calcium phosphate compounds are procurable through organizing the electrolyte composition or  
7 different multi-step post treatments, some concerns still remain there. The coating morphology  
8 and properties of PEO coating is greatly dependent on the electrolyte and power regime.  
9 Inappropriate composition and concentration of electrolyte would probably result in  
10 heterogeneous structure and/or introduce inevitable elements into the oxide coatings. Similarly,  
11 the complicated synthesis process and cohesion strength between the post-deposited HAp layer  
12 and PEO coating is rarely reported.

13 Incorporating foreign particles into PEO coatings is recognized as a promising and effective  
14 method for controlling the porosity or even introducing some functional properties to PEO  
15 coatings. The effects of particles addition on coating properties after incorporation are determined  
16 if particles are inert or reactively incorporated. A variety of particles (e.g.  $\text{Al}_2\text{O}_3$ ,  $\text{ZrO}_2$ ,  $\text{TiO}_2$ ,  
17  $\text{CeO}_2$  and clay etc.) have been successfully incorporated into PEO coatings with enhancing the  
18 coating properties to some extent [22-27]. In present work, a simple approach is proposed to  
19 fabricate PEO-CaP composite coating on pure Mg substrate by in-situ suspending commercial  
20 HAp particles into the basic alkaline phosphate electrolyte. The influence of HAp concentration  
21 and processing parameters on coating growth dynamics, morphology as well as composition is  
22 studied with SEM, GIXRD and EDS, respectively. Besides, electrochemical impedance

1 spectroscopy (EIS) and immersion tests are applied for evaluating the corrosion performance in  
2 short-term and long-term degradation behavior of the coatings.

## 3 **2 Experimental**

### 4 **2.1 Material**

5 Rectangular specimens (25×25×4 mm) of commercial magnesium (hp-Mg) were used as  
6 substrates for PEO processing. The chemical composition measured by Spark OES (Spark  
7 analyser M9, Spectro Ametek, Germany) is 0.0129 wt.% Al, 0.00325 wt.% Ca, 0.00538 wt.% Fe,  
8 0.0201 wt.% Mn, 0.00178 wt.% Zn, 0.0207 wt.% Si and Mg balance. The specimens were  
9 ground using emery papers up to 1200 grit, and degreased with ethanol prior to PEO treatment.  
10 Commercial HAp (CAS: 1306-06-5) was purchased from ACROS ORGANICS (USA), and it  
11 was used without any further purification. The SEM image of used HAp particles is given in Fig.  
12 1. As shown, the HAp particles presented flake shape with particle size ranging from hundreds of  
13 nanometer to a few micrometers.

### 14 **2.2 PEO treatment**

15 As-received specimen and stainless steel tube were used as the anode and cathode respectively  
16 during PEO treatment. Basic alkaline solutions containing KOH (2g/L) and Na<sub>3</sub>PO<sub>4</sub> (10g/L) with  
17 different concentrations of dispersed HAp particle (5, 10 and 20g/L) was used as coating  
18 electrolytes. All chemicals are reagent grade and used as received. All PEO treatments were  
19 performed for 10 min with fixed duty cycle (ratio between duration of the voltage pulse and total  
20 time of each cycle) of 20% and frequency of 200Hz provided by a DC pulse power source. All  
21 the treatments were conducted in constant current mode with a fixed current density of  
22 50mA/cm<sup>2</sup>. A Metrohm 691 pH meter and Mettler Toledo Inlab 730 probe were used to

1 measure pH value and conductivity of electrolytes, respectively. Nomination of each sample and  
2 the details about electrolyte and processing parameters were listed in Table 1. In brief, the initial  
3 capital letter “C” in the nomination system represents the constant current density power mode,  
4 while the second capital letter indicates the HAp concentration in PEO electrolyte (0-0g/L, L-  
5 5g/L, M-10g/L and H-20g/L). A magnetic stirrer was used to ensure the uniform dispersion of  
6 HA particle in the electrolyte. The temperature was maintained at  $20 \pm 1$  °C by a cooling system.

### 7 **2.3 Characterization**

8 Image analysis software ImageJ was utilized to analyze the surface porosity and average pore  
9 size from the SEM images with examined area of approximately  $1 \text{ mm}^2$ . A scanning electron  
10 microscope (Tescan Vega3) equipped with an energy dispersive X-ray spectrometer (EDS) was  
11 used for examining the surface and cross section morphology as well as element distribution of  
12 PEO coatings. For the observation of cross section, the samples were embedded into resin and  
13 then polished by emery paper with successive grades (800, 1200 and 2500) to  $1 \mu\text{m}$  diamond  
14 finish. Grazing incident X-ray diffraction (GIXRD, D8 Advance Bruker AXS) with  $\text{Cu K}\alpha$   
15 ( $\lambda=1.54056 \text{ \AA}$ ) radiation at 40kV and 40mA was carried out to determine the phase composition  
16 of samples before and after immersion test. The incidence angle was 5 degree, and each scanning  
17 step was 0.05 degree for 0.5s in the range (e.g.  $2\theta$  angle) from 10 to 80 degree.

### 18 **2.4 Electrochemical measurements**

19 The electrochemical behavior was studied by electrochemical impedance spectroscopy (EIS) in  
20 simulated body fluid (SBF) using a computer controlled potentiostat system (Gamry interface  
21 1000). The chemical composition of SBF solution was reported by Tang in detail [28]. The  
22 solution was prepared by dissolving analytical grade chemicals of NaCl (8.035g/L),  $\text{NaHCO}_3$

1 (0.355g/L), KCl (0.225g/L),  $K_2HPO_4 \cdot 3H_2O$  (0.231g/L),  $MgCl_2 \cdot 6H_2O$  (0.225g/L), HCl (1mol/L,  
2 39ml),  $CaCl_2$  (0.292g/L) and  $Na_2SO_4$  (0.072g/L) into 1L deionized water and buffered with tris-  
3 hydroxymethyl aminomethan (Tris) and 1M HCl. The final pH of SBF solution was 7.4 at  $37.0 \pm$   
4  $0.5$  °C, and no precipitates could be observed after the solution was stabilized. A conventional  
5 three-electrode setup consisting of a working electrode (as-prepared coated samples with an  
6 exposure area of  $0.5cm^2$ ), counter electrode (a platinum spiral wire) and reference electrode  
7 (saturated Ag/AgCl) was used. EIS measurements were performed at OCP with respect to  
8 amplitude of 10 mV RMS sinusoidal perturbation over the frequency range from 10kHz to 0.1Hz.  
9 The impedance spectra were fitted using Zview software and all the measurements were repeated  
10 three times to guarantee reproducibility.

## 11 **2.5 Immersion test**

12 The long-term corrosion performance of pure Mg, Mg-PEO and Mg-PEO-HAp coatings was  
13 studied by soaking samples in SBF solution, according to ASTM G31-72 (2004). The specimens  
14 were vertically immersed in SBF solution in glass beakers, which were covered with parafilms, at  
15  $37.0 \pm 0.5^\circ C$  for 7 days. The ratio of solution volume to exposed sample area was  $20 ml/cm^2$ .  
16 After the immersion test, all samples were removed from the SBF solution and washed in  
17 deionized water, followed by drying in warm air. Again, SEM, EDS and XRD techniques were  
18 applied to characterize the microstructure, element distribution and phase composition of the  
19 soaked samples, respectively.

## 20 **3 Results and discussion**

### 21 **3.1 Transient Voltage characteristic of PEO treatments**

1 Fig. 2 presents the voltage transient curves in function of time for different PEO treatments  
2 without and with different concentrations of HAp. According to voltage-ramp rate, the overall  
3 process of constant current treatment can be generally divided into three consecutive stages  
4 including general passivation, spark anodization and micro arc oxidation. During stage I, from 0s  
5 to 35s, voltage of all samples increases instantaneously to 250V in a linear manner, revealing an  
6 accelerated passivation process of substrate and instant growth of the oxide layer. In addition, this  
7 sharp tendency in voltage response also implies a uniform and compact topology of the initial  
8 dielectric oxide layer, which is similar to the passivation layer formed in ambient moisture  
9 environment [25, 29]. Almost no difference could be recognized among the samples produced  
10 with the different concentrations of HAp particles. Hence, it could be expected that suspended  
11 HAp particles are not involved in the anodic passivation. As soon as the voltage reaches a critical  
12 value that is indicated by the breakdown of the dielectric layer, the treatment proceeds into the  
13 second stage (spark anodization: 35s-300s). In this stage, numerous light white sparks appear on  
14 the sample surface and shift swiftly across the surface accompanied by intensive bubble  
15 liberation. However, the voltage-ramp rate decreases significantly from 7.14 V/s in the former  
16 stage to 0.75 V/s due to the consecutive breakdown and growth of the anodized layer. It should  
17 be noted that the voltage response of samples with higher HAp concentration, especially for the  
18 CH-sample, is slightly higher than the other samples, which might result from the uptake of HA  
19 particle into PEO coatings. In the third stage (300s-600s), the light short-lived sparks gradually  
20 evolve into large and stable ones, and the color of sparks changes into dark orange, which imply  
21 the initialization of micro arc oxidation stage. The voltage increase rate for all the samples  
22 obtained in constant current mode is greatly slowed down (0.1 V/s), expiring at around 500V by  
23 the end of treatment. The similar phenomena in voltage response for all the samples indicate a

1 steady state, in which the growth of coating thickness is stabilized corresponding to the applied  
2 voltage.

