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**European summer temperature response to annually dated
volcanic eruptions over the past nine centuries**

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18 **Abstract**

19 The drop in temperature following large volcanic eruptions has been identified as an
20 important component of natural climate variability. However, due to the limited number of
21 large eruptions that occurred during the period of instrumental observations, the precise
22 amplitude of post-volcanic cooling is not well constrained. Here we present new evidence on
23 summer temperature cooling over Europe in years following volcanic eruptions. We compile
24 and analyze an updated network of tree-ring maximum latewood density chronologies,
25 spanning the past nine centuries, and compare cooling signatures in this network with
26 exceptionally long instrumental station records and state-of-the-art General Circulation
27 Models. Results indicate post-volcanic June-August cooling is strongest in Northern Europe
28 two years after an eruption (-0.52 ± 0.05 °C), whereas in Central Europe the temperature
29 response is smaller and occurs one year after an eruption (-0.18 ± 0.07 °C). We validate these
30 estimates by comparison with the shorter instrumental network, and evaluate the statistical
31 significance of post-volcanic summer temperature cooling in the context of natural climate
32 variability over the past nine centuries. Finding no significant post-volcanic temperature
33 cooling lasting longer than two years, our results question the ability of large eruptions to
34 initiate long-term temperature changes through feedback mechanisms in the climate system.
35 We discuss the implications of these findings with respect to the response seen in General
36 Circulation Models and emphasize the importance of considering well-documented, annually
37 dated, eruptions when assessing the significance of volcanic forcing on continental scale
38 temperature variations.

39

40 **Keywords** Volcanic forcing, Tree-rings, Climate, Instrumental stations, Maximum latewood
41 density, Europe

42

43 **Introduction**

44 Sulfate aerosols, from volcanic sulfur injected into the stratosphere by explosive eruptions,
45 tend to cool global surface temperatures (Cole-Dai 2010). The aerosols scatter incoming solar
46 radiation and absorb outgoing infrared radiation, thereby warming the lower stratosphere and
47 cooling the earth's surface (Robock 2000). Explosive eruption plumes that pass the
48 tropopause, where the temperature lapse rate reaches an abrupt minimum (~9-17 km asl.),
49 cause large-scale changes in atmospheric optical depth and negative radiative forcing
50 (McCormick et al. 1993). Eruptions of this size are typically classified as having a volcanic
51 explosivity index (VEI) ≥ 5 (Newhall and Self 1982). The tephra volume of such eruptions is
52 estimated to exceed one billion cubic meters.

53

54 Estimates of post-volcanic cooling are based on the analysis of surface temperatures
55 following large eruptions (Self et al. 1981; Kelly and Sear 1984; Angell and Korshover 1985;
56 Sear et al. 1987; Robock and Mao 1995). The number of VEI ≥ 5 eruptions captured within
57 the modern instrumental period is small ($n = 10$, 1901-2012), thus limiting the confidence of
58 estimates based solely on observational data. Estimating the degree of cooling by eruptions
59 prior to the era of instrumental observation necessitates the use of annually resolved
60 temperature proxies that explain a fraction of temperature variance of which only tree-ring,
61 and a few documentary records, have the temporal precision and accuracy to provide adequate
62 information over the past millennium (Frank et al. 2010). The suitability of tree-ring proxy
63 data to detect the thermal signature of explosive eruptions, in space and time, has been
64 successfully demonstrated (Briffa et al. 1998; Hegerl et al. 2003; Anchukaitis et al. 2012;
65 Esper et al. 2013).

66

67 The Global Volcanism Program (GVP) has identified 37, annually dated, explosive eruptions
68 in the Northern Hemisphere (NH) and tropics over the past 1000 years that likely injected

69 sulfate aerosols into the stratosphere (Siebert et al. 2010). Though caution is required when
70 working with these data as some of the eruptions have been dated using tree-ring records,
71 which can lead to a circular reasoning when combining tree-ring reconstructed cooling
72 estimates with eruption histories derived from the same proxy data. In addition, the sulfur
73 emission magnitude, as well as the plume altitude, vary among VEI classified eruptions.
74 Alternatively, histories of explosive eruptions derived from sulfate deposition in Greenland
75 and Antarctic ice cores (Crowley 2000; Gao et al. 2008; Crowley and Unterman 2012) can be
76 used to assess post-volcanic cooling (Ammann et al. 2007). However, this approach is
77 constrained by dating uncertainties of the ice core acid layers that increases back in time and
78 limits the temporal precision of inferred post-volcanic cooling estimates (Hammer et al. 1986;
79 Traufetter et al. 2004; Baillie 2010).

80

81 The amplitude and duration of post-volcanic surface cooling is not well constrained and
82 recently received critical examination (Anchukaitis et al. 2012; Mann et al. 2012; Esper et al.
83 2013). Hemispheric scale estimates, derived from observational and annually resolved proxy
84 data (mainly tree-rings), range from ~ 0.0 to -0.4°C (Mass and Portman 1989; Briffa et al.
85 1998; Jones et al. 2003; D'Arrigo et al. 2009). It has been shown that the cooling signal is
86 stronger during the summer season and in high European latitudes compared to lower
87 latitudes (Fischer et al. 2007; Hegerl et al. 2011). Previous work, utilizing temperature
88 simulations from Energy Balance and Coupled General Circulation Models (CGCMs)
89 indicate the frequency of stratospheric volcanic clouds may have triggered long-term
90 temperature variations responsible for cold conditions during the Little Ice Age (LIA) in the
91 seventeenth and early nineteenth centuries (Crowley 2000; Wagner and Zorita 2005; Hegerl et
92 al. 2011). Other studies (Robock 2000; Grove 2001; Schneider et al. 2009; Miller et al. 2012)
93 suggest the clustered volcanic eruptions in the thirteenth century, including the 1258/59
94 unknown event identified in ice core sulfuric acid depositions (Langway et al. 1988),

95 contributed to the transition from the Medieval Warm Period (MWP) to the LIA about 700
96 years ago (see also Timmreck et al. 2009), a period during which a global reorganization of
97 climate has been suggested (Graham et al. 2007, 2011; Trouet et al. 2009). However, the
98 ability of CGCM's to accurately capture the dynamical response to stratospheric volcanic
99 clouds is not without its own controversy (Stenchikov et al. 2006; Anchukaitis et al. 2010,
100 Zanchettin et al. 2013a,b). An analysis of the dynamic response by twelve Coupled Model
101 Intercomparison Project 5 (CMIP5) simulations to a suite of eruptions from the instrumental
102 period indicated the models consistently overestimate tropical troposphere cooling leading to
103 unstable pressure fields over high latitudes in the NH (Driscoll et al. 2012).

104

105 Here we present estimates of post-volcanic cooling over Northern and Central Europe derived
106 from an updated network of tree-ring maximum latewood density (MXD) records covering
107 the past 900 years (Büntgen et al. 2010; Esper et al. 2012a). Tree-ring MXD is a superior
108 parameter for studying the effects of volcanic eruptions – compared to the more commonly
109 used tree-ring width (TRW) measurements – as it is not biased by biological memory effects
110 that tend to smear and lengthen the inferred TRW response to distinct climatic disturbances
111 (Frank et al. 2007). We compare the temperature response to 34 of 37, annually dated and
112 documented, $VEI \geq 5$ eruptions, found in the summer temperature sensitive MXD network to
113 the response found in a network of shorter instrumental records back to 1722 C.E. We also
114 perform two sensitivity tests with subsets of volcanic eruptions representing *(i)* different VEI
115 intensities, and *(ii)* the latitude of eruptions. We relate our cooling estimates from annually
116 dated eruptions documented by the GVP with estimates derived from volcanic sulfate peaks
117 identified in ice core records. Finally, our best estimates of post-eruption cooling are related
118 to the annual summer temperature variance from 1111-1976 C.E. to evaluate the statistical
119 significance of volcanic forcing in the context of natural climate variability over the past nine
120 centuries.

