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**New post modification route for styrene butadiene copolymers  
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Synthesis and thermodynamic analysis of complexation**

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1 New post modification route for styrene butadiene copolymers leading to  
2 supramolecular hydrogen bonded networks - Synthesis and thermodynamic analysis of  
3 complexation

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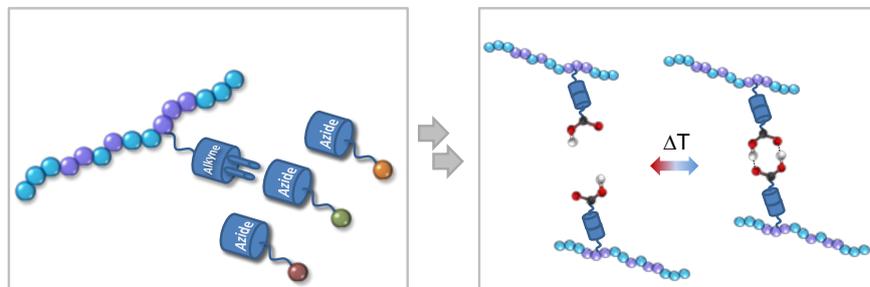
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15 Graphical Abstract (TOC)

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1        Abstract

2        In this work we present a new and simple post modification route for styrene-butadiene  
3 copolymers using well established reactions including hydrochlorination and Steglich  
4 esterification to obtain alkyne functionalized styrene-butadiene copolymers. The alkyne  
5 linker allows the introduction of arbitrary azides into the styrene-butadiene copolymers  
6 via copper catalyzed azide alkyne cycloaddition and this leads to a toolbox of diverse  
7 functional polymers. This method gives new opportunities regarding modification  
8 strategies and is used in this work to obtain supramolecular styrene-butadiene  
9 copolymer networks by introduction of a benzoic acid derivative. This simple  
10 supramolecular motif forms temperature dependent hydrogen bonding complexes  
11 which can be quantitatively studied by FTIR spectroscopy. We found that the  
12 equilibrium constant,  $\Delta H$  and  $\Delta S$  are changing with temperature which can be attributed  
13 to a structural modification: the dissociation.

14

15        Keywords: Post modification, supramolecular polymers, hydrogen bonding, IR-  
16 spectroscopy, complex formation.

17

18        Highlights

- 19        - A new and simple post modification route for styrene-butadiene copolymers is  
20 presented.  
21        - The presented reaction pathway leads to a toolbox of diverse functional polymers.  
22        - The equilibrium constant,  $\Delta H$  and  $\Delta S$  of complex formation are temperature  
23 dependent.

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## 2 1. Introduction

3 In the last decades supramolecular chemistry has become a continuously growing field  
4 in polymer science. In contrast to conventional polymers, supramolecular polymers and  
5 networks are formed by non-covalent interactions such as  $\pi$ - $\pi$  [1], metal-ligand [2] and  
6 hydrogen bonding [3] between small molecules or telechelics. The primary feature of  
7 such polymers is the spontaneous assembly of the precursor building blocks to form  
8 larger structures [4, 5]. This is well-known from telechelic networks [6, 7]. The  
9 precursor building blocks (telechelic units) are end-functionalized with e.g. hydrogen  
10 bonding motifs and can form longer chains and networks by self-assembly [8]. This  
11 was reported by Meijer and Palmans et al [9]. Another possibility is the modification of  
12 the polymer not only at the polymer chain ends, but also along the polymer main chain  
13 by introduction of hydrogen bonding motifs which offers the possibility to tune the  
14 properties of the polymer to a great extent [10]. Three particular parameters affect the  
15 properties of these polymers: 1) the nature of the hydrogen bond, 2) the degree of  
16 modification and therefore the number of units bound together and 3) the molecular  
17 mobility of the polymer chains [11, 12]. The hydrogen bonding motifs may be  
18 entropically hindered to form complexes due to the polymer chains on which they are  
19 bound [13]. The properties of the polymer can of course not only be tailored by post  
20 modification, but also by designing the polymer itself. For example, combining polymer  
21 blocks with different chemical or physical properties, results in tailor-made block  
22 copolymers which can be designed for their application. The copolymers of styrene and  
23 butadiene are popular thermoplastic elastomers (TPE) which combine the properties of  
24 thermoplastic polystyrene and elastomeric polybutadiene. From a post modification  
25 point of view the butadiene units of these copolymers contain highly reactive double  
26 bonds while the polystyrene parts are chemically fairly inactive [14-16]. Modified  
27 styrene-butadiene copolymers are used to produce electrospun fibers [17, 18],  
28 nanocomposites [19, 20] and the probably largest field of application is the usage of  
29 modified styrene-butadiene copolymers as additives for bitumen [21] so far.

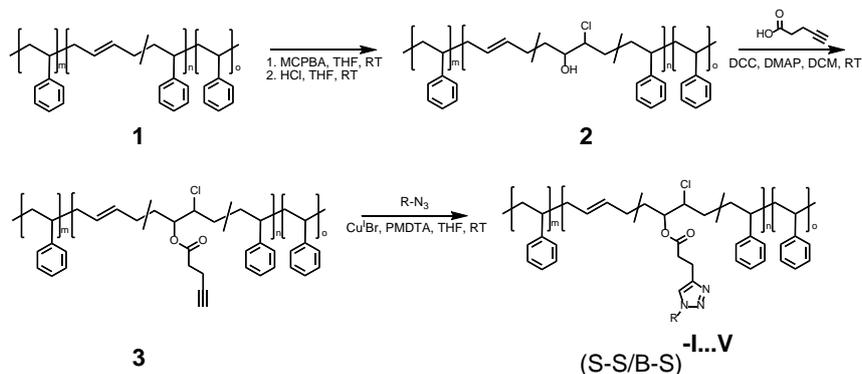
30 The modification of double bonds is essential in organic chemistry and well-studied  
31 since years. Electrophilic [22], nucleophilic [23] as well as radical addition [24, 25]  
32 reactions are often used for this purpose. Due to the lower reactivity of the double  
33 bonds in polymers in contrast to molecules with low molecular weight, the number of  
34 potential reactions is significantly reduced. Peng et al. [15] reported a modification  
35 route for polybutadienes by epoxidation, hydrolysis and following carbamate ester