### 3 **3.2 Microstructure**

4 Fig. 3 presents the surface morphology of PEO coatings prepared in absence and presence of  
5 various concentrations of HAp particles. Image processing (contrast identification technique) was  
6 engaged to distinguish the pores on the PEO coatings, and the analyzed results are summarized in  
7 Fig. 4. As shown, all the prepared coatings exhibit the typical surface morphology of PEO layers,  
8 characterized by micropores, microcracks and deposition of molten oxide. This traditional kind of  
9 volcano-like morphology is formed due to the ejection of molten oxide out of the discharge  
10 channels and the subsequent solidification by the electrolyte as it reaches the coating surface [30,  
11 31]. With increasing amount of HAp particles, both the number and size of the pores on the  
12 surface tend to decrease and the surfaces become more compact. In details, the addition of 5g/L  
13 HAp does not reveal much decrease but slight increase in the surface porosity, while a dramatic  
14 decrease in the number of pore is witnessed with increasing the concentration up to 10g/L.  
15 Further increase of HAp concentration to 20g/L does not cause much further variation in the  
16 number of pores. Interestingly, the distribution of pores with respect to the size (4-7, 7-10, 10-15  
17 and above 15  $\mu\text{m}$ ) reveals that smaller pores (below 10 $\mu\text{m}$ ) take up the majority part of the  
18 overall pores and the amount of larger pores (over 10 $\mu\text{m}$ ) is relatively low especially if HAp  
19 concentration is increasing. Obviously, HAp is beneficial to eliminating the pores on the PEO  
20 surface, and the level of such an impact is proportional to the concentration of HAp. Similar  
21 results have also been reported in previous works, in which graphite, clay as well as tungsten  
22 carbide (WC) particles are dispersed in the electrolyte for preparing PEO coatings [26, 29, 31].  
23 So, it is reasonable to speculate that these particles may homogenize the discharge behavior,

1 alleviating the power of individual discharge spark and, hence, reducing the defects of the PEO  
2 coatings.

3 The cross-section images of coatings developed in electrolytes with different concentrations of  
4 HAp are presented in Fig. 5. The thickness of the produced coatings is measured at different  
5 regions of cross section in order to obtain an average estimation value, and the results are given  
6 in Fig 6. The thickness of C0-, CL-, CM- and CH-coating is  $43.9 \pm 4.8$ ,  $36.8 \pm 1.2$ ,  $36.8 \pm 3.4$  and  
7  $33.1 \pm 2.7$   $\mu\text{m}$  respectively, which implies that the addition and increase of HAp results in a slight  
8 decrease in the coating thickness. In terms of the morphology of cross-section, all the fabricated  
9 coatings can be divided into three different regions in general, namely outer layer, pore band and  
10 inner compact barrier layer. According to the growth mechanism reported by Hussein et al. [26,  
11 32], PEO coatings grow towards the opposite directions simultaneously, comprising of inwards  
12 growth to the substrate and outwards growth to the coating/electrolyte interface. The inwards  
13 growth can be attributed to the diffusion of oxygen towards the Mg substrate, while the outwards  
14 increase in thickness results from the continuous ejection of molten material on the coating  
15 surface and its subsequent solidification. Eventually, the pore band is formed because the growth  
16 dynamics of the two directions are not same all the time, resulting in such an unfilled region in  
17 the coating. The outer layer is much thicker than the other two parts of the coating, taking up  
18 more than half of overall coating thickness. However, many visible defects can be observed even  
19 in a relatively low magnification. In general, these defects are residual discharge channels,  
20 entrapped gas pores and open pores, which are formed due to the short-lived sparks and  
21 spontaneous solidification caused by the instant cooling by the electrolyte. Hence, it is  
22 presumable that this outer layer cannot provide sufficient protection of substrate against corrosion.  
23 On the contrary, the inner layer is only about  $2\mu\text{m}$  thick, but few defects can be revealed in the

1 layer and at the coating/substrate boundary. Therefore, it can be understood that the prepared  
2 coatings are cohesively attached to the substrate and resistive to corrosion mainly due to the  
3 protection of the relatively compact inner layer. Moreover, with addition and increasing the  
4 concentration of HAp particles, the defects existed in the outer layer tend to be sealed. This  
5 optimization in cross-section morphology agrees well with corresponding surface microstructure,  
6 which suggests the HAp particles could affect the discharge behavior and change the coating  
7 composition [33].

### 8 **3.3 Elemental and phase composition**

9 To further trace the suspended HAp particles and investigate the elemental composition of the  
10 obtained coatings, EDS analysis (scanned area= appr.  $4\text{mm}^2$ ) was carried out on the coating  
11 surface and the results are summarized in Table 2. Comparing to C0-coating without Ca presence,  
12 CL-, CM- and CH-coating prepared with 5, 10 and 20 g/L HAp addition reveal a Ca content of  
13 4.6, 7.7 and 11.0 at.% respectively. The concentration of P remains almost stable while  
14 increasing concentration of HAp particles in electrolyte. These results strongly suggest a reactive  
15 incorporation mechanism of HAp into PEO coatings, in which only the amount of Ca is  
16 proportional to the concentration of suspended HAp. Fig. 7 and 8 present the EDS mapping and  
17 line scan across the thickness of CH-coating, respectively. It can be noted that P and O are  
18 uniformly distributed over the whole cross-section, while Ca shows some aggregation in the pore  
19 band (dash line). The line scan (black line: P, red line: Ca) also confirms a higher amount of Ca  
20 and P in the pore band region.

21 Fig.9 presents the GIXRD patterns of the different coatings and the pattern of the HAp particles.  
22 A broad bump distributing in the range of 20 to 35 degree of  $2\theta$  for all the coatings suggests the  
23 existence of amorphous phases, which are likely originated from the fast solidification process of

1 the ejected molten oxide. In addition, typical diffraction peaks from  $\text{Mg}_3(\text{PO}_4)_2$ ,  $\text{MgO}$  and  $\text{Mg}$   
2 phases are detected in the C0-coating, which is consistent with the results reported in previous  
3 work [25]. With addition of HAp particles, the  $\text{Mg}_3(\text{PO}_4)_2$  phase is rarely to be found. Instead, a  
4 new calcium-deficiency phase ( $\text{Na}_2\text{CaMg}_7(\text{PO}_4)_6$  Chladnite: PDF 00-047-1763) is formed.

5 According to our previous works [33, 34], the incorporation of particles into PEO coating is  
6 strongly dependent on the particle size and their melting temperature. Comparing with the sizes  
7 of pores on the surface of coating, most of the HAp particles are small enough to enter into the  
8 coating through the discharge channels and being deposited in the pore band of the coating. In  
9 addition to the entrapped particles, the discharge channels are also filled up with electrolyte. As  
10 soon as the breakdown of the oxide coating by the spark discharge, extreme high temperature and  
11 pressure [35] are introduced. Local melting of the substrate and coating materials together with  
12 the incorporated particles can occur simultaneously. With respect to the used HAp particle itself,  
13 a hexagonal crystalline structure (PDF 00-046-0905) was determined by XRD, which is less  
14 stable than its monoclinic alternatives [36, 37]. Combining the unstable crystal structure, small  
15 scale particle size and low melting point, it is not hard to speculate the HAp particles are possible  
16 to be molten and form a new composite phase  $\text{Na}_2\text{CaMg}_7(\text{PO}_4)_6$ .

### 17 **3.4 Corrosion performance**

#### 18 **3.4.1 Electrochemical impedance spectroscopy (EIS)**

19 Electrochemical impedance spectroscopy (EIS) was employed to characterize the corrosion and  
20 degradation process of coatings in SBF solution at  $37.0 \pm 0.5$  °C up to 72 hours. The Nyquist and  
21 Bode plots in Fig. 10 compare the respective response of the four PEO coatings after different  
22 immersion times (1, 12, 24, 48 and 72h). At the beginning, after 1h stabilization in SBF solution,

1 all the coatings display a double-loop pattern in Nyquist plot, which coincides well with their  
2 respective curves in Bode plot at middle and low frequencies. Closer look to the Bode plots  
3 shows that at high frequencies there are certain signs of a third relaxation process. This high-  
4 frequency time constant can be associated to the response of the outer PEO layer, while the one at  
5 middle frequencies describe the response from the inner barrier layer. Finally, the low-frequency  
6 relaxation process can be assigned to the electrochemical activity (double layer capacitance and  
7 charge transfer resistance) in the defects at metal/electrolyte interface. Addition of HAp to the  
8 PEO electrolyte remarkably increases the total impedance of some coatings even at initial  
9 immersion stages. However, this effect becomes even more evident in course of immersion. The  
10 blank PEO coating demonstrates only a moderate increase of the impedance values at low  
11 frequencies while the systems with particles exhibit much more remarkable effect achieving  
12 sometimes almost 10 folds higher values after 72 hours when compared to the initial impedance.  
13 Closer look to the evolution of impedance spectra suggests possible explanation of this  
14 phenomenon. There is an important increase of the pore resistance of outer layer, resistive  
15 impedance response at about 1000Hz, with immersion time for the coatings which contain  
16 particles. The high frequency time constant becomes more evident with time in the case HAp-  
17 containing coatings in contrast to the blank one. Such a behavior suggests that there is an  
18 important sealing of the outer layer by a kind of insoluble conversion products formed in the  
19 open pores as a result of corrosion process or/and transformation of coating components during  
20 immersion in SBF. The evolution of middle frequency capacitive response related to the  
21 capacitance of inner barrier layer is also significantly affected by the presence of HAp in the  
22 coatings. The particle free system demonstrates quite stable values in course of immersion, while  
23 the CL-, CM-, and CH- coatings show a remarkable decrease of the capacitance. Such a decrease  
24 can be assigned to increase of the barrier thickness or to a decrease of the surface area of carrier

1 layer exposed to the electrolyte through the pores in the outer layer. Both these factors occurring  
2 at the same time can also play a role.