121

122 **Material and Methods**

123

124 GVP and ice core data

125

126 Thirty-four annually dated large eruptions ($VEI \geq 5$) from the NH and (NH and SH) tropics
127 that occurred between 1111-1976 C.E. were used for assessing post-volcanic cooling effects
128 (Table 1). Three eruptions (1480, 1482, 1800) that met these criteria were not considered, as
129 these events were dated using dendrochronological methods (Siebert et al. 2010). The 34
130 eruptions have been precisely dated through documentary evidence and exceed VEI 4 above
131 which stratospheric production of sulfate aerosols is expected based on descriptions of
132 eruption type, duration, and column height (Newhall and Self 1982). The estimated tephra
133 ejecta of these eruptions range from 1 to $160 \cdot 10^9 \text{ m}^3$ (Table 1).

134

135 In addition to the 34 $VEI \geq 5$ events (SEA 1 in Table 1) subsets of eruptions were tested to
136 evaluate the significance of an eruption's size and the volcano's location on observed cooling
137 patterns. These subsets include (i) 15 eruptions within the shorter 1722-1976 period, covered
138 by long instrumental temperature data (SEA 2), (ii) 22 eruptions $\geq 1.5 \cdot 10^9 \text{ m}^3$ tephra volume,
139 and 12 eruptions $< 1.5 \cdot 10^9 \text{ m}^3$ tephra volume (SEAs 3 and 4), and (iii) volcanoes located in
140 the NH extratropics and tropics (SEAs 5 and 6). Note that the average tephra volume of the
141 tropical volcanoes is much larger ($18.8 \cdot 10^9 \text{ m}^3$) than the extratropical volcanoes ($3.7 \cdot 10^9 \text{ m}^3$).

142

143 Finally, we considered a timeseries of sulfate aerosol layers derived from multiple Greenland
144 and Antarctic ice cores (Gao et al. 2008) identifying 40 NH stratospheric events between
145 1111-1976 C.E. (SEA 7). This record contains a number of major eruptions that are not
146 documented by the GVP, including the 1452/53 Kuwae and 1258/59 unknown events, but are

147 identified in sulfate depositions and tree-ring chronologies (LaMarche and Hirschboeck 1984;
148 Gao et al. 2006; Salzer and Hughes 2007). Though these ice-core derived data are typically
149 used to force CGCMs, the dating and location of a number of eruptions, particularly during
150 the earlier part of the past 900 years, is not certain (Hammer et al. 1986; Baillie 2008, 2010;
151 Plummer et al. 2012; Sigl et al. 2013). This condition might compromise the temporal
152 precision of any post-volcanic, climate assessment using such data. Only nine of the 40 ice
153 core derived eruptions identified in Gao et al. (2008) coincide with a documented $VEI \geq 5$
154 event during the 1111-1976 C.E. period (Table 1).

155

156

157 Tree-ring maximum latewood density chronologies

158

159 Documentary and ice core derived volcanic events were used to assess pre- and post-eruption
160 June-August (JJA) temperature deviations reconstructed from European MXD chronologies
161 spanning the past 900 years. An MXD chronology is the mean of a collection of MXD
162 measurement series belonging to individual trees growing in an ecologically homogeneous
163 site (Cook and Kairiukstis 1990). Typically, two such measurement series, representing two
164 radii of a stem, are procured from each tree. The raw MXD series (in g/cm^3) need to be
165 detrended/standardized to remove level differences between biologically younger and older
166 tree-rings, which possess slightly denser and lighter latewood, respectively (Schweingruber et
167 al. 1978). This is done by fitting negative exponential curves (NegExp) to the individual
168 measurement series (radii) and calculating ratios between the raw density measurements and
169 the curve values (Cook and Kairiukstis 1990). The procedure removes non-climatic, tree age-
170 related trends and emphasizes common variations.

171

172 To produce a millennium-length chronology, MXD radial patterns from living trees, which
173 typically represent the most recent 200-400 years, are crossdated (Douglass 1920) with
174 patterns from relict trees (Büntgen et al. 2011). In the case of the MXD dataset from Northern
175 Scandinavia (Fig. 1, NSC), relict material was obtained from trees that fell some hundred
176 years ago into shallow lakes in Finnish Lapland and were preserved (Esper et al. 2012b). In
177 other chronologies used in this study (see below), living trees were combined with historical
178 timbers from old buildings (e.g. the Lötschental, Switzerland; Büntgen et al. 2006) or dry-
179 dead wood in talus (e.g. the Pyrenees, Spain; Büntgen et al. 2008). Latewood cell-wall growth
180 in these cold environments is controlled by summer temperature (Moser et al. 2010),
181 imprinting a common variance among all single MXD measurement series at a given site
182 (Figs. 1b and c). The coherence among individual measurement series is typically higher in
183 MXD compared to TRW data (Esper et al. 2010). The common signal strength of tree-ring
184 chronologies is also controlled by the number of integrated measurement series, which varies
185 among sites and typically decreases back in time (in Figs. 1 b and c: 114 series over the recent
186 1947-1976 C.E. and 34 series over the early 1111-1140 C.E. periods).

187
188 Seven NegExp detrended MXD site chronologies, from latitudinal and elevational treeline
189 environments in Northern and Central Europe, were used to assess the spatial and temporal
190 temperature patterns associated with large volcanic eruptions (Table 2). The site chronologies
191 are composed of *Pinus sylvestris* from Central (JAE) and Northern Scandinavia (TOR, NSC),
192 *Pinus uncinata* from the Pyrenees (PYR), and *Larix decidua* and *Picea abies* from the Alps
193 (LAU, LOE, TIR). The average number of MXD measurement series, over the common
194 period 1111-1976 C.E., varies considerably among these datasets ranging from 18 in TOR to
195 49 in NSC. The lag 1 autocorrelation, a measure of the temporal persistence in a timeseries, is
196 < 0.38 in all MXD site chronologies matching the memory inherent to instrumental JJA
197 temperature data from the European stations used in this study (see below).

198

199 The regional mean timeseries, MXD-north and MXD-central, were calculated by averaging
200 all the northern and central site chronologies (Fig. 2). This consolidation is justified by the
201 significantly high inter-site correlations among the three northern ($r_{\text{north}} = 0.57$) and the four
202 central sites ($r_{\text{central}} = 0.46$) over the common period 1111-1976 C.E. It is important to note
203 that the northern *versus* central site chronologies share no common variance (see the grey
204 curve in Fig. 2d centered at $r = 0.03$) reflecting the distinct climatic dipole structure that exists
205 over Europe as a consequence of internal climate forcings (Barnston and Livezey 1987).
206 Inter-site correlations also decrease back in time – particularly among the northern sites (see
207 the blue curve in Fig. 2d) – likely due to declining sample sizes in the site chronologies. This
208 latter feature points to a weaker climatic signal in the site chronologies and subsequent
209 regional composites during the earliest centuries of the past millennium. Replication of the
210 entire European MXD network declines from 426 measurement series in 1973 to 87 series in
211 1111 C.E. (Fig. 2c).

212

213

214 Instrumental temperature data and calibration of MXD records

215

216 The MXD site and regional chronologies were transformed into estimates of average JJA
217 temperature variability by scaling (adjusting the mean and variance; Esper et al. 2005) each
218 chronology against the average JJA temperature of the nearest grid point in the Crutem4
219 temperature dataset (Jones et al. 2012) over the common period 1901-1976 (Table 3). The
220 correlations between MXD chronology and JJA temperatures, at their respective grid points,
221 are lower in Central Europe (ranging from 0.31 to 0.61) than in Northern Europe (0.71 to
222 0.82), indicating an overall weaker inherent climate signal in the central portion of the
223 network. This tendency is confirmed by the correlations, calculated over a much longer time

224 period (1722-1976), between the mean JJA temperatures recorded at the Stockholm and
225 Uppsala stations and the northern MXD chronologies, to the corresponding correlations
226 computed for Central European chronologies and the long Central England, De Bilt, and
227 Berlin station records (see last column in Table 3; Table S1).