1 forming using sulfonyl isocyanates. This procedure is a good alternative to the addition  
2 of 4-substituted 1,2,4-triazoline-3,5-diones to polydienes, which is one of the most  
3 effective methods for modifying polydienes quantitatively [26-30]. Dienophiles like 4-  
4 substituted 1,2,4-triazoline-3,5-diones are highly reactive and can instantaneously be  
5 added to olefins via an ene-reaction. But the main issue is the synthesis of the 4-  
6 substituted 1,2,4-triazoline-3,5-diones, which is a multi-step reaction and requires a lot  
7 of time and effort [14].

8 We present here a new three-step route for modification of a styrene-butadiene  
9 copolymer, which consists of two polystyrene end blocks and a random styrene-  
10 butadiene middle block (S-S/B-S), via an epoxidation step with following hydrolysis of  
11 the epoxide [15], Steglich esterification [31] afterwards and finally a "Click" reaction  
12 step (copper catalyzed azide alkyne cycloaddition, CuAAC) which is regiospecific and  
13 leads to high yields [32, 33] (Figure 1). In 1909 Nikolaus Prileschajew reported the  
14 reaction of an alkene with a peroxy acid first [34]. The electrophilic oxygen atom of the  
15 meta-chloroperbenzoic acid (mCPBA) (which is given by the high polarization) is able  
16 to add to alkenes. Several mechanisms have been proposed but it is still not fully  
17 understood. Commonly accepted is the so called "Butterfly Mechanism" which is a  
18 concerted reaction with a transition state that resembles a butterfly [35, 36]. Steglich  
19 esterification is a possibility to conduct an esterification reaction under mild conditions  
20 which is also suitable for sterically demanding molecules like polymers. The carboxylic  
21 acid is activated by forming an O-acylisourea intermediate with dicarbodiimide (DCC)  
22 and can then be added to the alcohol. Dicyclohexylurea results as side product, which  
23 is insoluble in DCM and therefore DCM is often used as solvent. Due to the fact that  
24 the reaction of the O-acylisourea intermediate and an alcohol is slow and can lead to  
25 the formation of side products, 4-dimethylaminopyridine (DMAP) is used as a group  
26 transfer reagent which suppresses these side reactions [31, 37]. Copper catalyzed  
27 azide alkyne cycloaddition is a 1,3-dipolar cycloaddition reaction. An azide and terminal  
28 alkyne are forming a 1,2,3-triazole ring structure and the use of copper as catalyst  
29 leads to the 1,4-disubstituted regioisomer specifically [33].

30 The modification of (S-S/B-S) takes place statistically along the polymer chain on the  
31 butadiene units which are located in the middle block. In total this modification route  
32 includes well established reactions with high yields under mild conditions that are easy  
33 to perform and results in a toolbox of diverse functional block copolymers. Azide  
34 containing molecules with other functional groups can be synthesized separately and  
35 then are attached to the polymer easily by CuAAC. Especially for the introduction of

1 complex structures into the polymer (e.g. multiple hydrogen bonding motifs) this  
 2 approach is attractive.

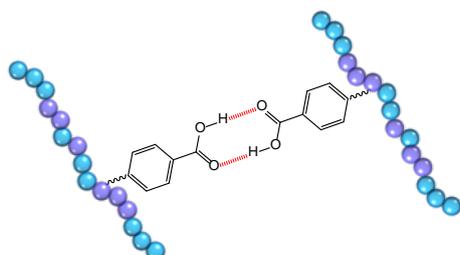


3  
 4 Figure 1: General reaction scheme for modification of S-S/B-S via three-step pathway.  
 5

6 Via this synthesis route we were able to obtain styrene-butadiene copolymers (S-S/B-  
 7 S) with carboxylic acid functions along the polymer chain. Carboxylic acid functions  
 8 pertain to bivalent hydrogen bonds and are one of the more simple interactions but can  
 9 have a huge effect on the materials properties anyway [11]. A defined equilibrium  
 10 between free and complexed species in the hydrogen bonding complex (Figure 2) can  
 11 be observed and investigated by temperature-dependent infrared spectroscopy [38]. IR  
 12 spectroscopy is a well suited tool for this purpose due to the well localized and intense  
 13 vibrational mode of the carbonyl stretching. It allows the investigation of a particular  
 14 region in the molecule and provides specific information about the vibrational transition.  
 15 The effect of the vibrational transition can be directly monitored by IR spectroscopy. It  
 16 is known that the associated C=O stretching vibration is found at lower frequencies  
 17 than the unrestricted C=O stretching vibration because of the decrease in electron  
 18 density of the carbonyl group due to the stretched C=O bond [39]. Therefore IR  
 19 spectroscopy is an excellent tool to observe the complexation process. It is possible to  
 20 clearly distinguish the free and complexed species by reason of the presence of an  
 21 isosbestic point [40] and the application of Beer-Lambert law allows the quantitative  
 22 analysis of the complex formation including the determination of enthalpy and entropy.  
 23 In this study we show the temperature dependency of complex formation monitored via  
 24 FTIR spectroscopy. The modified polymer was therefore heated up and the carbonyl  
 25 stretching vibration was quantitatively analyzed by peak integration. In the end we

1 found the equilibrium constant  $K$  of the observed system to be a function of  
2 temperature as well as the enthalpy and entropy of complex formation.