3 The equivalent circuit schematically shown in Fig.11 has been used in this work to fit the  
4 experimental spectra and quantify the important physicochemical parameters describing the  
5 evolution of respective coatings. In the proposed circuit,  $R_s$  stands for resistance of electrolyte  
6 between working electrode and reference electrode. Two consecutive groups of paralleled  
7 combination of resistor ( $R_o$  and  $R_i$ ) and constant phase element ( $CPE_o$  and  $CPE_i$ ) are applied to  
8 describe the resistance and capacitance of the outer porous and inner barrier layer, respectively.  
9  $R_{ct}$  and  $CPE_{dl}$  represent the charge transfer resistance and electrochemical double layer capacitor  
10 at the substrate/coating interface, whereas  $R_o$  and  $CPE_o$  imply the resistive and capacitive  
11 response from the outer porous layer. The constant phase elements are used here instead of  
12 capacitances in order to account for non-uniform distribution of parameters. Fitted curves are  
13 given as solid lines shown in Nyquist and Bode graphs (Fig. 10). Note, Chi-squared is used as an  
14 index to evaluate the goodness of fitting, and this value is less than  $10^{-3}$  for all current fitting,  
15 which confirms an acceptable error.

16 For a comprehensive understanding of the degradation of the studied coatings, the evolution of  
17 the resistance of different layers, including  $R_o$ ,  $R_i$ ,  $R_{ct}$  as well as  $R_{sum}$ , is illustrated in Fig. 12. The  
18 resistance of the outer layer always shows the lowest values in comparison to the contribution of  
19 other components. It is caused by the highly porous nature of this layer as a result of discharges  
20 which leaves pores going through the outer layer and creates the pore band. Only a minimal  
21 difference in this parameter for all the samples can be distinguished at the test. All the samples  
22 with HAp addition in the electrolyte show a well-defined increasing trend during 72h, while the  
23 bare PEO specimen remains at a relative low value. Importantly the increase of the particle

1 concentration in the electrolyte generally leads to stronger effect. According to the conclusion  
2 drawn in reference [38, 39], the presence of hydroxyapatite layer in the coating could trigger the  
3 nucleation and deposition of calcium phosphate (e.g. apatite), which in turn enhances the  
4 resistance of the outer layer. Therefore, the coating with more HAp addition reveals higher  
5 resistance in the outer layer. The resistance of inner layer for each coating is one or two orders  
6 higher than that of the outer layer taking up the majority resistance of the whole coating.  
7 Moreover, the CL-, CM- and CH-coating receive an increase in  $R_i$  values, which is proportional  
8 to the concentration of added HA particles. As discussed above the thickening of the inner barrier  
9 layer, sealing of the defects in such a layer by precipitates or reducing the surface area of the  
10 inner layer exposed to the electrolyte in pores can be responsible this increase. As the  
11 enhancement of  $R_i$ , the charge transfer resistance ( $R_{ct}$ ) shows the similar tendency, in which the  
12 increase of each sample corresponds to HAp concentration. As discussed before, the increase in  
13  $R_i$  and  $R_{ct}$  results from sealing of the inner porosity and defects by the incubated precipitation of  
14 corrosion products at local corroded spots. It should also be noted that, in addition to the Ca/P  
15 composition of PEO-HAp, the optimization of microstructure can also facilitate the enhancement  
16 of resistance. As shown in Fig. 3, the addition of HAp particles relieves the porosity in the  
17 coating, impeding the penetration of electrolyte. Overall, with consideration of composition and  
18 structure, CH-coating produced with 20g/L HAp addition reveals a higher corrosion resistance  
19 throughout 72h immersion.

### 20 **3.4.2 Immersion test**

21 The long-term corrosion response and ability for inducing the precipitation of HAp on coating  
22 from SBF solution of the obtained PEO coatings are evaluated by immersion test. Fig. 13  
23 compares the surface morphologies of bare magnesium and PEO coated specimens after 7d

1 immersion in neutral SBF solution at  $37.0 \pm 0.5$  °C. After drying, a uniform degradation can be  
2 observed on the bulk Mg substrate, which is characterized by numerous cracks and debris on the  
3 surface. In principal, Mg can be oxidized into  $Mg^{2+}$  ions by  $H_2O$  molecule in ambient  
4 environment, releasing  $H_2$  and depositing  $Mg(OH)_2$  precipitates on the sample surface (see  
5 Equation (1) and (2) [1, 2]). As the dehydration of  $Mg(OH)_2$ , according to Equation (3), the  
6 volume of pre-deposited hydroxide layer possesses a tendency to shrink, resulting in the  
7 aforementioned cracks and peeling-offs on the Mg surface.



11 For the PEO-coated samples (Fig. 13 (b-e)), on the other hand, the surfaces just reveal a slight  
12 degradation in comparison with those before immersion. This variation in surface morphology is  
13 closely related with the degradation of PEO coating, during which the hidden closed pores are  
14 gradually exposed to the electrolyte. This is because of MgO, the main composition of PEO  
15 coating, is not stable in aqueous environment [1], and facilitates the reaction in Equation (3)  
16 proceeding towards the adverse direction. Consequently, the PEO coatings also show slight  
17 degradation as indicated by the increasing amount and enlargement of the micropores on the  
18 surfaces, since the degradation always initializes from these defects. On the other hand, it should  
19 be also noted that the corrosion products and precipitates are more favorable to be deposited  
20 along or even inside these defects (cracks and pores), where the nucleation is easier than at the  
21 smooth regions. Since the calcium phosphate is able to nucleate both in the SBF solution and on  
22 the metal surface simultaneously [40], this precipitates on the sample surface is suggested to be  
23 the CaP compounds. The defects in coatings are gradually sealed by the precipitates and

1 accumulation of corrosion products, and this could partially contribute to the consecutive increase  
2 in the coating impedance (Fig. 10).

3 Fig.14 and Fig. 15 show the variation in atomic Ca/P ratio and phase composition of the  
4 immersed samples, respectively. After 7 days immersion, the atomic Ca/P ratio receives an  
5 increase for all the test samples. Bare Mg shows the greatest promotion of 0.7 in this value,  
6 which confirms the deposition of  $\text{Ca}^{2+}$  and  $\text{HPO}_4^{2-}$  ions onto the surface, since the local alkaline  
7 environment caused by the corrosion of Mg favors this action. Nevertheless, instead of any  
8 crystalline CaP compound(s), most of the corrosion products are nano-crystalline or amorphous  
9 phases for the corroded Mg sample, which are characterized by the wide bumps distributed in the  
10 lower degree range. According to Cao and Pamela Habibovic et al.,  $\text{Mg}^{2+}$  kinetically hinders the  
11 nucleation and subsequent growth of HAP through competing the molecule structural sites with  
12  $\text{Ca}^{2+}$  [40-43]. When the concentration of  $\text{Mg}^{2+}$  is below 35 atomic percentage, the growth of  
13 crystal is inhibited, and above this value, the precipitates are completely amorphous [44]. Hence,  
14 the presence of amorphous phase indicates a fast corrosion process (more than 35 atomic  
15 percentage  $\text{Mg}^{2+}$  is released on the surface) of bulk Mg substrate in SBF solution. On the  
16 contrary, C0-, CL- and CM-coatings just reveal some minor changes in phase composition, which  
17 indicates the stability of the fabricated coatings. In specific,  $\text{Mg}_3\text{Ca}_3(\text{PO}_4)_4$  (Stanfieldite, PDF 01-  
18 073-1182) and  $\text{Ca}_{9.74}(\text{PO}_4)_6(\text{OH})_{2.08}$  (Hydroxyapatite, PDF 01-086-1199) are detected on the CH-  
19 coating with higher amount of Ca. The introduction of new phases demonstrates that  $\text{Na}^+$  and a  
20 part of  $\text{Mg}^{2+}$  in  $\text{Na}_2\text{CaMg}_7(\text{PO}_4)_6$  are substituted by  $\text{Ca}^{2+}$  from either the amorphous phase of the  
21 coating or SBF solution. Obviously, the higher percentage of Ca in the coating facilitates this  
22 transformation, and the newly introduced substance is possible to seal the pores in the coating,  
23 resulting in increasing impedance as discussed before. In short, incorporating HAP particles into  
24 PEO coating would enhance the corrosion properties of the coating, and the production of HAP

1 through immersion approach is achievable for PEO coating containing a relatively higher content  
2 of  $\text{Ca}^{2+}$ , which is introduced by the addition of 20g/L HAp particles in the electrolyte.

### 3 **4 Conclusions**

4 In present work PEO coatings were fabricated on Mg substrate in alkaline phosphate electrolyte  
5 with hydroxyapatite (HAp) particles addition. The influence of HAp concentration on voltage-  
6 current response, coating morphologies, composition and corrosion performance was investigated.

7 Based on the obtained results, the following conclusion can be drawn:

8 (1) Considering the physical and chemical properties, HAp particles tend to be reactively  
9 incorporated into the PEO coatings during spark discharge stage producing a calcium-  
10 deficiency phase ( $\text{Na}_2\text{CaMg}_7(\text{PO}_4)_6$ ) as a main component. Moreover, addition of HAp into  
11 electrolyte decreases the thickness and porosity of the coating, resulting in a more compact  
12 morphology and, in turn, enhanced corrosion resistance.

13 (2) After the initial slight degradation of the outer layer corrosion resistance ( $R_o$ ), a continuous  
14 increase is observed for all the resistances used for fitting the multi-layer PEO-HAp coatings.  
15 The incorporated HAp particles improve the compactness of PEO coating, and incur the  
16 precipitation of corrosion products from the electrolyte onto the coating surface and in the  
17 coating pores. Moreover, the corrosion products produced in the pores also promote the  
18 corrosion resistance of the inner layer.

19 (3) During long-term immersion, PEO coatings in absence and presence of HAp demonstrate less  
20 degradation than the pure Mg substrate. Hydroxyapatite is only formed on CH-coating with  
21 20g/L HA addition into the electrolyte, which may result from the gradual substitution of  $\text{Na}^+$   
22 and  $\text{Mg}^{2+}$  by  $\text{Ca}^{2+}$  in lattice structure of ( $\text{Na}_2\text{CaMg}_7(\text{PO}_4)_6$ ). However, Mg-containing HAp

1 phase is still detectable after immersion in SBF solution because of the inhibition of  
2 crystallization of HAp by  $Mg^{2+}$  released from corrosion.