228

229 Comparison of the spatial patterns of MXD summer temperature signals (Fig. 3) and the
230 spatial patterns of the long European station record's summer temperature signals (Fig. S1)
231 reveals increasing distance between the proxy sites and station locations is an additional
232 source of correlation decay. The significant correlations ($p < 0.05$) between the northern
233 MXD data and the gridded temperature data are spatially more homogeneous, reaching
234 southward to a line across Northern Germany towards Ukraine. The significant portions of the
235 overall weaker and more heterogeneous patterns of the Central European MXD data are
236 centered over the Alps reaching into the central Mediterranean and the Balkans. The spatial
237 overlap between the correlation patterns of the central MXD sites and the long station records
238 (Figs. 3 and S1) indicates that the distance between proxy and station data affects the
239 correlation results over the long 1722-1976 period in Central Europe, which is particularly
240 obvious for the Mediterranean PYR site (Tab. 3: $r_{\text{Crutem4}} = 0.40$; $r_{\text{Stations}} = 0.17$). A similar
241 feature is seen in Northern Europe, where the JAE site correlates lower than the TOR and
242 NSC sites with the nearest grid points, but correlates better than the far northern MXD sites
243 (TOR, NSC) with the Uppsala and Stockholm stations located in southern Sweden. These
244 spatial associations help explain the overall better fit between the MXD-north mean
245 timeseries and the station derived mean timeseries (JJA-north; see Fig. S2), compared to the
246 MXD-central mean timeseries *versus* the Central European station mean (JJA-central). As the
247 distance between proxy and station locations in the central portion of the network is larger,
248 and their association is weaker, somewhat less coherent results should be expected when

249 estimating post-volcanic cooling effects from the MXD-central and JJA-central data over the
250 common 1722-1976 period.

251

252

253 Coupled general circulation models

254

255 In addition to the European MXD and long instrumental station records, we used four
256 millennium-long JJA temperature histories simulated by three CGCMs for the assessment of
257 post-volcanic cooling effects (Supplementary Material). CGCM runs are typically used to
258 attribute the influence of natural and anthropogenic forcings on climate, including the effects
259 of explosive volcanism (Schneider et al. 2009). The simulations considered here include two
260 millennium-long runs of the ECHO-G model (denoted Erik1 and Erik2; Zorita et al. 2005), as
261 well as combined runs of the Max-Planck-Institute Earth System Model Paleoclimate version
262 (MPI-ESM-P) and the Community Climate System Model version 4 (CCSM4; Gent et al.
263 2011) downloaded from the CMIP5 archive (Taylor et al. 2012; Fernández-Donado et al.
264 2013).

265

266 We extracted and averaged the simulated temperatures from each model run at five grid
267 points in the vicinity of the northern MXD and station sites to produce a composite,
268 simulated, JJA timeseries (CGCM-north; Fig. S3). The same procedure was applied to the
269 seven grid points in vicinity to the central MXD chronologies and their corresponding long
270 central stations (CGCM-central). The model composites are later used for comparison with
271 the proxy derived, volcanic cooling estimates from 1111-1976. Note the simulated
272 temperatures correlate only weakly between the four model runs in Northern Europe ($R_{1111-1976}$
273 $= 0.12$) and Central Europe ($R_{1111-1976} = 0.08$), possibly related to the limited geographical
274 region and the varying external forcings used in each model. Whereas the CCSM4 run has

275 been forced with the aerosol deposition data from Gao et al. (2008), Erik1 and Erik2 were
276 forced using eruption estimates from Crowley (2000), and MPI-ESM-P with estimates from
277 Crowley et al. (2008). The simulated summer temperatures also indicate slightly differing
278 long-term trends from 1722-1976, as compared to the JJA-north and JJA-central station
279 means (Fig. S3).

280

281

282 Superposed epoch analysis (SEA)

283

284 To assess post-volcanic cooling, we used SEA (Panofsky and Brier 1958) with (i) the
285 temperature-transformed MXD site chronologies and their regional means (MXD-north,
286 MXD-central), (ii) the long instrumental station records and their means (JJA-north, JJA-
287 central), and (iii) the simulated JJA temperatures of the four CGCM runs and their means
288 (CGCM-north, CGCM-central). In this experiment, the five years before and after a volcanic
289 eruption are analyzed. Instrumental JJA temperature measurements, and their MXD-based
290 and CGCM estimates, are expressed as anomalies with respect to the mean of the five years
291 preceding the eruptions (years -5 to -1). SEA is applied to the 34 annually dated $VEI \geq 5$
292 events, documented by the GVP (Siebert et al. 2010), over the 1111-1976 C.E. period, as well
293 as the five additional subsets of those eruptions (SEA2-6 in Table 1). We also considered 40
294 volcanic events derived from sulfate aerosol layers in Greenland and Antarctic ice cores (SEA
295 7) and those eruptions used in a previous NH, living-tree MXD study of cooling patterns by
296 Briffa et al. (1998) (Supplementary Material).

297

298

299 **Results**

300

301 Analysis of millennial-length MXD chronologies revealed severe post-volcanic summer
302 cooling in Northern Europe and a reduced, but temporally extended, response in Central
303 Europe associated with 34, precisely located and dated, large eruptions between 1111-1976
304 C.E. (Fig. 4). Northern European JJA temperatures, in year 1 and year 2 after the volcanic
305 events, are -0.28 and -0.52°C . The individual MXD site chronologies from Scandinavia
306 indicate fairly homogeneous patterns in these years (see the thin curves in Fig. 4) with a
307 spread about their mean departure as small as $\pm 0.08^{\circ}\text{C}$ at lag +1 and $\pm 0.05^{\circ}\text{C}$ at lag +2.
308 Summer temperatures in Northern Europe rebound to $+0.25^{\circ}\text{C}$ by the fourth post-volcanic
309 year. Cooling in Central Europe lasts until the fourth post-volcanic year (minimum at lag +1 =
310 -0.18°C) and gradually returns to $+0.02^{\circ}\text{C}$ in year five after eruptions. However, relative to
311 the temperature variations prior to stratospheric events (years -5 to -1), only the post-volcanic
312 response in Northern Europe appears exceptional. In Central Europe, the post-volcanic
313 deviations do not differ significantly from the centralized pre-volcanic estimates.

314

315 The 1111-1976 C.E. MXD derived, SEA temperature estimates (Fig. 4a) are strikingly similar
316 to those found in both the 1722-1976 MXD and 1722-1976 instrumental station records (Figs.
317 4b and c), though the spread of SEA temperatures over the shorter period that contains 15
318 eruptions is larger. In Northern Europe, the dominating feature is the strong cooling in the
319 second post-volcanic year, followed by a dramatic warming ($+0.93^{\circ}\text{C}$ station data) in the
320 fourth. A similar pattern is evident in Central Europe where the JJA-central cooling signal
321 (minimum -0.22°C) in year +1 vanishes among the pre- and post-volcanic temperature
322 variations. Differences between the Central European MXD and instrumental SEA patterns,
323 especially the station's positive anomaly in the fourth post-eruption year, are likely related to
324 (i) the varying spatial coverage of the central MXD and observational data (Figs. 3 and S1),
325 (ii) the unexplained temperature variance in the proxy data (larger in Central Europe
326 compared to Northern Europe; Table 3), and (iii) the reduced number of $\text{VEI} \geq 5$ volcanic

327 events since 1722 C.E. ($n = 15$), producing larger uncertainties (e.g., increased variance of the
328 Central European MXD site's response in Fig. 4b).

329

330 The SEA results reveal stronger post-volcanic responses to tropical eruptions as compared to
331 NH events (Figs. 4d and e) over the past 900 years, but relatively minor differences as a
332 consequence of eruption size (i.e., < 1.5 vs. $\geq 1.5 \cdot 10^9 \text{ m}^3$ tephra volume; Supplementary
333 Material). It remains unclear whether the increased tropical eruption signature is due to the
334 volcano's location – and associated increased stratospheric transport (Trepte and Hitchman
335 1992) – or driven by eruption size, as the mean tephra volume of the low latitude events (18.8
336 $\cdot 10^9 \text{ m}^3$) is much larger than the high latitude events ($3.7 \cdot 10^9 \text{ m}^3$). Also varying sulfur contents
337 might contribute to the differentiation between Tropical and NH eruptions.