3



4

5 Figure 2: Dimerization of two carboxylic acid groups. The scheme shows two hydrogen  
6 bonding motifs which are interacting by forming a hydrogen bonding complex (indicated  
7 in red). Indicated in purple is polybutadiene which is partially modified along the chain  
8 and indicated in blue is polystyrene.

9

## 10 2. Experimental Section

### 11 2.1 Materials

12 Styroflex 2G66 is a triblock copolymer with styrene end blocks and a random styrene-  
13 butadiene middle block, with a number average molecular weight of  
14  $M_n = 134000$  g/mol, a polydispersity of  $PDI = 1.2$  ( $M_n$  and  $PDI$  were determined via  
15 GPC with PS standards), a content of polybutadiene of 52.6 w% (determined by  $^1H$   
16 NMR) and was gratefully received from BASF, Germany. Copper(I) bromide (99.9%),  
17 meta-chloroperbenzoic acid (mCPBA, 77%), dicyclohexylcarbodiimide (DCC, 99%) and  
18 4-dimethylaminopyridine (DMAP, 99%) were received from Sigma Aldrich, Germany.  
19 From Merck (Germany) ethyl bromoacetate (98%), hydrochloric acid (HCl, 37w%) as  
20 well as sodium azide (99%) were purchased. Acetone (99.8%), *n*-hexane (95%) and  
21 tetrahydrofurane (THF, 99.5%) were received from VWR, Germany. Dichloromethane  
22 (DCM, 99.9%) and 4-pentynoic acid (95%) were purchased from Acros Organics  
23 (Germany) while 4-azidobenzoic acid (97%) and  $N,N,N',N'',N'''$ -  
24 pentamethyldiethylenetriamine (PMDTA, 98%) were received from TCI Chemicals,  
25 Belgium. Methanol (99%) was received from BCD Chemie (Germany) and sodium  
26 sulfate (99%) was purchased from Grüssing, Germany.

27 All experiments were done under inert conditions if not mentioned otherwise.

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## 2.2 Epoxidation and Hydrolysis

The epoxidation and ring-opening reaction was performed according to the literature [15]. In a typical experiment 10.0 g (0.10 mol of C=C) of Styroflex 2G66 was weighed into a three-necked round-bottom flask equipped with dropping funnel and dissolved in 100 mL anhydrous THF in an inert atmosphere at room temperature. A solution of 3.00 g (17.4 mmol, 0.18 eq with respect to C=C) of mCPBA in 50 mL THF was added dropwise to the polymer solution and stirred overnight at room temperature. The resulting epoxide was turned into the targeted hydroxide via a ring-opening reaction by dropwise addition of 2.00 g (0.02 mol, 1.2 eq with respect to mCPBA) HCl in 20 mL THF over 1 hour at room temperature. The reaction solution was stirred for another 3 hours to complete the ring-opening reaction. The purification of the polymer was performed by three cycles of precipitation into 1500 mL methanol and redissolving the separated polymer in 200 mL THF. The purified polymer was dried under vacuum at 25°C overnight and was obtained as a colorless solid (10.29 g, 95% conversion, 92% yield).

## 2.2 Esterification

In a typical experiment 5.00 g (7.07 mmol of -OH) of the hydrochlorinated polymer, 1.40 g (14.3 mmol, 2 eq) 4-pentynoic acid and 9.28 mg (0.76 mmol, 0.1 eq) DMAP were dissolved in 70 mL anhydrous DCM. To this solution a solution of 2.95 g (14.3 mmol, 2 eq) DCC in 10 mL anhydrous DCM was added dropwise at room temperature. The reaction mixture was stirred overnight at room temperature. The purification of the polymer was performed (as mentioned before) by three cycles of precipitation into 800 mL methanol and redissolving the separated polymer in 70 mL DCM. The purified polymer was dried under vacuum at 25°C overnight and the product was obtained as a colorless solid (4.29 g, 84% conversion, 75% yield).

## 2.4 Preparation of azides

The preparation of the functional azides (**II-V**) from their bromide analogues was conducted as described in literature [41]. The synthesis of ethyl azidoacetate **II** is given here as an example. Therefore, 1.51 g (9.04 mmol) ethyl bromoacetate was dissolved in 10 mL of H<sub>2</sub>O (2 mL) and acetone (8 mL). To this mixture 0.88 g (13.5 mmol, 1.5 eq) sodium azide was given and stirred overnight at ambient temperature. The purification was performed by extraction the reaction mixture three times with DCM, dried over

1 sodium sulfate and evaporating the solvent *in vacuo* to give the product as a colorless  
2 liquid (0.74 g, 5.73 mmol, 64% yield).  
3 <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>): δ [ppm] = 4.26 (q, *J* = 7.2 Hz, 1H), 3.86 (s, 1H), 1.31 (t, *J* =  
4 7.2 Hz, 2H).  
5 <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>): δ [ppm] = 168.39, 76.91, 61.99, 50.54, 14.26.

### 6 7 *2.5 Copper-catalyzed azide–alkyne cycloaddition*

8 In a typical experiment [42] 1.00 g (1.20 mmol of alkyne groups) of the alkyne-modified  
9 polymer and 0.40 g (2.45 mmol, 2 eq) 4-azidobenzoic acid were dissolved in 40 mL  
10 anhydrous THF and degassed by three freeze-thawing cycles. After degassing,  
11 35.0 mg (0.24 mmol, 0.2 eq) copper(I)bromide and 50 μL (0.24 mmol, 0.2 eq) PMDTA  
12 were added to the solution. The reaction mixture was stirred overnight at room  
13 temperature. An additional amount of 40 mL THF was given to the reaction solution  
14 and purified by aluminium oxide flash chromatography. The solution was concentrated  
15 *in vacuo* and the purification of the polymer was performed by three cycles of  
16 precipitation into 400 mL *n*-hexane and redissolving the separated polymer in 35 mL  
17 THF. The purified polymer was dried under vacuum at 25°C overnight and the product  
18 was obtained as a colorless solid (1.00 g, 94% conversion, 87% yield).