3

4

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9

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- 9
- 10

Fig. 1 SEM image of HAp particles

Fig. 2 Potential transient curves during PEO treatments

Fig. 3 Surface morphology of PEO coatings with and without HAp  
(a) C0-, (b) CM-, (c) CL- and (d) CH-coating

Fig. 4 Surface porosity analysis for PEO coatings ( $1\text{mm}^2$ ) in absence and presence of HAp particles

Fig. 5 Cross-section morphology of PEO coatings without and with HA addition  
(a) C0-, (b) CM-, (c) CL- and (d) CH-coating

Fig. 6 Thickness of PEO coatings in absence and presence of HA

Fig. 7 EDS mapping of CH-coating

Fig. 8 EDS line-scan of CH-coating

Fig. 9 GIXRD patterns in  $5^\circ$  grazing angle for PEO coatings without and with different concentrations of HAp

Fig. 10 Nyquist and bode plots for PEO coatings after different immersion duration  
(a)(b)C0-, (c)(d) CL-, (e)(f) CM- and (g)(h) CH-coating

Fig. 11 Equivalent circuit for simulating the corrosion process of PEO coatings

Fig. 12 The variation in impedance of different layers and sum impedance during 72h immersion (a)  $R_o$ ; (b)  $R_i$ ; (c)  $R_{ct}$  and (d)  $R_{sum}$

Fig. 13 Surface morphology after immersion in SBF solution for 7 day at  $36\pm 0.5^\circ\text{C}$   
(a) hp-Mg, (b) C0-, (c) CL-, (d) CM- and (e) CH-coating