338

339 The strong temperature cooling found in Northern Europe following $\text{VEI} \geq 5$ eruptions
340 diminishes if ice-core derived volcanic events (Gao et al. 2008) are considered in the SEA
341 (Fig. 5; details in Fig. S4), pointing to the importance of utilizing annually dated eruption data
342 when assessing post-volcanic effects. Of course, the simulated Northern European summer
343 temperatures indicate severe post-volcanic cooling in response to the Gao et al. (2008) events,
344 if the CGCM (here CCSM4) has been forced with the same aerosol injection estimates (Fig.
345 S5). In this case, post-volcanic cooling is much larger in the regional CCSM4 output (-0.90°C
346 and -0.80°C at lags +1 and +2) than the cooling seen in both the MXD and instrumental data.
347 The overall variance among the four CGCMs considered in the SEAs is significantly high,
348 compared to the proxy and observational data, pointing to the limited validity of simulated
349 temperatures at the scale of continental Europe (Supplementary Material).

350

351 The assessment of post-volcanic cooling in the context of the full spectrum of summer
352 temperature variance over the 1111-1976 C.E. ($n = 866$ years) and 1722-1976 C.E. ($n = 255$

353 years) periods indicates that only mean deviations at lag +2 in Northern Europe differ
354 significantly ($p < 0.05$; Mann–Whitney–Wilcoxon test) from the mean of all years (Fig. 6).
355 Temperature cooling in year 1 after the stratospheric event – the most striking signal found in
356 Central Europe – is not significantly different from the mean of all years, even if the overall
357 variance of summer temperatures is less in Central Europe compared to Northern Europe (see
358 the density functions in Fig. 6). Visualization of the annual temperature estimates
359 demonstrates that (i) a number of post-volcanic JJA anomalies are actually positive (i.e., on
360 the right side of the centered distributions), and (ii) there are frequent cool years that are not
361 associated with stratospheric volcanic events. The latter finding is likely constrained by the
362 incompleteness of the volcanic record particularly during the earlier centuries of the past 900
363 years, albeit this argument is not valid for the shorter 1722-1976 C.E. period. The positive
364 deviations point to the importance of "unforced" internal variability of the climate system at
365 the European scale (Jungclaus et al. 2010).

366

367

368 **Discussion and Conclusions**

369

370 The analysis of an MXD network covering the past 900 years, and comparison with long
371 instrumental records since 1722 C.E., revealed severe summer temperature cooling two years
372 after stratospheric volcanic clouds in Northern Europe and a generally weaker response in
373 Central Europe. This spatial pattern supports findings based on a compilation of shorter proxy
374 and instrumental records (including documentary evidence) in response to selected tropical
375 eruptions (Fischer et al. 2007). However, the thermal cooling reported here, based on a
376 complete set of annually dated $VEI \geq 5$ eruptions from the NH extratropics and tropics, is
377 weaker than that reported in Fischer et al. (2007) and, in Northern Europe, delayed by one
378 year (lag +2 instead of lag +1). Tests with respect to (i) eruption size ($1-1.5$ vs. $\geq 1.5 \cdot 10^9 \text{ m}^3$

379 tephra volume), (ii) volcano location (NH vs. tropics), (iii) time period (1111-1976 vs. 1722-
380 1976 C.E.), and (iv) volcanic forcing data (documentary vs. ice core reconstructed)
381 demonstrate sensitivity of the cooling estimates to the selection criterion of eruptions. The
382 marginal post-volcanic signals in both the Northern European MXD data and the long
383 instrumental station data, in response to ice core derived sulfate deposition signatures (Gao et
384 al. 2008), suggests caution should be used when considering these volcanic forcing estimates
385 in CGCM studies (Solomon et al. 2007).

386

387

388 Documented *versus* ice core reconstructed volcanic histories

389

390 The documented and annually dated eruption data used here for the assessment of post-
391 volcanic cooling indicates a higher frequency of stratospheric events during the more recent
392 centuries of the past 900 years. There is a noticeable reduction of $VEI \geq 5$ eruptions before
393 1450 C.E. ($n = 2$ events; Table 1), likely caused by incomplete documentary evidence from
394 sparsely populated regions prior to the 16th century. During this early period a number of
395 major volcanic events, including the 1258/59 unknown (Zielinski 1995) and 1452/53 Kuwae
396 eruptions (Hammer et al. 1980; Sigl et al. 2013), have been identified in ice core acid layers
397 from Greenland and Antarctica (Oppenheimer 2003; Kurbatov et al. 2006). These events are
398 represented in the Gao et al. (2008) sulfate aerosol injection estimates used here for
399 comparison of regional scale cooling effects. However, throughout the 1111-1976 C.E.
400 period, only nine of the 40 NH and tropical stratospheric events included in Gao et al. (2008)
401 match the annually dated $VEI \geq 5$ events recognized by the GVP (Siebert et al. 2010).

402

403 The conclusion from these cooling estimates, based on documented *versus* ice core
404 reconstructed volcanic histories, is somewhat ambivalent. The potentially missing

405 stratospheric events during earlier centuries of the past 900 years suggests cooling estimates
406 from documented eruptions are too small, yet the substantially reduced Northern European
407 cooling obtained from the ice core derived events contradicts this qualification. This
408 conflicting result is likely related to dating uncertainties inherent to the ice core data (Hammer
409 et al.1986; Robock and Free 1995) biasing the SEA-derived cooling estimates towards
410 smaller deviations. Such an interpretation is supported by recent analyses of ice cores from
411 high accumulation sites, questioning the dating of major volcanic events, including the
412 1452/53 Kuwae eruption (Plummer et al. 2012; Sigl et al. 2013) and challenging the common
413 practice of using particular sulfuric acid layers as markers (e.g. 1258/59; Langway et al. 1988)
414 to align stratigraphy between drill sites (Baillie 2008, 2010). The MXD network analyzed
415 here indicates there were severe cooling events in 1453 C.E. in Northern Europe (coldest year
416 of the past 900 years; labeled in Fig. 2) and 1258 C.E. in Central Europe (fourth coldest year;
417 see also Fig. S6). The distinct cooling pattern identified at lag +2 in Northern Europe
418 aggregated over 34 annually dated $VEI \geq 5$ eruptions, implies the eruption associated with
419 1453 C.E. cooling even occurred as early as 1451 C.E. Admittedly, this inference is
420 constrained by the limited geographical region (Northern Europe) represented by the MXD
421 network in this study (Fig. 3) and the particular response to any single eruption as opposed to
422 the overall mean signal.

423

424

425 Post-volcanic temperature patterns

426

427 The northern European cooling pattern reported here appears particularly robust, as the
428 regional MXD data share a high degree of common variance and contain a strong climate
429 signal (64% of MXD-north variance explained by JJA temperatures). The similarity between
430 the SEA results derived from the northern MXD data over the past 900 years, and the

431 northern European instrumental data over the past 260 years, aids the detection of a volcanic
432 signal two years after an eruption. The signal is likely associated with a positive (negative)
433 sea level pressure and 500 hPa geopotential height anomaly over the central North Atlantic
434 (eastern Scandinavia), connected to anomalous northwesterly and northerly flows towards
435 central Europe (Fischer et al. 2007), suggesting a dynamical response to sub-continental
436 cooling exists.

437

438 The high latitude post-volcanic cooling found here is much stronger than the signal reported
439 by Briffa et al. (1998) for the NH extratropics (-0.11°C at lag +1), based on an analysis of a
440 large-scale MXD network in response to 31 selected eruptions over the past 600 years (Fig.
441 S4d). The Briffa et al. (1998) experiment, which also included MXD data from low latitude
442 sites, produces an even weaker response (-0.08°C at lag +1 and -0.07 at lag +2) when strictly
443 considering the annually dated NH and tropical $\text{VEI} \geq 5$ eruptions since 1400 C.E. (SEA 1 in
444 Table 1; $n = 27$ events). The much smaller temperature deviations in the Briffa et al. (1998)
445 NH extratropical MXD network, compared to our findings from Europe, suggest spatially
446 heterogeneous temperature patterns mitigate post-volcanic effects at the hemispheric scale.