19

### 20 *2.6 Characterization*

21 NMR spectroscopic data were recorded on a Bruker Avance 400 MHz spectrometer  
22 using CDCl<sub>3</sub> as solvent with concentrations of about 15 g L<sup>-1</sup>. All <sup>1</sup>H and <sup>13</sup>C NMR  
23 chemical shifts are quoted in ppm and the CDCl<sub>3</sub> signal at 7.26 ppm was used as an  
24 internal reference for the chemical shifts in <sup>1</sup>H NMR. The signals of the spectra  
25 containing modified and unmodified S-S/B-S polymers are normalized by unifying the  
26 integral of the aromatic styrene signal occurring in the range of 6.3 - 7.3 ppm.

27 Temperature-dependent FTIR spectra were recorded on a Bruker Vertex 70 in a range  
28 of 30 – 130°C with a resolution of 4 cm<sup>-1</sup> and 50 scans per spectrum. The sample was  
29 heated to the chosen temperature and kept at this temperature for 15 minutes to  
30 achieve equilibrium. The samples for FTIR analysis were dissolved in DCM (5w%  
31 polymer solution) and casted on a KBr plate. The solvent was evaporated overnight at  
32 30°C. A background measurement without a specimen in the sample compartment was  
33 conducted at ambient temperature and subtracted from the recorded data by the

1 Bruker software OPUS. Furthermore, there was no additional data processing applied  
2 to the recorded FTIR spectra.  
3 DSC measurements were conducted on a DSC1 from Mettler Toledo. The experiments  
4 were run with a scanning rate of 5 K/min in a range of -150°C – 150°C and conducted  
5 in an inert nitrogen atmosphere maintain by a flow rate of 60 mL/min. Therefore, about  
6 10 mg polymer was weighted into a 40  $\mu$ L aluminium crucible which was closed  
7 afterwards. The data processing was performed by STARe Software, Version 12.10a.

8

### 9 3. Results and Discussion

#### 10 3.1 Modification of styrene-butadiene based copolymers

11 The list of all modification reactions performed with styrene-butadiene based block  
12 copolymers (S-S/B-S) and azides **I-V** is provided in Table 1. The conducted reactions  
13 were monitored by  $^1\text{H}$  NMR. An overview of the particular reaction steps for (S-S/B-S)-**I**  
14 are shown in Figure 3. As known from literature [15] the epoxidation of the  
15 polybutadiene using mCPBA is excellent in efficiency and easy to perform. The  
16 conversion rates are usually in the range of 95% to 100% and also the yields of the  
17 polymer after precipitation in methanol are almost quantitative (see Table 1). The  
18 conversion was calculated by using the integral ratios for the vinylic protons before and  
19 after the hydrochlorination using the styrene protons as reference. In the  $^1\text{H}$  NMR  
20 spectra of the hydrochlorinated polymer (Figure 3, spectrum ii) two additional signals  
21 (peaks a and b) can be observed which are attributed to the methine protons in the  
22 polymer backbone next to the functional groups. The esterification step via Steglich  
23 esterification also provides high conversion rates in the range of 84 to 100%. They are  
24 calculated by using the integral ratios of the methine protons linked to the hydroxyl  
25 group before and after the Steglich esterification by taking the styrene protons as a  
26 reference again. The precipitation in methanol gives yields of about 54% to 82% of the  
27 esterified polymer. In the  $^1\text{H}$  NMR spectra of the esterified polymer (Figure 3,  
28 spectrum iii) the signal for the methine proton (next to the secondary hydroxyl group) in  
29 the backbone is shifted further down-field from  $\delta = 3.70$  ppm (peak a) to  $\delta = 5.10$  ppm  
30 (peak e). This observation is in good agreement with values found in literature [43] and  
31 indicates the successful grafting of the 4-pentynoic acid linker. Two additional  
32 overlapping signals at  $\delta = 2.54$  ppm and  $\delta = 2.59$  ppm appear which can be attributed  
33 to the two methylene groups in the linker molecule (peaks c and d). The CuAAC  
34 reaction is also well known from literature [33], easy to handle and give almost

1 quantitative conversion rates also for modification of polymers although the reactivity in  
2 polymers is often decreased in contrast to low molecular weight molecules. Therefore,  
3 the preparation of the azides which shall be introduced into the polymer is necessary.  
4 The synthesis of the azides from their bromide analogues is well known and often  
5 described [41, 44, 45]. The success of the reaction is hard to analyze by  $^1\text{H}$  NMR,  
6 because the chemical shift for the protons next to the bromide or azide is quite similar.  
7 A very useful characterization method for the azide is the  $^{13}\text{C}$  NMR spectroscopy. The  
8 chemical shift for the carbon atom next to the azide is much more shifted down-field  
9 compared to the bromide analogue and helps in that way to monitor the reaction. In  
10 case of the azide **II** it is  $\delta = 50.54$  ppm for the carbon atom next to the azide group and  
11  $\delta = 25.9$  ppm [46] for the analogue bromide.