Fig. 14 Ca/P ratio before and after immersion test

Fig. 15 GIXRD patterns for PEO samples after 7d immersion

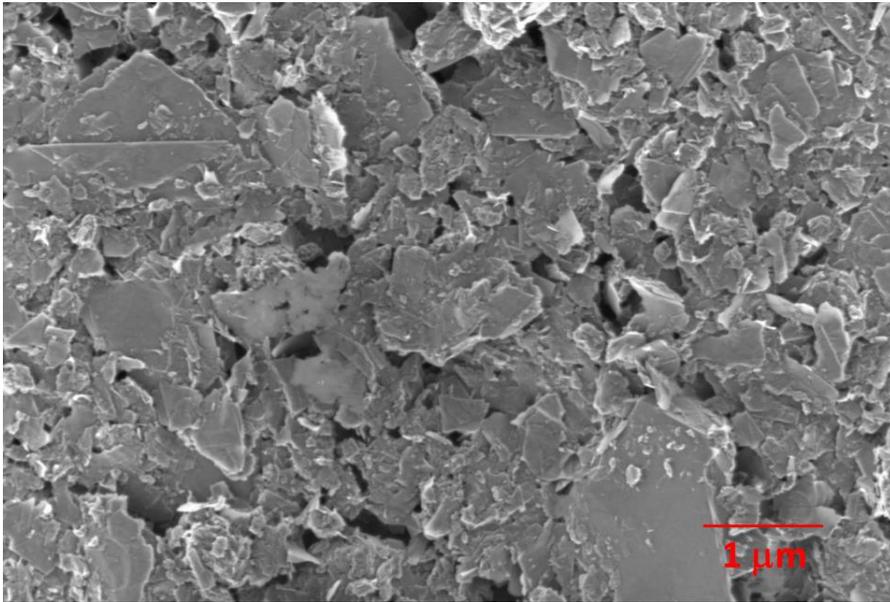


Fig. 1

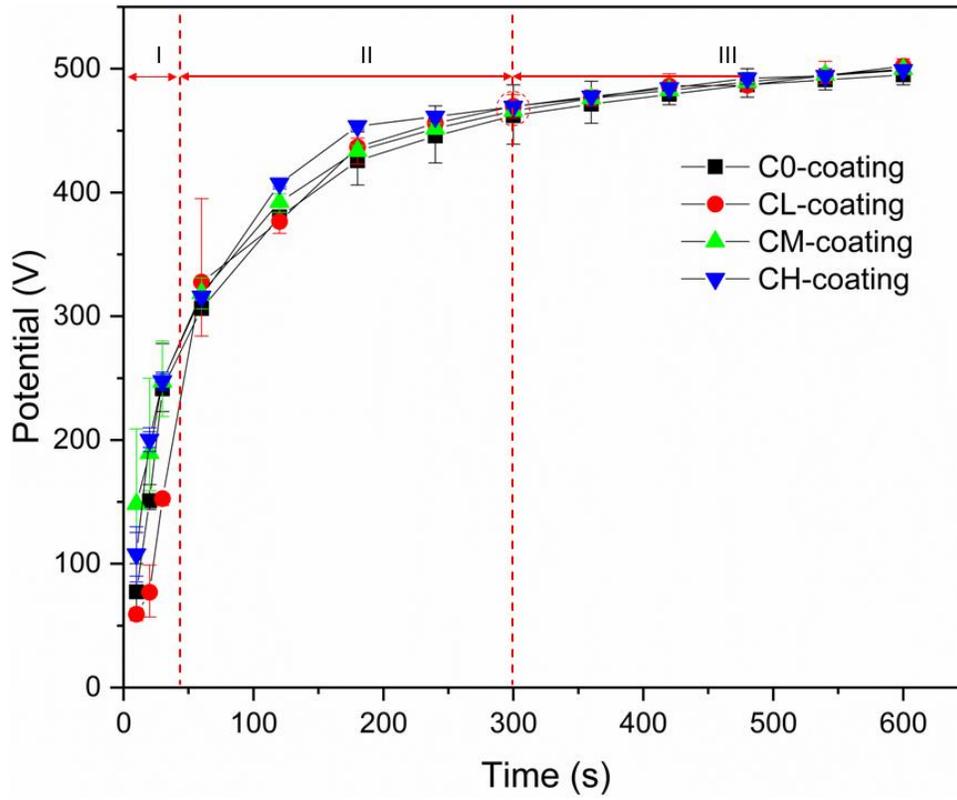


Fig. 2

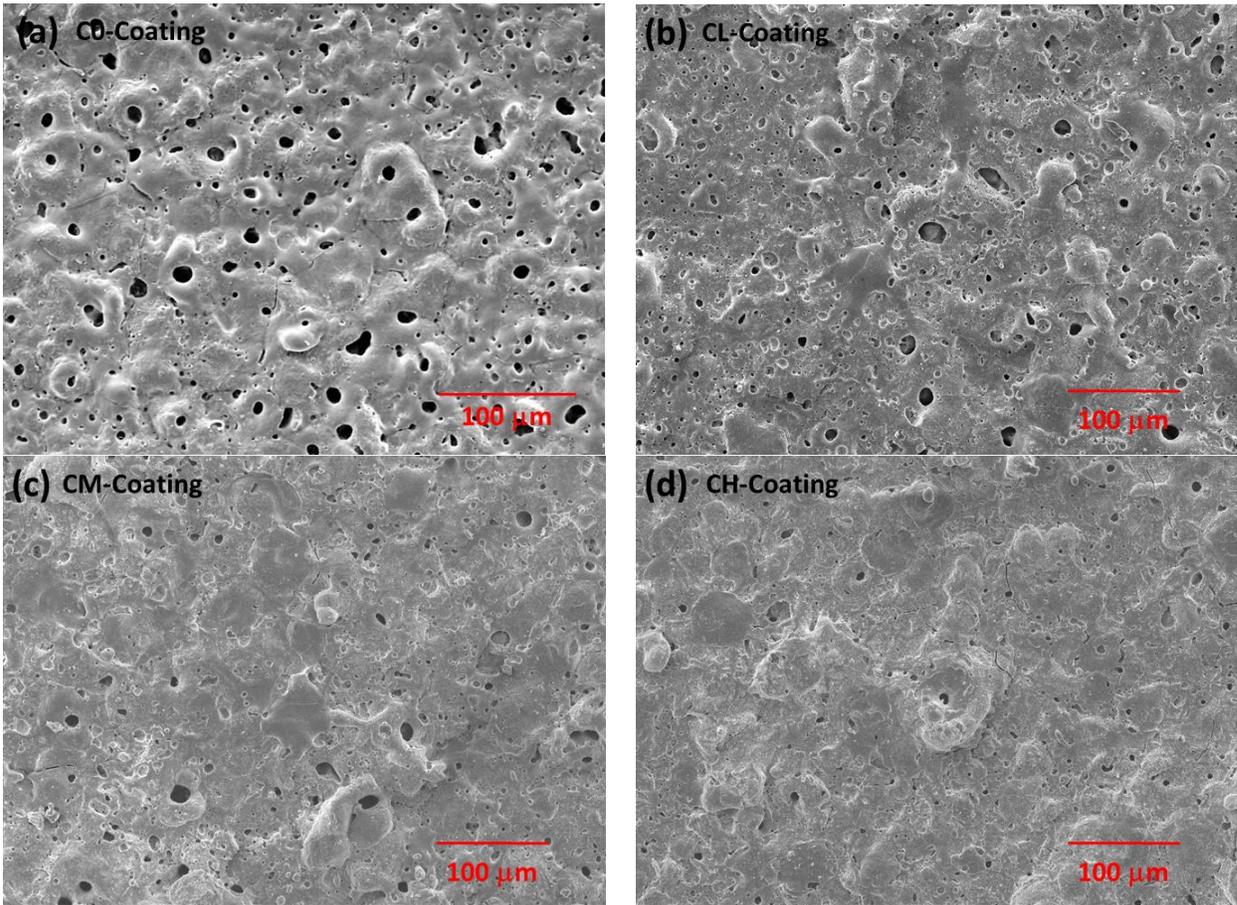


Fig. 3

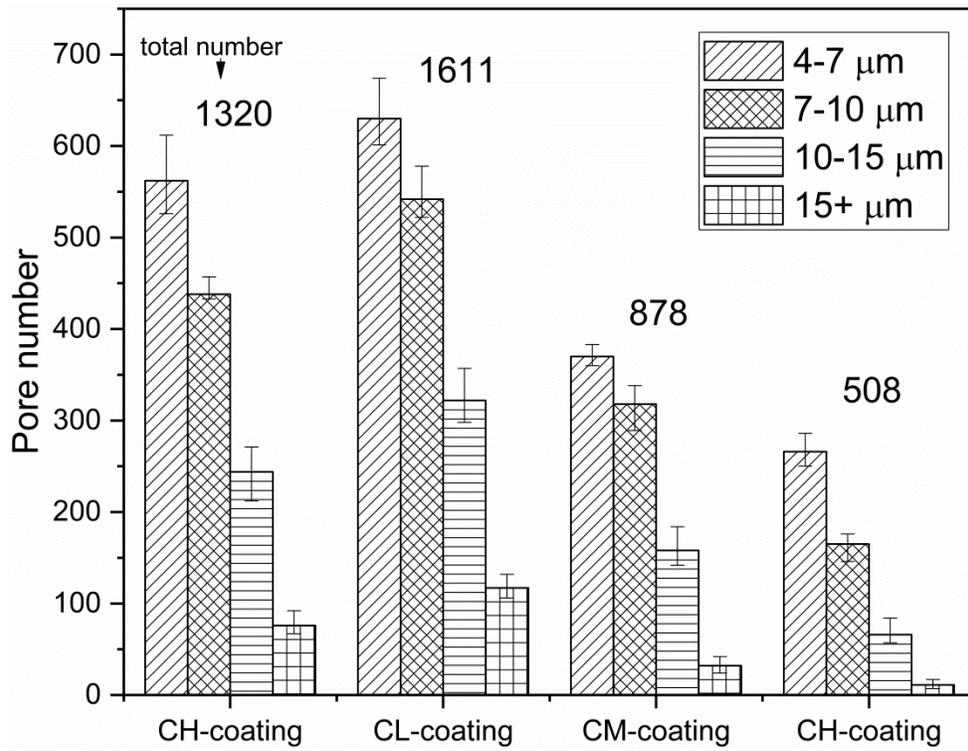


Fig. 4

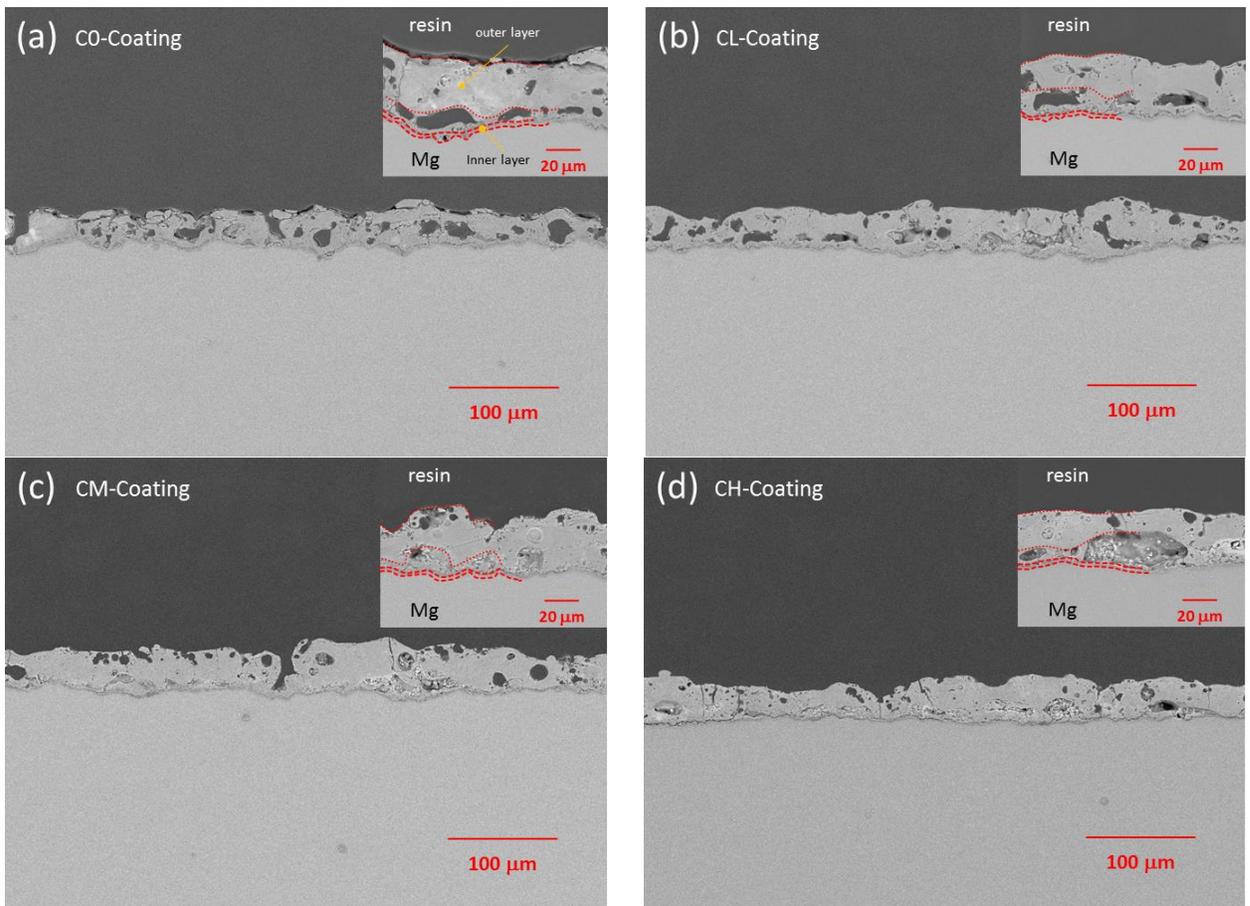


Fig. 5

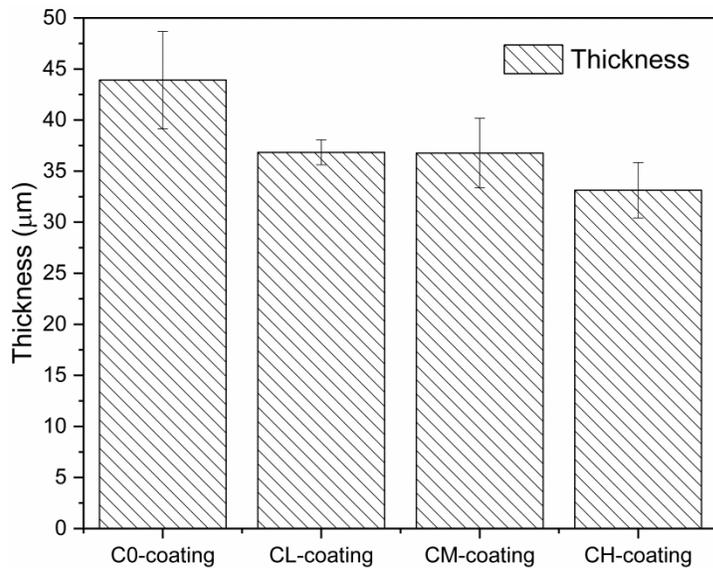