447

448

449 Significance of cooling estimates

450

451 In Central Europe the lower coherence among MXD sites, as well as the weaker climate
452 signal of the central portion of the network (27% of MXD-central variance explained by JJA
453 temperatures), may bias the post-volcanic estimates towards reduced deviations, thereby
454 affecting the statistical evaluation of significant cooling events with respect to the full
455 spectrum of reconstructed summer temperature variability (Fig. 6). On the other hand, the
456 weak post-volcanic signal seen in the central MXD data over the past 900 years is also found

457 in the long instrumental station records over the past 260 years suggesting a lower summer
458 temperature sensitivity to stratospheric volcanic clouds in Central Europe. The similar
459 temperature patterns found in the MXD based and instrumentally based SEAs indicates that
460 those factors which could potentially bias the MXD network response, including enhanced
461 tree growth due to increased diffuse light in post-volcanic years (Farquhar and Roderick
462 2003), are negligible (see also Krakauer and Randerson 2003). Our findings indicate that the
463 prominent cooling following Tambora in 1816 C.E. (the "year without a summer"; Stothers
464 1984), as well as in 1912 C.E. (perhaps Novarupta), resulted from stratospheric volcanic
465 clouds that caused atypical summer cooling over Central Europe.

466

467 It is important to note that the post-volcanic cooling estimates presented here are spatially
468 restricted to Europe and cannot be transferred to global or even hemispheric dimensions. At
469 this limited continental scale the density, length and quality of both the MXD network and
470 long instrumental station data is unique, enabling assessments of cooling effects based on an
471 exceptionally large number of stratospheric events (34 over the 1111-1976 C.E., and 15 over
472 the 1722-1976 C.E. period). The key finding derived from this condition suggests the
473 relaxation time of eruption induced climate anomalies to be on the order of at most a few
474 years. This finding questions how large volcanic eruptions might initiate decadal, or even
475 centennial scale, temperature changes through feedback mechanisms in the climate system
476 (Crowley 2000; Robock 2000; Grove 2001; Schneider et al. 2009). While the temporally
477 limited climate response, together with the reduced sensitivity found in response to ice-core
478 derived forcing timeseries, belies the ability of large volcanic eruptions to initiate long-term
479 temperature changes through feedback mechanisms in the climate system, there may be
480 longer relaxation times in other systems – e.g. sea ice (Miller et al. 2012) and ocean
481 temperatures (Church et al. 2005, Gleckler et al. 2006).

482

483 This conclusion is supported by the significance of observed, post-volcanic cooling with
484 respect to the full spectrum of summer temperature variability found over the past 900 and
485 260 years. Figure 6 shows only the lag +2 cooling events in Northern Europe deviate at the
486 95% level from the mean summer temperature of all years over these periods. In Central
487 Europe, the maximum likelihood of post-volcanic temperature cooling reaches approximately
488 80-85% (JJA-central over the 1722-1976 C.E. period at lag +1). Further research on (i) the
489 dating uncertainty of eruptions, particularly during the MWP-LIA transition period (Esper et
490 al. 2002) during which a global reorganization of climate has been suggested (Graham et al.
491 2007), as well as (ii) the development of millennial scale MXD records, that are less biased by
492 biological memory effects than TRW records (Frank et al. 2007, Esper et al. 2007a), is needed
493 to assess the ability of stratospheric volcanic clouds to trigger long-term temperature changes.

494

495

496 Eruption selection schemes

497

498 Besides the length of skillful temperature reconstructions, the identification and selection of
499 eruption years appears relevant when assessing post-volcanic cooling effects. Consideration
500 of invariable selection criteria (e.g. tephra volume $> 1.0 \cdot 10^9 \text{ m}^3$) seems advisable, particularly
501 if the period covered by the temperature reconstructions, and thereby the number of volcanic
502 events, is limited. SEA results based on just a dozen eruptions will be sensitive to the
503 inclusion or exclusion of single events, e.g. inclusion of a certain VEI 5 (or even VEI 4) event
504 but exclusion of another VEI 5 event, for example. Similarly, inclusion of selected dendro-
505 dated or ice-core derived events – or temporal shifting of the ice core data to match the
506 temperature proxies – is not recommended as such procedures would likely advance inflated
507 post-volcanic cooling estimates. The approach used here, considering only the annually dated
508 events exceeding a pre-defined VEI threshold, is again constrained by differing sulfur

509 emission magnitudes and eruption plume altitudes. These climatically important measures
510 vary considerably among the VEI = 5 eruptions, for example.

511

512 The results shown here using state-of-the-art CGCMs suggest consideration of simulated
513 post-volcanic cooling estimates, as a guideline for empirically based estimates, is not
514 advisable at the sub-continental scale. The simulated summer temperatures over Central and
515 Northern Europe do not cohere among the models, a finding that is largely controlled by the
516 differing volcanic histories used to force the models. As expected, the simulated post-volcanic
517 cooling effects appear much larger if the CGCM runs are aligned by the exact same volcanic
518 events used to force the models. In addition, differences in the models innate climate
519 dynamics, as well as the limited geographical region (grid points in Central and Northern
520 Europe) likely contribute to the inconsistency among the simulations.

521

522

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528 back to ad 800'.

529

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745

746 **Table and Figure Captions**

747 **Table 1** Volcanic eruptions. The 34 annually dated and documented volcanic eruptions (VEI
748 index ≥ 5) in the NH extratropics and tropics from 1111-1976 C.E. SEAs 2-7 indicate subsets
749 of events used to estimate JJA temperature responses in European MXD chronologies.
750 Bottom two lines summarize the temperature response in the second post-eruption year in
751 Northern Europe and the first post-eruption year in Central Europe

752 **Table 2** European MXD chronologies. Period refers to the time span during which replication
753 exceeds two MXD measurement series (-181 denotes 181 B.C.). Mean replication is the
754 average number of MXD measurement series over the 1111-1976 C.E. common period. Lag 1
755 autocorrelation is calculated for the NegExp detrended chronologies over the same period.
756 MXD-north and MXD-central are the mean timeseries of the three MXD site chronologies
757 from Northern Europe (JAE, TOR, NSC) and the four MXD site chronologies in Central
758 Europe (PYR, LAU, LOE, TIR)

759 **Table 3** JJA temperature signals of European MXD chronologies. Pearson correlation
760 coefficients of the MXD site and regional mean chronologies with JJA temperatures of the
761 nearest grid points from the Crutem4 dataset (Jones et al. 2012) over the 1901-1976 period,
762 together with the correlations with JJA mean temperatures of the long station records in
763 Northern Europe (Uppsala and Stockholm) and Central Europe (Central England, De Bilt, and
764 Berlin) over the 1722-1976 period

765 **Fig. 1** NSC maximum latewood density data. **a** NegExp detrended single MXD measurement
766 series (black) shown together with their bi-weighted robust mean (red) over the 1111-1976
767 C.E. period. **b** and **c**, Same as in **a**, but shown over the earliest (1111-1140 C.E.) and latest
768 (1947-1976 C.E.) 30-year periods

769 **Fig. 2** European maximum latewood density records. MXD site chronologies (black) from **a**
770 Northern (JAE, TOR, NSC) and **b** Central Europe (PYR, LAU, LOE, TIR) over their
771 common period 1111-1976 C.E. Records were smoothed using a 30-year filter. Blue and red
772 curves are the regional mean timeseries derived from averaging the unsmoothed site records
773 in Northern (blue) and Central Europe (red) respectively. The years of the four most negative
774 deviations are labeled. **c** Temporal sample depth of all MXD measurement series (stem radii)
775 within each site chronology in Northern (bluish colors) and Central Europe (reddish colors).
776 The well-replicated site chronologies in Northern (NSC) and Central Europe (PYR, LOE) are
777 labeled. **d** 100-year running inter-site correlations among the three northern (blue) and central
778 site chronologies (red), and between the northern and central regional records (grey)