12 The conversion rates achieved for the CuAAC reaction are in a range of 83% to 93%.  
13 They are determined by using the integral ratios of the methylene protons attributed to  
14 the linker before and after the click reaction step at  $\delta = 2.54$  ppm and  $\delta = 2.59$  ppm  
15 taking the styrene protons as reference again. After the completed CuAAC reaction  
16 (Figure 3, spectrum iv) the signals for the methylene group protons are split up and  
17 shifted further down-field from  $\delta = 2.54$  ppm (peak c) and  $\delta = 2.59$  ppm (peak d) to  
18  $\delta = 2.87$  ppm (peak f) and  $\delta = 3.16$  ppm (peak g). Another proof of success is indicated  
19 by the signal occurring at  $\delta = 7.95$  ppm (peak h). The signal is attributed to the proton  
20 of the triazole ring [47] which forms during the reaction. With this we can be sure that  
21 the reaction succeeded well.

22 The presented reaction pathway offers a broad variety of post modification. Following  
23 these steps it is possible to introduce almost arbitrary azides into the polymer to obtain  
24 functional polymers. Some functional azides (**II-V**) were already prepared as given in  
25 the experimental section and successfully used to modify the S-S/B-S polymer (Figure  
26 4, Supplementary Information). The modification reactions result in carboxylic acid,  
27 ester, hydroxyl and nitrile functional groups, respectively. So this approach allows the  
28 introduction of functional groups into the polymer along the chain in a manifold manner  
29 and gives new opportunities for modification strategies resulting in a kind of toolbox.

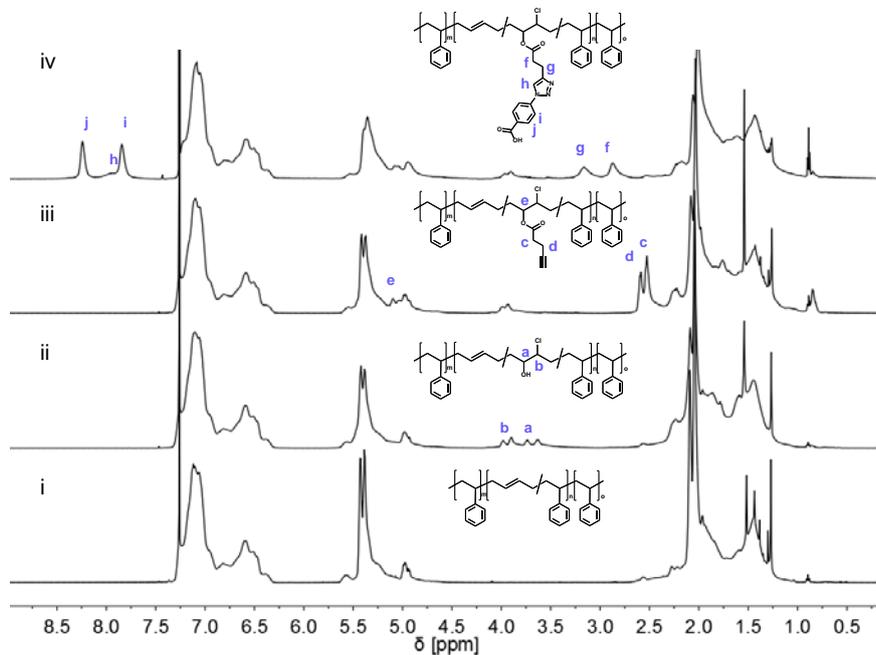
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1 Table 1: List of modification reactions performed with a styrene-butadiene based block  
 2 copolymer (S-S/B-S) and azides I-V (Figure 4). For each reaction step  
 3 (epoxidation/hydrolysis, Steglich esterification and CuAAC) conversion rate and yield  
 4 (determined by <sup>1</sup>H NMR) of the reaction is given as well as the overall degree of  
 5 modification  $D_m$ .

Sample	Epoxidation/ Hydrolysis		Steglich esterification		CuAAC		$D_m^1$
	Conversion [%]	Yield [%]	Conversion [%]	Yield [%]	Conversion [%]	Yield [%]	
(S-S/B-S)-I	95	92	84	75	94	87	6
(S-S/B-S)-II	100	97	100	59	91	44	8
(S-S/B-S)-III	100	97	98	54	93	75	8
(S-S/B-S)-IV	95	92	84	75	93	79	6
(S-S/B-S)-V	95	92	84	75	91	85	6

6 <sup>1</sup> The overall degree of modification was calculated from <sup>1</sup>H NMR spectra after  
 7 hydrochlorination reaction as well as multiplication with the conversion rates of the  
 8 subsequent reaction steps and is given by  $D_m = (1 - (I_{PB_{after}} / I_{PB_{before}})) \cdot c_s \cdot c_c$ . The  
 9 decrease of the polybutadiene signal (PB) after the hydrochlorination was used to  
 10 calculate the degree of modification. Multiplication with the conversion rates ( $c_s$  and  $c_c$ )

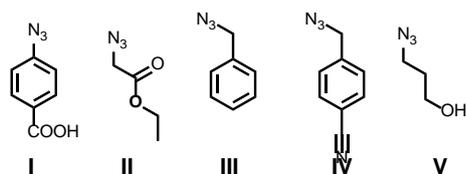
1 of the subsequent reaction steps results in the overall degree of modification.



2

3 Figure 3: <sup>1</sup>H NMR spectra of modified (S-S/B-S)-I. According to the presented  
4 modification route the spectra are given from bottom to top: i) S-S/B-S, ii) (S-S/B-S)-  
5 OH, iii) (S-S/B-S)-C≡C and iv) (S-S/B-S)-I.

6



7

8 Figure 4: Chemical structure of the azides used for CuAAC reaction: I) 4-azidobenzoic  
9 acid, II) ethyl azidoacetate, III) benzyl azide, IV) 4-(azidomethyl)benzonitrile, V) 3-  
10 azido-1-propanol.