Fig. 6

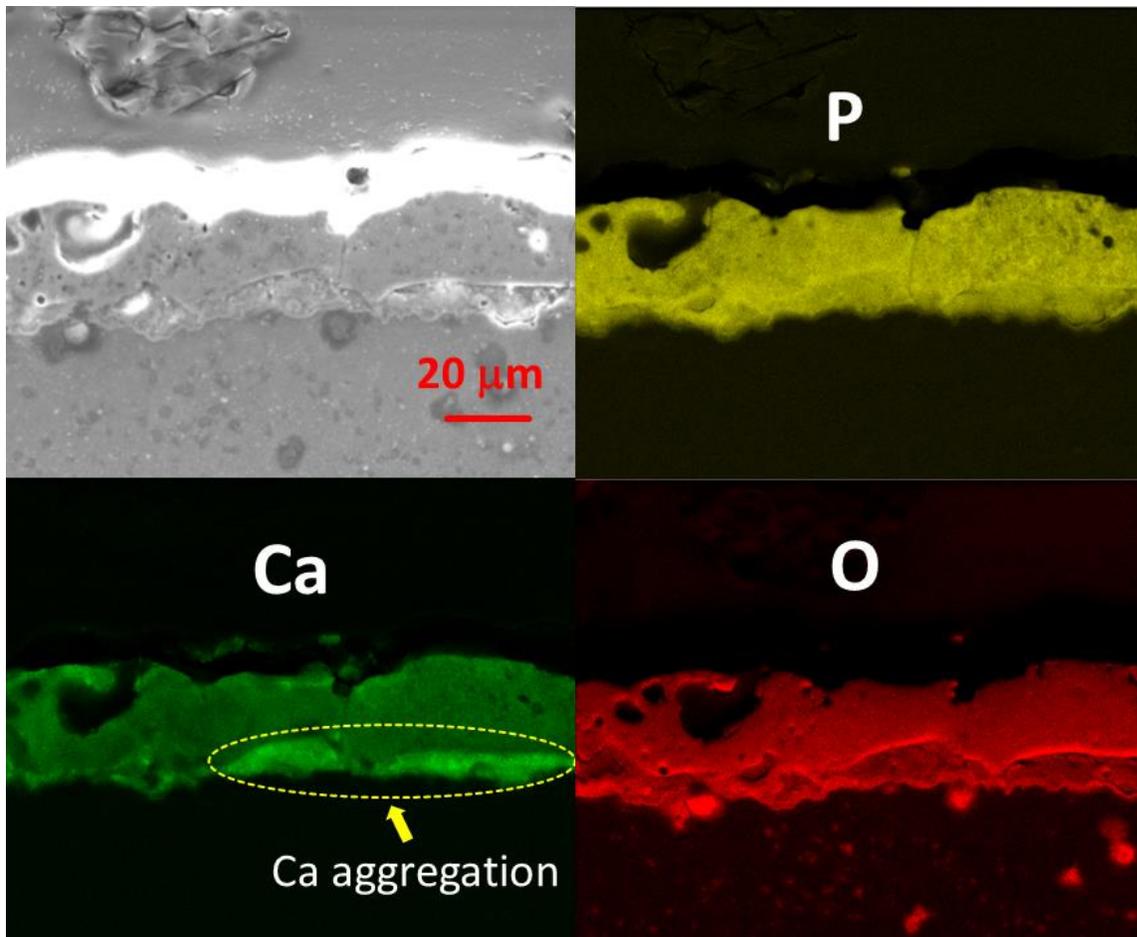


Fig. 7

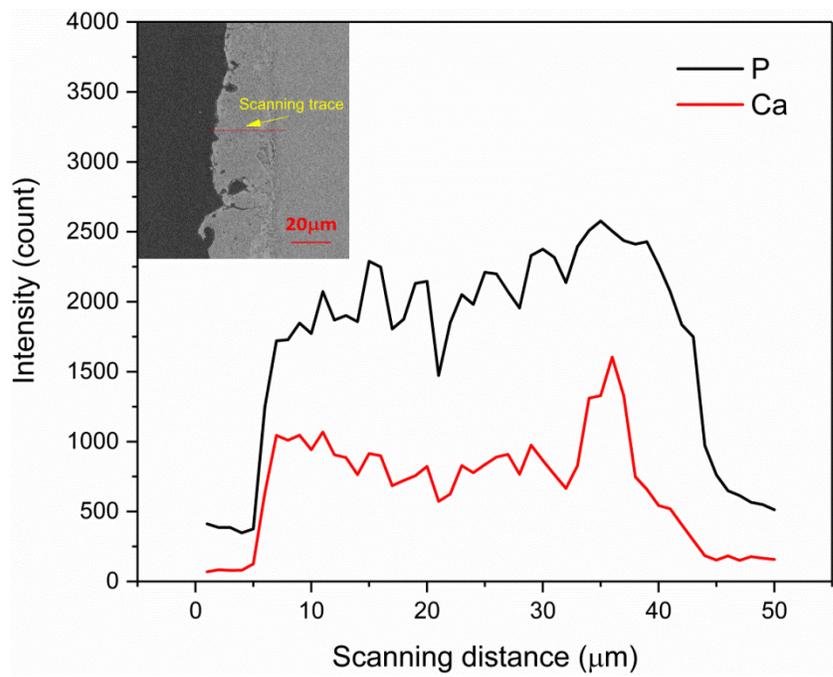


Fig. 8

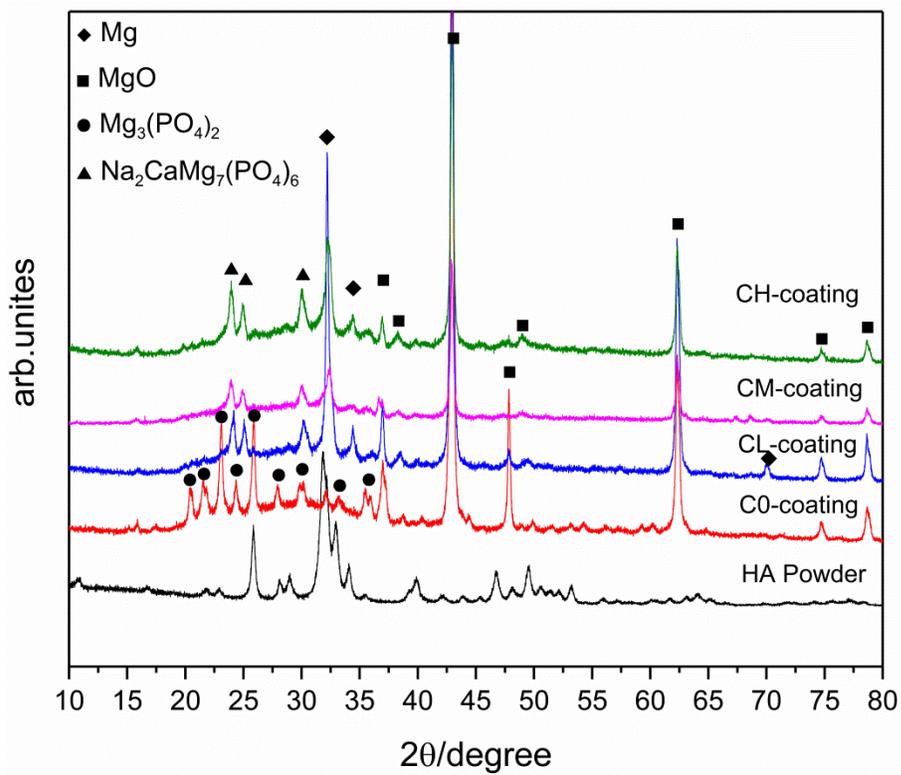
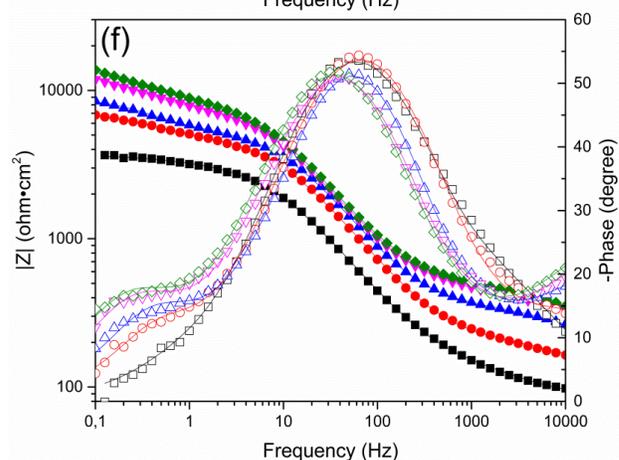
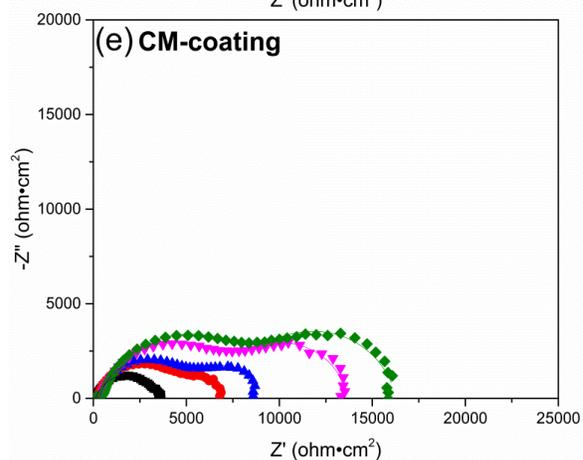
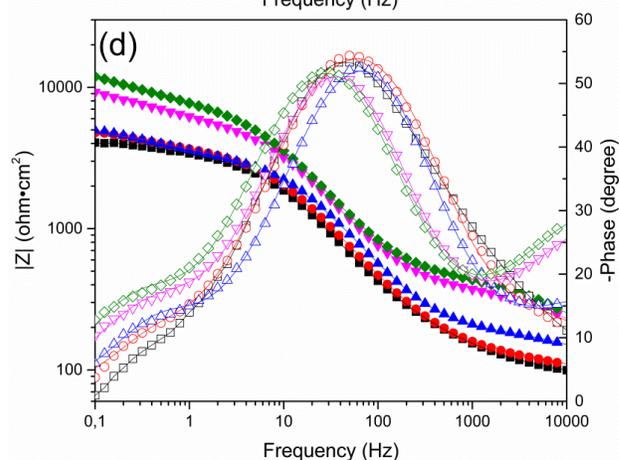
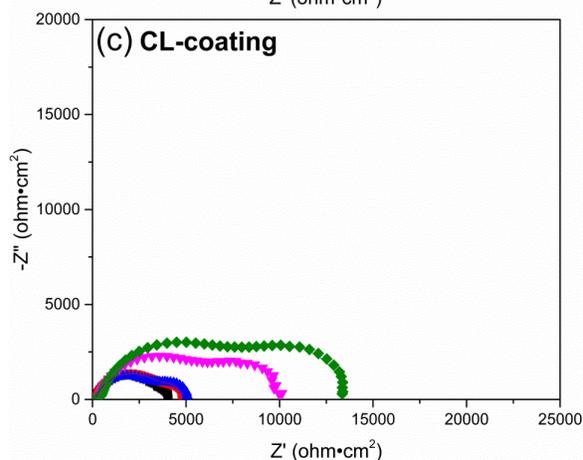
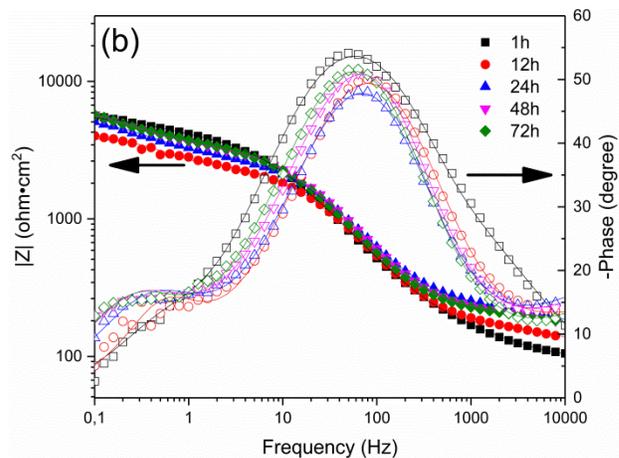
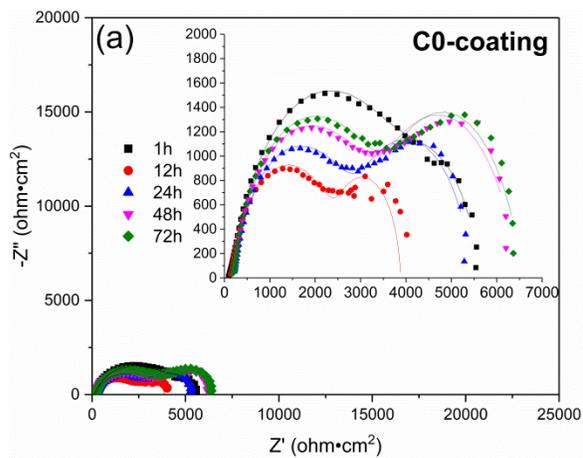