779 **Fig. 3** MXD temperature signals. Maps showing the correlation patterns of MXD site
780 chronologies (red dots) with gridded JJA mean temperatures (Mitchell and Jones 2005) over
781 the common 1901-1976 period ($p < 5\%$). Bottom panels indicate the results for the regional
782 mean timeseries, MXD-north and MXD-central

783 **Fig. 4** Superposed Epoch Analyses centered on large volcanic eruptions of the past nine
784 centuries. **a** JJA temperature patterns of MXD-north (blue) and MXD-central (red) five years
785 before and after the 34 large volcanic eruptions ($VEI \text{ index} \geq 5$) within the 1111-1976 C.E.
786 period (SEA1 in Table 1). Thin curves are the SEA timeseries of the individual MXD site
787 records JAE, TOR, and NSC in Northern Europe, and PYR, LAU, LOE, and TIR in Central
788 Europe. **b** Same as in **a**, but for the 15 eruptions of the 1722-1976 C.E. period (SEA2). **c**,
789 Same as in **b**, but using the JJA instrumental temperatures (instead of the MXD-derived
790 estimates). **d** and **e**, Same as in **a**, but for the 21 eruptions located in the NH extratropics and
791 13 eruptions in the (NH and SH) tropics, respectively. All SEA timeseries expressed as
792 temperature anomalies with respect to the five years preceding the volcanic events (lags -5 to
793 -1)

794 **Fig. 5** Summarized SEA results for stratospheric volcanic events at lag 0, +1, and +2 in the
795 MXD-north (blue), MXD-central (red), JJA-north (light blue), and JJA-central (light red)
796 datasets

797 **Fig. 6** Distributions of reconstructed and recorded JJA temperatures over the 1111-1976 and
798 1722-1976 C.E. periods. Left column shows temperatures in Northern Europe two years after
799 volcanic eruptions (SEA1: lag +2), right column shows temperatures in Central Europe one
800 year after volcanic eruptions (SEA1: lag +1). Green curves indicate density functions
801 (bandwidth = 0.3) of JJA temperature anomalies with respect to the 1111-1976 and 1722-
802 1976 periods (thin grey and red lines; 866 years in the top panels, 255 years in the middle and
803 bottom panels). Red lines indicate summer temperatures in 34 post-volcanic years (lag +2 in
804 the left, and lag +1 in the right column). Bold red lines and triangles indicate the mean
805 temperature of these lag years. Bold black lines and triangles indicate the mean temperature
806 of all years. Results are for MXD-based (top and middle panels) and observational (bottom
807 panels) JJA temperatures

Table 1

Year	Season	Volcano and Region	VEI*	Lat.	Tephra†	Superposed Epoch Analyses (SEA)						
						Long period (SEA1)	Short period (SEA2)	Large events (SEA3)	Small events (SEA4)	Extra-tropics (SEA5)	Tropics (SEA6)	Gao08 (NH)** (SEA7)
1262	?	Katla (Iceland)	5	64°N	1.5	✓		✓		✓		
1362	2	Oraefajokull (Iceland)	5	64°N	2.3	✓		✓		✓		
1471	4	Sakura-Jima (Japan)	5?	32°N	1.3	✓			✓	✓		
1477	1?	Bardarbunga (Iceland)	6	65°N	10	✓		✓		✓		
1563	2	Agua de Pau (Azores)	5?	38°N	1	✓			✓	✓		
1586	?	Kelut (Indonesia)	5?	8°S	1	✓			✓		✓	
1593	?	Raung (Indonesia)	5?	8°S	1	✓			✓		✓	
1600	1	Huaynaputina (Peru)	6	17°S	30	✓		✓			✓	✓
1625	3	Katla (Iceland)	5	64°N	1.5	✓		✓		✓		
1630	3	Furnas (Azores)	5	38°N	2.1	✓		✓		✓		
1631	4	Vesuvius (Italy)	5?	41°N	1.1	✓			✓	✓		
1640	3	Komaga-Take (Japan)	5	42°N	2.9	✓		✓		✓		
1641	1	Parker (Philippines)	5?	6°N	1	✓			✓		✓	✓
1663	3	Usu (Japan)	5	43°N	2.8	✓		✓		✓		
1667	3	Shikotsu (Japan)	5	43°N	3.4	✓		✓		✓		
1673	2	Gamkonora (Indonesia)	5?	1°N	1	✓			✓		✓	✓
1680	?	Tongkoko (Indonesia)	5?	2°N	1	✓			✓		✓	
1707	4	Fuji (Japan)	5	35°N	2.1	✓		✓		✓		
1721	2	Katla (Iceland)	5?	64°N	1.2	✓			✓	✓		
1739	3	Shikotsu (Japan)	5	43°N	4	✓	✓	✓		✓		
1755	4	Katla (Iceland)	5?	63°N	1.5	✓	✓	✓		✓		✓
1815	2	Tambora (Indonesia)	7	8°S	160	✓	✓	✓			✓	✓
1822	4	Galunggung (Indonesia)	5	7°S	1	✓	✓		✓		✓	
1835	1	Cosiguina (Nicaragua)	5	13°N	5.7	✓	✓	✓			✓	✓
1854	1	Shiveluch (Russia)	5	56°N	2	✓	✓	✓		✓		
1875	1	Askja (Iceland)	5	65°N	1.8	✓	✓	✓		✓		
1883	3	Krakatau (Indonesia)	6	6°S	20	✓	✓	✓			✓	✓
1902	4	Santa Maria (Guatemala)	6?	15°N	20	✓	✓	✓			✓	
1907	2?	Ksudach (Russia)	5	52°N	2.8	✓	✓	✓		✓		
1912	2	Novarupta (USA)	6	58°N	28	✓	✓	✓		✓		✓
1913	1	Colima (Mexico)	5	19°N	1.7	✓	✓	✓			✓	
1933	1	Kharimkotan (Kuril Isl.)	5	49°N	1	✓	✓		✓	✓		
1956	1	Bezymianny (Russia)	5	56°N	2.8	✓	✓	✓		✓		
1963	1	Agung (Indonesia)	5	8°S	1	✓	✓		✓		✓	✓
Number of events						34	15	22	12	21	13	9
Mean tephra vol. (billion m³)						9.4	16.9	14.0	1.1	3.7	18.8	(23.2)§
JJA temperature response												
MXD-north (lag +2)						-0.52	-0.65	-0.46	-0.61	-0.41	-0.68	-0.15
MXD-central (lag +1)						-0.18	-0.29	-0.17	-0.19	-0.01	-0.44	-0.18

*Volcanic explosivity index. (?) indicates uncertain assignments.

†Estimated volume in billion m³.

**Ice core derived volcanic sulfate deposition signals from Gao et al. (2008). 9 of the total of 40 NH volcanic events reconstructed in Gao et al. (2008) for the 1111-1976 period match the VEI≥4 events listed here. All 40 NH deposition events were used in the SEA.

§Mean NH stratospheric sulfate aerosol injection (in Tg) according to Gao et al. 2008.