11

### 12 3.2. Temperature dependent IR spectroscopy

13 The polymer (S-S/B-S)-I contains a hydrogen bonding motif with carboxylic acids along  
14 the polymer chain. These carboxylic acids can interact with each other and form

1 hydrogen bonding complexes. The complex formation can spectroscopically be  
2 characterized by temperature dependent IR spectroscopy analyzing the C=O stretching  
3 vibration. The temperature dependent IR spectra were conducted in a range of 30°C –  
4 130°C (Figure 5). In the region of the carbonyl stretching vibration three signals at the  
5 positions 1738 cm<sup>-1</sup>, 1700 cm<sup>-1</sup> and 1685 cm<sup>-1</sup> can be distinguished. The signal at  
6 1738 cm<sup>-1</sup> is attributed to the free carbonyl stretching vibration while the associated  
7 stretching vibration can be observed at 1700 cm<sup>-1</sup> as well as at 1685 cm<sup>-1</sup>. This  
8 indicates the presence of at least two types of associated species. The carbonyl  
9 stretching vibration at 1700 cm<sup>-1</sup> can be assigned to the dimeric complex [38] while the  
10 signal at 1685 cm<sup>-1</sup> is attributed to more complex superstructures. The integral ratios of  
11 these three signals for the carbonyl stretching vibration are changing with temperature.  
12 With the increase of temperature the signal intensity for the free carbonyl stretching  
13 vibration increases and that of the complexed carbonyl stretching vibration decreases.  
14 This is due to the fact that the hydrogen bonding complexes are less stable at higher  
15 temperatures. At ambient temperature the integral areas for the free species ( $A_f$ ) are  
16 much lower in comparison to the complexed species ( $A_a$ ), but the higher becomes the  
17 temperature the hydrogen bonds of the associated species are dissociating. This leads  
18 to increased integral areas  $A_f$  and decreased integral areas  $A_a$  (Figure 6a). At 1707 cm<sup>-1</sup>  
19 the total absorbance of the sample is equal during the heating cycle which results in  
20 the observation of a crossing point of all spectra – the isosbestic point [40]. The  
21 presence of the isosbestic point indicates in general a defined equilibrium between two  
22 states and the validity of Beer-Lambert law [38]. In our case it is the defined equilibrium  
23 between the free carboxylic acid and the associated one (equation 1) with the  
24 equilibrium constant K (equation 2).

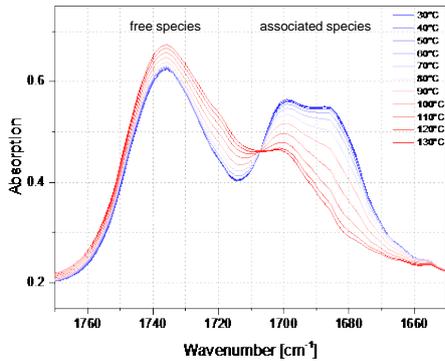


27  
28 
$$K = \frac{[\text{C=O}]_{associated}}{[\text{C=O}]_{free}} \quad (2)$$

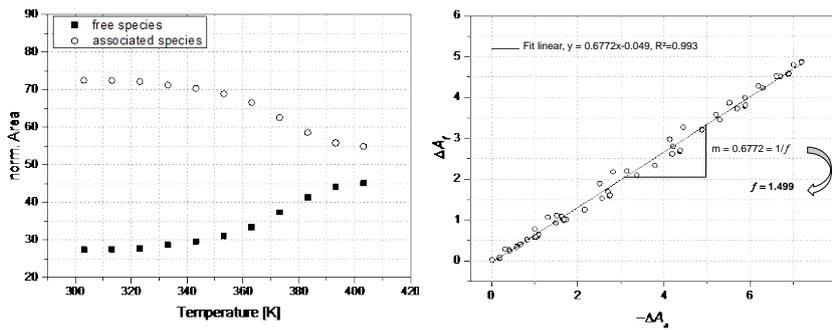
29  
30 From Luckhaus et al. [48] we know that the eight-ring model of carboxylic acid dimers  
31 should be replaced by a new understanding of this complex by a so-called “monomers-  
32 in-dimers” model. They found the coupling mechanisms to be the same for the  
33 monomer and the dimer and also the short time dynamics are dominated by the  
34 monomers. This leads to the conclusion that the carboxylic acid dimer should be more  
35 seen as two monomers than an eight-ring complex. Also the bond distances in the

1 dimer support this conclusion. The C=O bond length in an acetic acid dimer is  
 2 calculated and found to be  $1.211 \pm 0.004 \text{ \AA}$  [48-50] for the monomer and for the dimer  
 3 it is about  $1.230 \pm 0.003 \text{ \AA}$  [48-50]. The bond length is enlarged but still very close to  
 4 the length found in the monomer. This becomes even more obvious when we have a  
 5 look on the bond length of the hydrogen bond O-H $\cdots$ O which is about  $2.698 \pm 0.031 \text{ \AA}$   
 6 [48, 50].

7 From this we conclude the equilibrium to be formulated as seen above: we focus on  
 8 one carboxylic acid group which is free in one state and bound in another. It is  
 9 noteworthy that the carbonyl stretching vibration of the ester group bound directly at the  
 10 polymer backbone occurs in the same region. The integral area of the carbonyl  
 11 stretching vibration of the ester group was subtracted from the original data.



12  
 13 Figure 5: Temperature-dependent IR spectra ( $1650 \text{ cm}^{-1} - 1770 \text{ cm}^{-1}$ ) in the range of  
 14  $30^\circ\text{C}-130^\circ\text{C}$  show a clear crossing point for all spectra (isosbestic point) at  $1707 \text{ cm}^{-1}$ ;  
 15 absorption spectra as measured.