Fig. 9



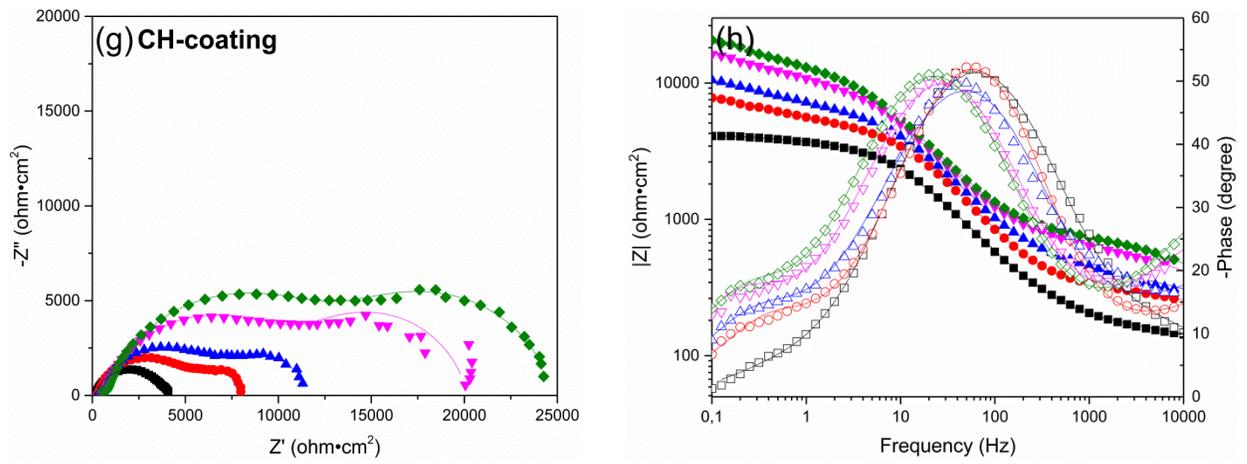


Fig. 10

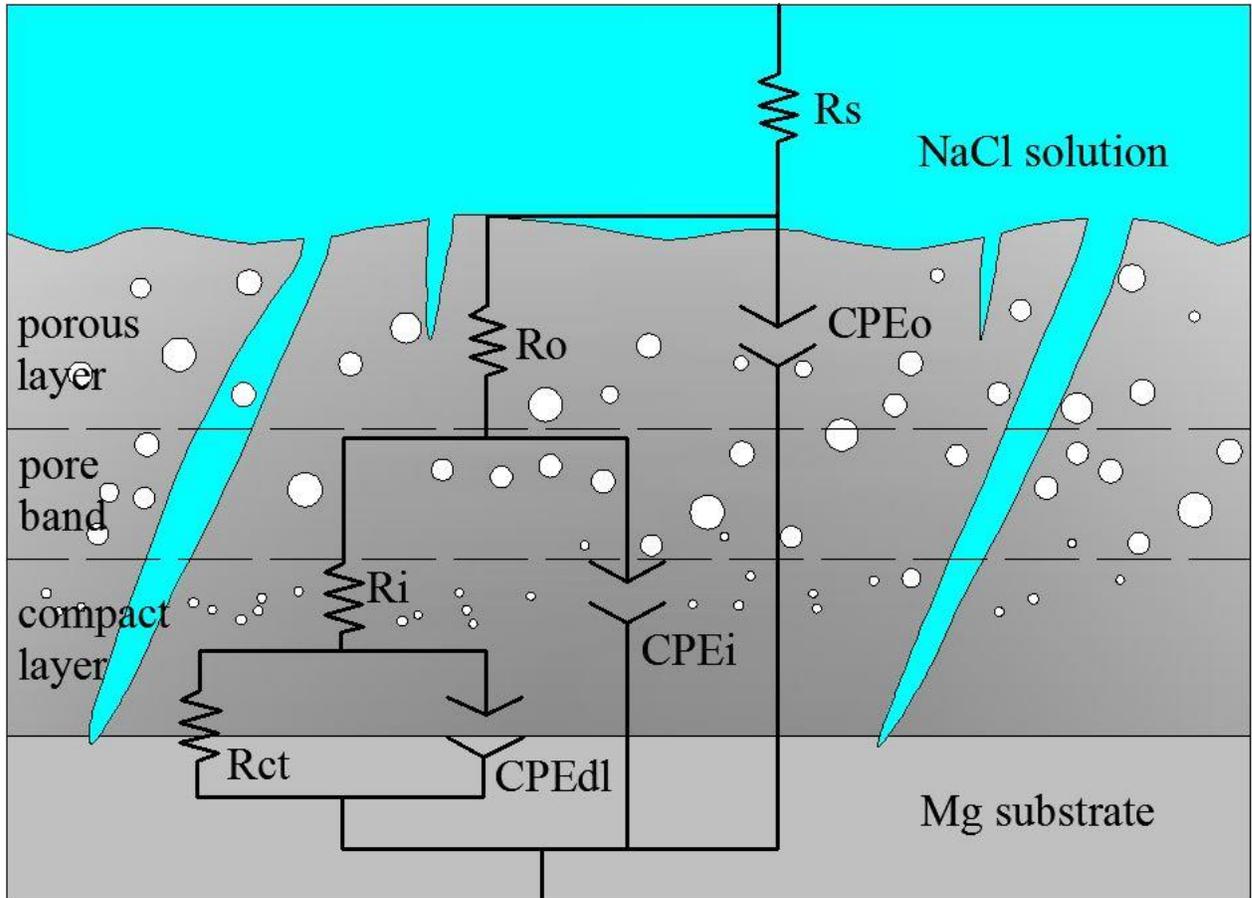


Fig. 11

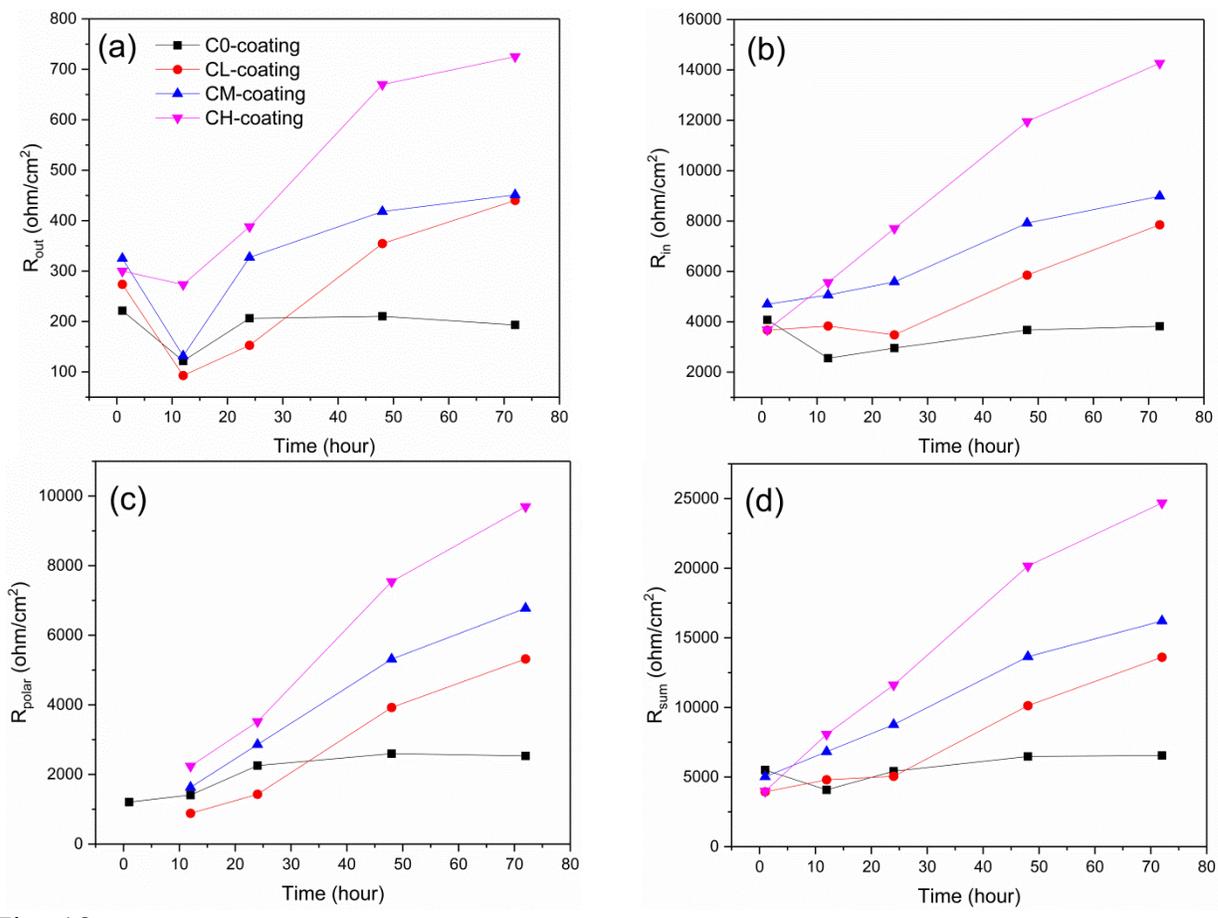


Fig. 12

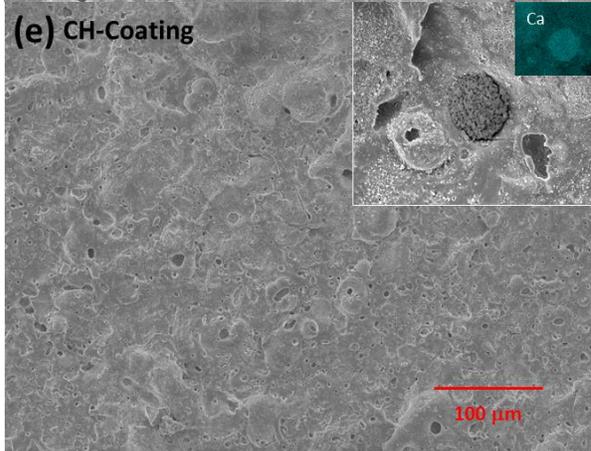
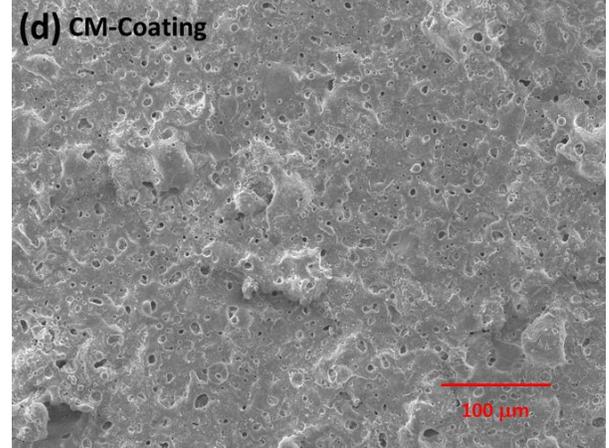
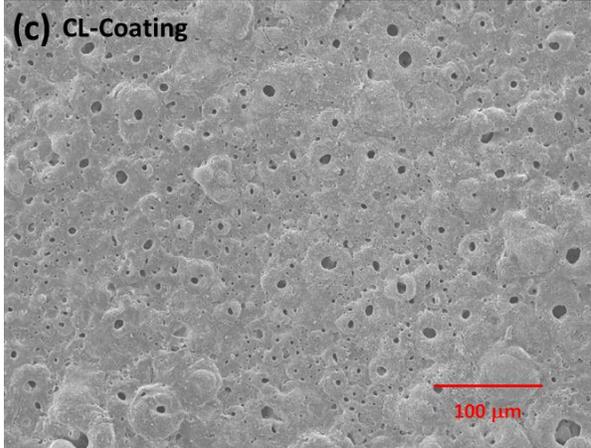
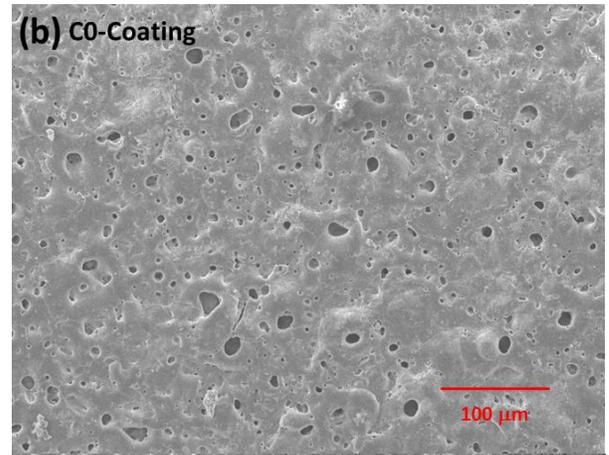
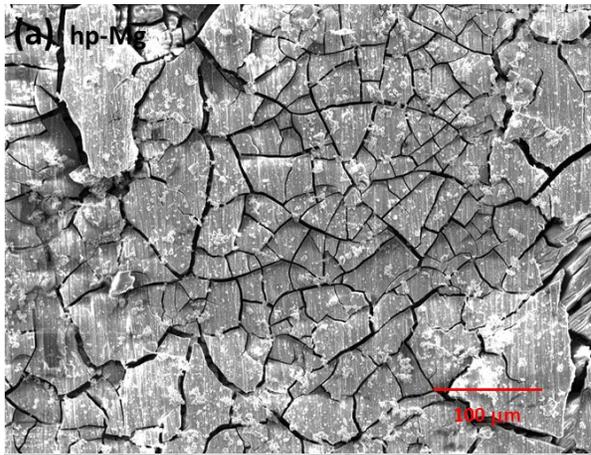


Fig. 13

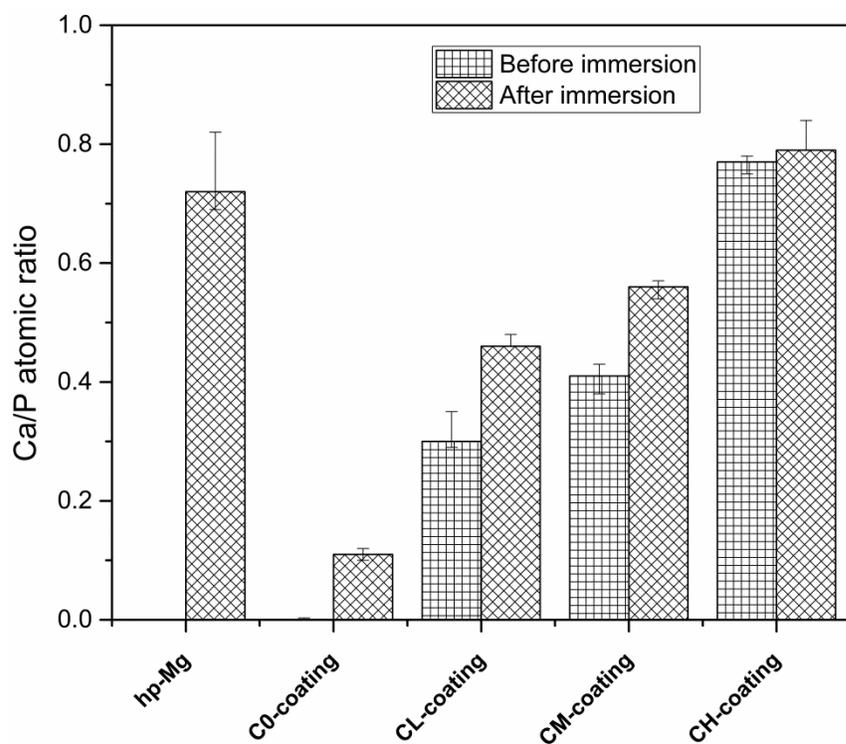


Fig. 14

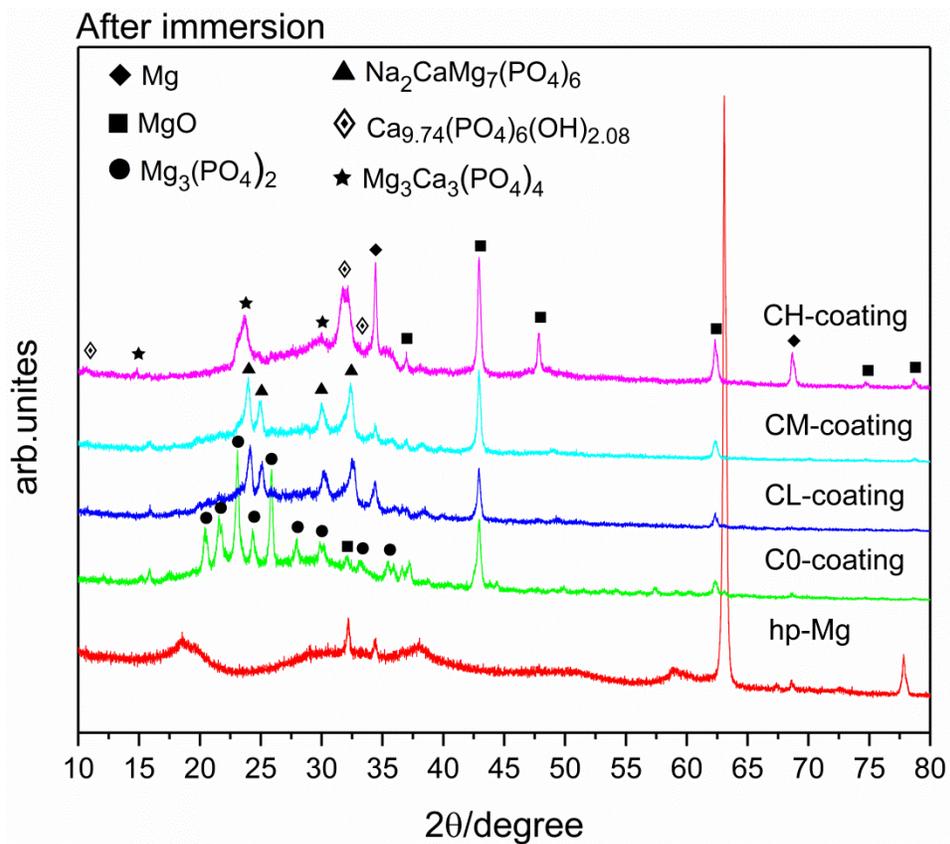


Fig. 15

Table 1 Electrolyte composition and power parameters for PEO processing

Sample	Electrolyte (g/L)			pH	Conductivity (ms/cm)	Power mode and parameters
	KOH	Na <sub>3</sub> PO <sub>4</sub>	HAp			
C0-coating	2	10	-	12.30	19.06	0.75A (constant current)
CL-coating	2	10	5	12.30	18.58	
CM-coating	2	10	10	12.33	18.10	
CH-coating	2	10	20	12.47	18,02	

Table 2 Elemental composition (at.%) of PEO-(HAp) examined by EDS

	O	Na	Mg	P	K	Ca
C0-Coating	49.962	5.371	29.534	14.229	0.904	-
CL-Coating	50.915	5.086	23.708	15.411	0.252	4.628
CM-Coating	51.314	4.402	20.566	15.818	0.157	7.743
CH-Coating	51.356	2.912	20.282	14.414	-	11.036