Table 2

MXD Chronology	Country	Species	Period	Mean replication (1111-1976)	Lag 1 auto- correlation	Source
Jaemtland (JAE)	Sweden	Pine	1111-1978	29	0.12	Gunnarson et al. 2010
Tornetraesk (TOR)	Sweden	Pine	452-2004	18	0.17	Grudd 2008
N-Scan (NSC)	Finland	Pine	-181–2006	49	0.25	Esper et al. 2012
<i>MXD-north</i>			<i>1111–1976</i>	<i>96</i>	<i>0.18</i>	
Pyrenees (PYR)	Spain	Pine	1044-2005	46	0.00	Büntgen et al. 2008
Lauenen (LAU)	Switzerland	Spruce	996-1976	22	0.03	Schweingruber et al. 1988
Lötschental (LOE)	Switzerland	Larch	743-2004	46	0.37	Büntgen et al. 2006
Tirol (TIR)	Austria	Spruce	1047-2003	33	0.07	Esper et al. 2007
<i>MXD-central</i>			<i>1111-1976</i>	<i>147</i>	<i>0.10</i>	

Table 3

MXD Chronology	Crutem4 grid point	Correlation with Crutem4 (1901-1976)	Correlation with long station record (1722-1976)
JAE	67.5°N/12.5°E	0.71	0.59
TOR	67.5°N/22.5°E	0.82	0.56
NSC	67.5°N/22.5°E	0.76	0.52
<i>MXD-north</i>	<i>mean</i>	<i>0.80</i>	<i>0.61</i>
PYR	42.5°N/2.5°E	0.40	0.17
LAU	47.5°N/7.5°E	0.31	0.29
LOE	47.5°N/7.5°E	0.61	0.42
TIR	47.5°N/12.5°E	0.41	0.27
<i>MXD-central</i>	<i>mean</i>	<i>0.52</i>	<i>0.36</i>