16  
 17 Figure 6: a) Integrated and normalized IR peak area after subtraction of the integral  
 18 area of the ester group is plotted as a function of temperature. The filled squares show  
 19 the increase of integral peak area with temperature for the free species while the open

1 circles show the decrease of integral peak area with temperature for the associated  
2 species. b) The increase of the peak area of the free species,  $\Delta A_f$  as a function of the  
3 decrease of the peak area of the associated species,  $-\Delta A_a$  results in a straight line  
4 giving the ratio of extinction coefficients  $f$ .

5

6 The validity of Beer-Lambert law is proven by the presence of the isosbestic point and  
7 allows the quantitative analysis of the temperature dependent IR measurements. This  
8 gives a more detailed insight into the thermodynamics of this system by calculating the  
9 enthalpy and entropy of complex formation. Applying Beer-Lambert law and plotting the  
10 increase of the area for the free carboxylic acid  $\Delta A_f$  as a function of the decrease of the  
11 area for the associated carboxylic acid  $-\Delta A_a$  for any temperature difference gives the  
12 ratio of extinction coefficients [38, 51] (equation 3, Figure 6b).

13

$$14 \quad \frac{-\Delta A_a}{\Delta A_f} = \frac{\epsilon_a}{\epsilon_f} = f \quad (3)$$

15

16 A linear regression represents the data points best and the slope of it is determined to  
17 be  $m = 0.6772$  and its reciprocal value gives the ratio of extinction coefficients  
18 ( $f = 1.499$ ). With knowledge of the ratio of extinction coefficients the equilibrium  
19 constant  $K$  (equation 2) for the already expressed equilibrium can be determined by  
20 calculating the mole fractions of the free and associated carboxylic acids first (equation  
21 4).

22

$$23 \quad x_a = \frac{A_a}{A_f f} \cdot \frac{1}{\left(1 + \frac{A_a}{A_f f}\right)} \quad (4)$$

24 With this knowledge the equilibrium constant  $K$  for each measured temperature is  
25 accessible and therefore the vant 't Hoff plot where  $\ln K$  is plotted as a function of  $1/T$   
26 can be applied. In Table 2 the integrated areas of the signals, the mole fractions of the  
27 free and associated carboxylic acids are given as well as the values calculated for  $\ln K$ .  
28 In Figure 7 is shown the vant 't Hoff plot obtained from this data set.

29

30

31

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33

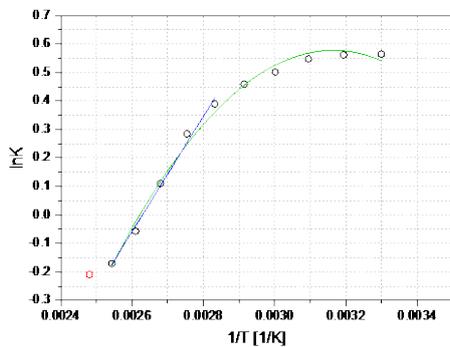
34

1

2 Table 2: Temperature dependence of integrated and normalized peak areas (in  
 3 percent), mole fractions for associated and free carboxylic acid groups and ln K.

T [°C]	area [%]	$A_a$ [%]	$A_f$ [%]	$x_a$	$x_f$	ln K
30	100.00	72.49	27.51	0.64	0.36	0.56
40	100.03	72.44	27.56	0.64	0.36	0.56
50	99.67	72.17	27.83	0.63	0.37	0.55
60	99.32	71.23	28.77	0.62	0.38	0.50
70	98.78	70.35	29.65	0.61	0.39	0.46
80	98.01	68.87	31.13	0.60	0.40	0.39
90	96.61	66.57	33.43	0.57	0.43	0.28
100	95.00	62.60	37.40	0.53	0.47	0.11
110	93.82	58.61	41.39	0.49	0.51	-0.06
120	93.16	55.83	44.17	0.46	0.54	-0.17
130	93.10	54.87	45.13	0.45	0.55	-0.21

4

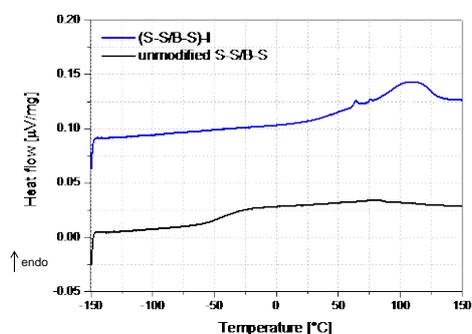


5

6 Figure 7: Van 't Hoff plot is obtained by plotting ln K as a function of 1/T. The straight  
 7 blue line shows the temperature region (80°C – 130°C) in which a linear dependence  
 8 of ln K and 1/T is observed. The regression equation for the blue line is  
 9  $\ln K = 2027.3 \cdot 1/T - 5.33$  with  $R^2 = 0.996$ . The green curve shows the fit curve which  
 10 represents all data points well and is observed by applying a polynomial fit. The  
 11 regression equation for the blue line is  $\ln K = 12407.2 \cdot T^{-1} - 1.96 \cdot 10^{-6} \cdot 1/T^2 - 19.1$  with  
 12  $R^2 = 0.990$ . In red indicated is a data point which is not taken into consideration.  
 13

14 From Figure 7 it can be seen that there is a nonlinear relationship between ln K and  
 15 1/T. Only for elevated temperatures in a range from 80°C to 130°C a linear van 't Hoff  
 16 plot is observed (blue line). In this region it is acceptable to apply the van 't Hoff

1 equation to determine enthalpy of complex formation from the slope of the line and  
 2 entropy of complex formation from the intercept. But in the temperature range below  
 3 80°C there is a deviation from the linear behavior. The linear behavior is based on the  
 4 assumption that enthalpy and entropy are independent from temperature. This is not  
 5 the case for the system presented here. There is an obvious change of  $\ln K$  with  
 6 temperature and thus with enthalpy and entropy of complex formation as well. This was  
 7 also observed by other groups [38, 52]. Well known and more often observed is this  
 8 phenomenon in chromatographical studies [53-55]. As explanation is given the  
 9 structural modification and therefore a change in heat capacity of the two examined  
 10 species during formation and cleavage of the complex [54]. This is a reasonable  
 11 explanation if one thinks of the structural change during disruption of the  
 12 supramolecular structure. By DSC measurements (Figure 8) we found in addition to the  
 13 shift of the glass transition temperature from -54°C for unmodified S-S/B-S to 59°C for  
 14 (S-S/B-S)-I a clear endotherm transition beginning at 75 – 80°C which should be  
 15 related to the opening of the hydrogen bond complex and therefore the collapse of the  
 16 supramolecular structure. Also Hilger et al. [29] found besides the glass transition of  
 17 the polymer a second endotherm transition at 80°C for a benzoic acid modified  
 18 polybutadiene. This system is comparable with the one shown here and supports the  
 19 explanation of a structural modification.



20

21 Figure 8: DSC measurements of unmodified S-S/B-S and (S-S/B-S)-I in the  
 22 temperature range of -150 – 150°C are presented. In black shown is the unmodified S-  
 23 S/B-S and shown in blue is (S-S/B-S)-I to which 0.05 µV/mg was added for better  
 24 clarity. The third heating rates are shown.

25

26 Taking this into account a second term in vant 't Hoff equation appears which considers  
 27 the equilibrium constant to be a function of temperature and results in an extended

1 expression for the vant 't Hoff equation [54] (equation 5) and finally in a more accurate  
2 fit line representing the data set accurately (green line).

$$3 \ln K = \alpha_0 + \frac{\alpha_1}{T} + \frac{\alpha_2}{T^2} \quad (5)$$

4 Thus, enthalpy and entropy as functions of temperature can be calculated (equation 6  
5 and 7).

$$6 \Delta H = -R \left( \alpha_1 + 2 \frac{\alpha_2}{T} \right) \quad (6)$$

$$7 \Delta S = -R \left( \alpha_0 - \frac{\alpha_2}{T^2} \right) \quad (7)$$

8 At elevated temperatures the enthalpy of complex formation is determined to be  $\Delta H = -$   
9  $16.9 \text{ kJ/mol}$  and the entropy of complex formation is determined to be  $\Delta S = -$   
10  $44.3 \text{ J/(mol K)}$  by application of the linear vant 't Hoff equation. Using the extended  
11 vant 't Hoff equation the  $\Delta H$  and  $\Delta S$  can be determined as function of temperature.  
12 Some values are listed in Table 3. The values determined for complex formation are  
13 consistent with the physical chemistry of complex formation. The change in enthalpy is  
14 negative which means that the formation of the hydrogen bond complex is enthalpically  
15 favored. In other words the break of the hydrogen bond requires energy from the  
16 system. Accordingly, the formation of the hydrogen bond gives stabilization energy to  
17 the system. The change in entropy of complex formation is negative as well. This  
18 shows, that the complex formation means loss in entropy in the system which is also  
19 quite intuitive. This disorder in the system decreases or the order in the system  
20 increases when hydrogen bond complexes are formed. Besides local ordering also the  
21 conformational entropy of the polymer chains is reduced. The values of enthalpy  
22 obtained for complex formation are slightly lower than obtained for urazole modified  
23 squalene [38] or poly(vinylphenol) blended with ethylene-vinylacetate [52]. The  
24 enthalpy of complex formation was determined to be  $-28.6 \text{ kJ/mol}$  for the urazole  
25 modified squalene and  $-21.3 \text{ kJ/mol}$  for the or poly(vinylphenol) blended with ethylene-  
26 vinylacetate. In both cases the complex formation of at least one low molecular weight  
27 compound is investigated and therefore the comparability is poor. Also not taken into  
28 account is the possibility that only one hydrogen bond might be formed between two of  
29 the benzoic acid units. A decreased value for the enthalpy could support the idea of  
30 having not only bivalent complexation. The value for the entropy fits quite good to the  
31 reported values [38].

1 Table 3: Thermodynamic data of hydrogen bond complex formation.

T	$\Delta H$	$\Delta S$
[°C]	[kJ/mol]	[J/(mol K)]
30	4.35	18.94
40	0.92	7.79
50	-2.30	-2.33
60	-5.33	-11.56
70	-8.18	-19.99
80	-10.87	-27.72
90	-13.41	-34.82
100	-15.81	-41.35
110	-18.09	-47.38
120	-20.26	-52.96
130	-22.31	-58.12

2

#### 3 4. Conclusion

4 The implementation of a new and simple modification route for styrene-butadiene  
5 copolymers was accomplished which should also be applicable to polydienes or diene  
6 containing copolymers. Using a reaction pathway including an epoxidation with  
7 subsequent hydrolysis step and Steglich esterification as well as CuAAC reaction  
8 afterwards leads to a toolbox of diverse functional block copolymers which are modified  
9 statistically along the polymer chain. All reactions performed give high yields and are  
10 easy to conduct. Therefore this modification route is a powerful way to “click” functional  
11 groups into styrene-butadiene copolymers. The functional groups can be synthesized  
12 and prepared separately which makes this approach attractive especially for the  
13 introduction of more complex functional motifs. Along this pathway we obtained an S-  
14 S/B-S triblock copolymer which is statistically modified with carboxylic acid functional  
15 groups along the polymer chain. These carboxylic acid functions are undergoing a  
16 dimerization process at ambient temperatures by forming hydrogen bonds. They are  
17 breaking apart at elevated temperatures. This was investigated via temperature-  
18 dependent IR spectroscopy. We found the equilibrium constant of the monomer-dimer  
19 equilibrium to be a function of temperature as well as the thermodynamic parameters  
20  $\Delta H$  and  $\Delta S$ .

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