Figure 1

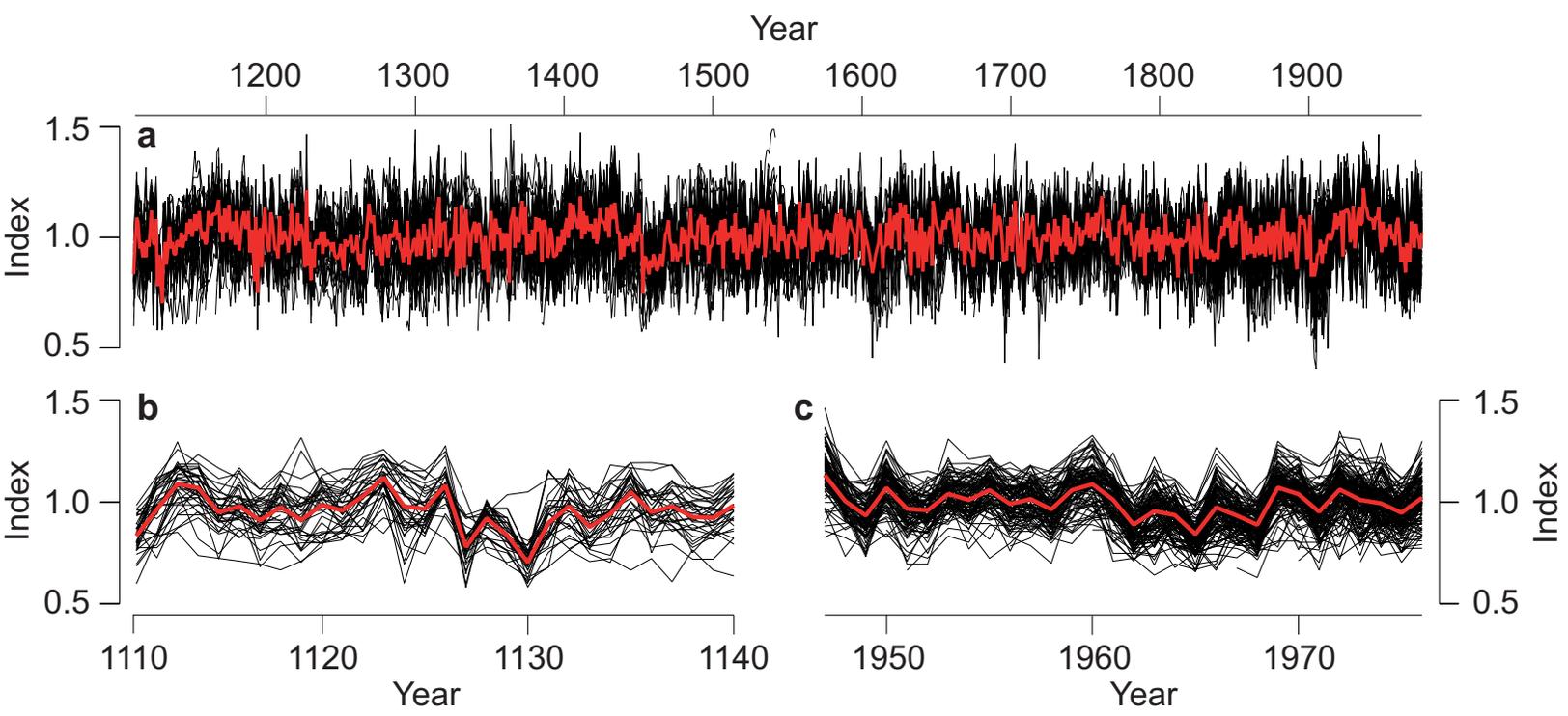


Figure 2

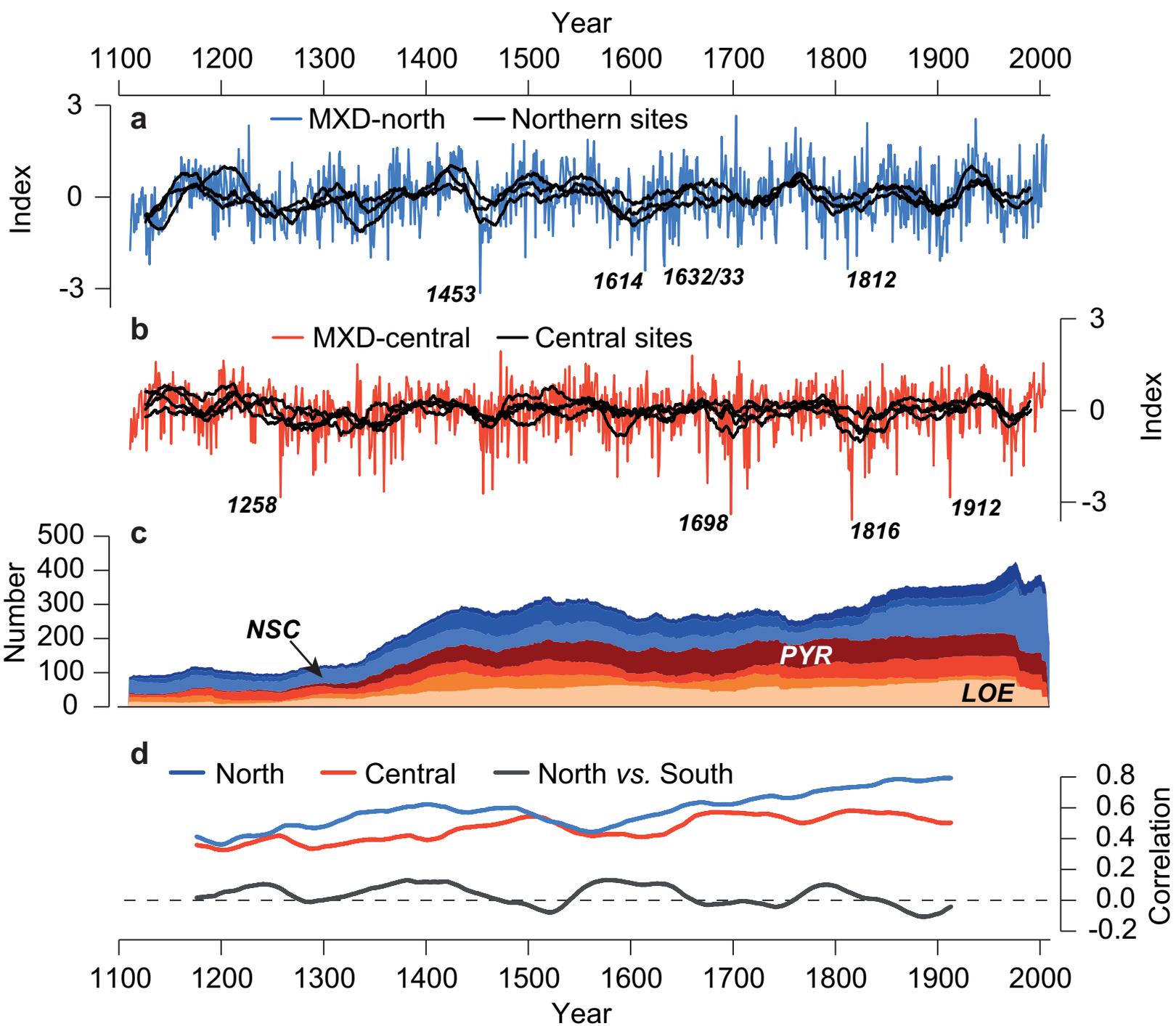
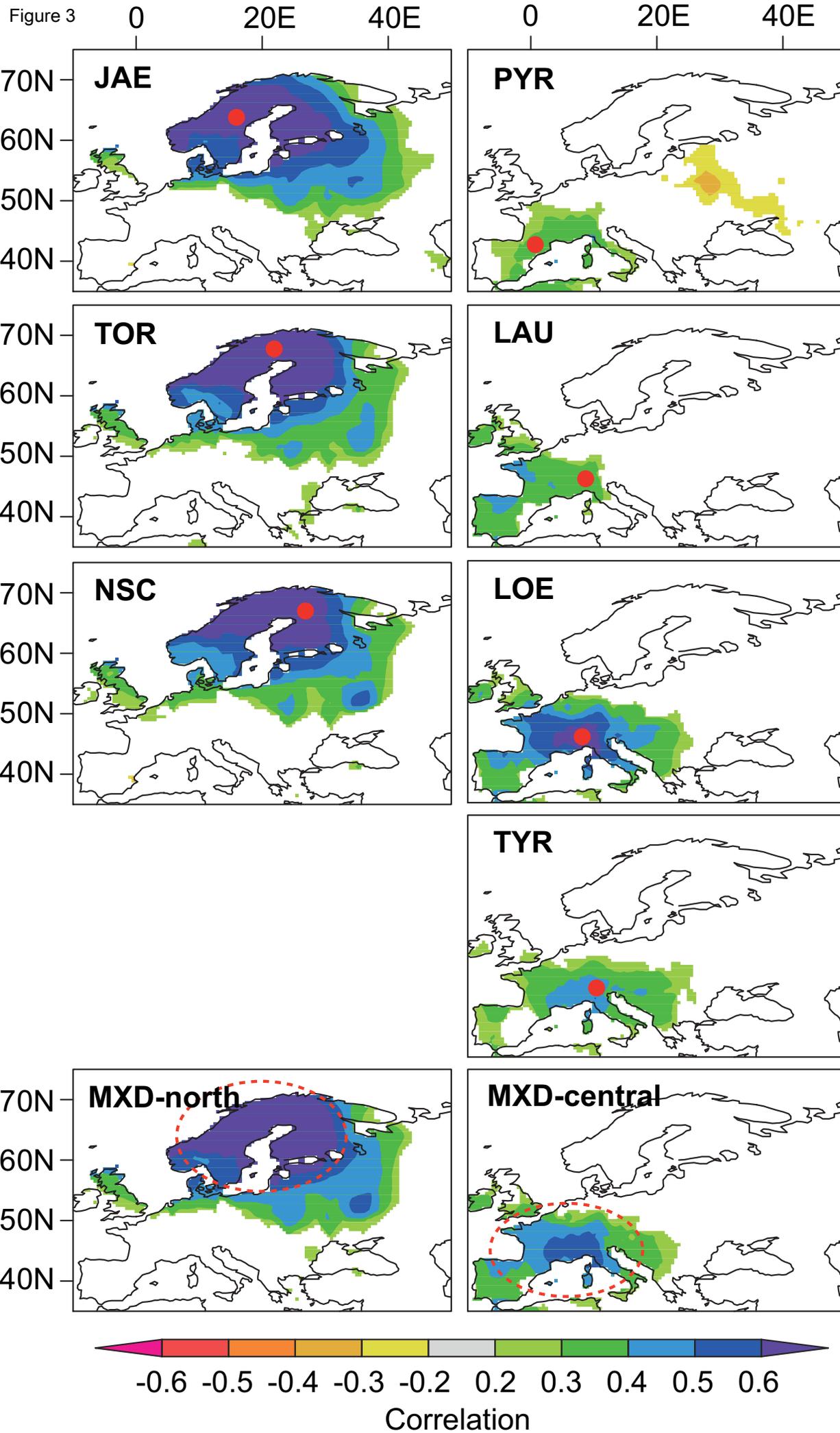


Figure 3



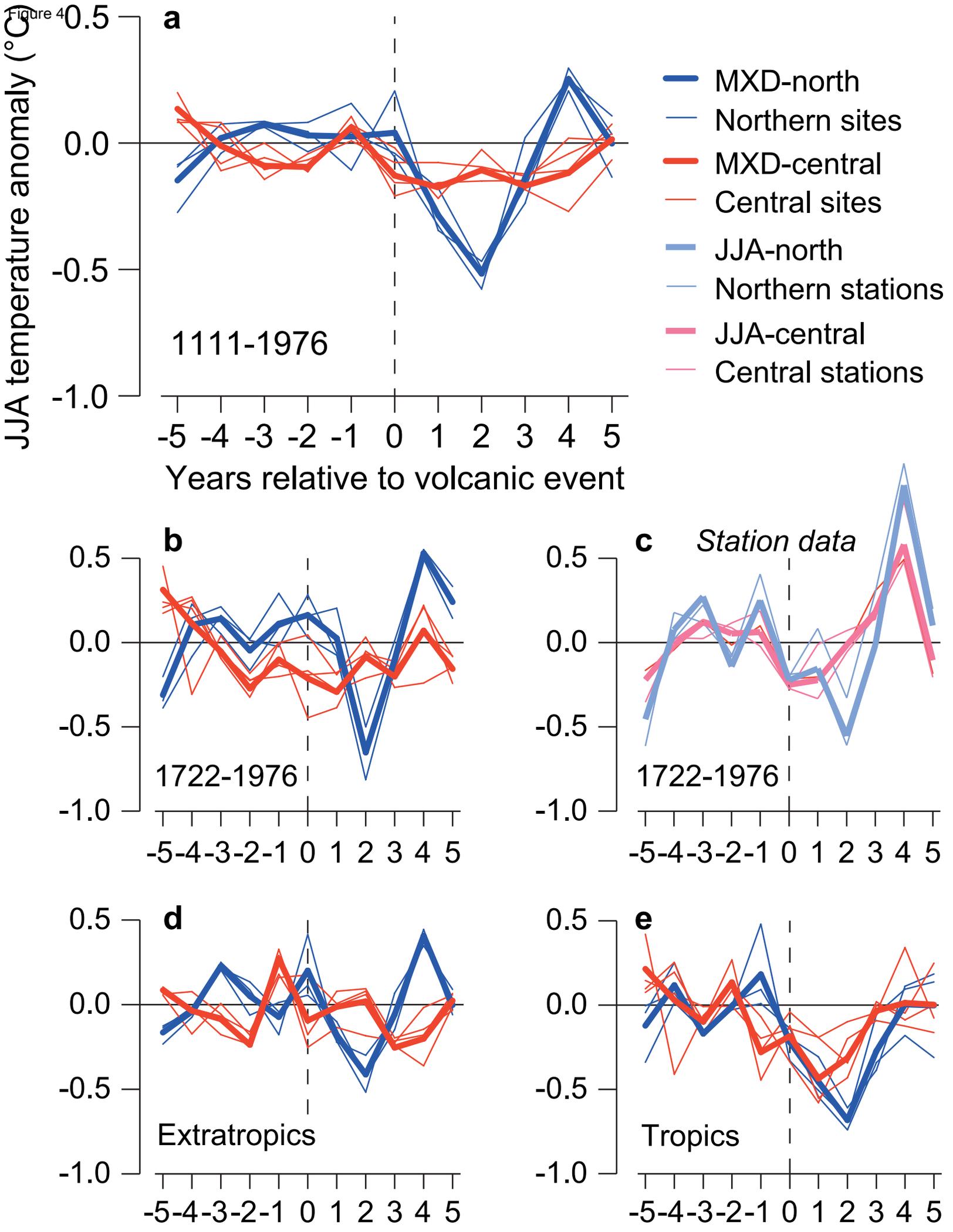
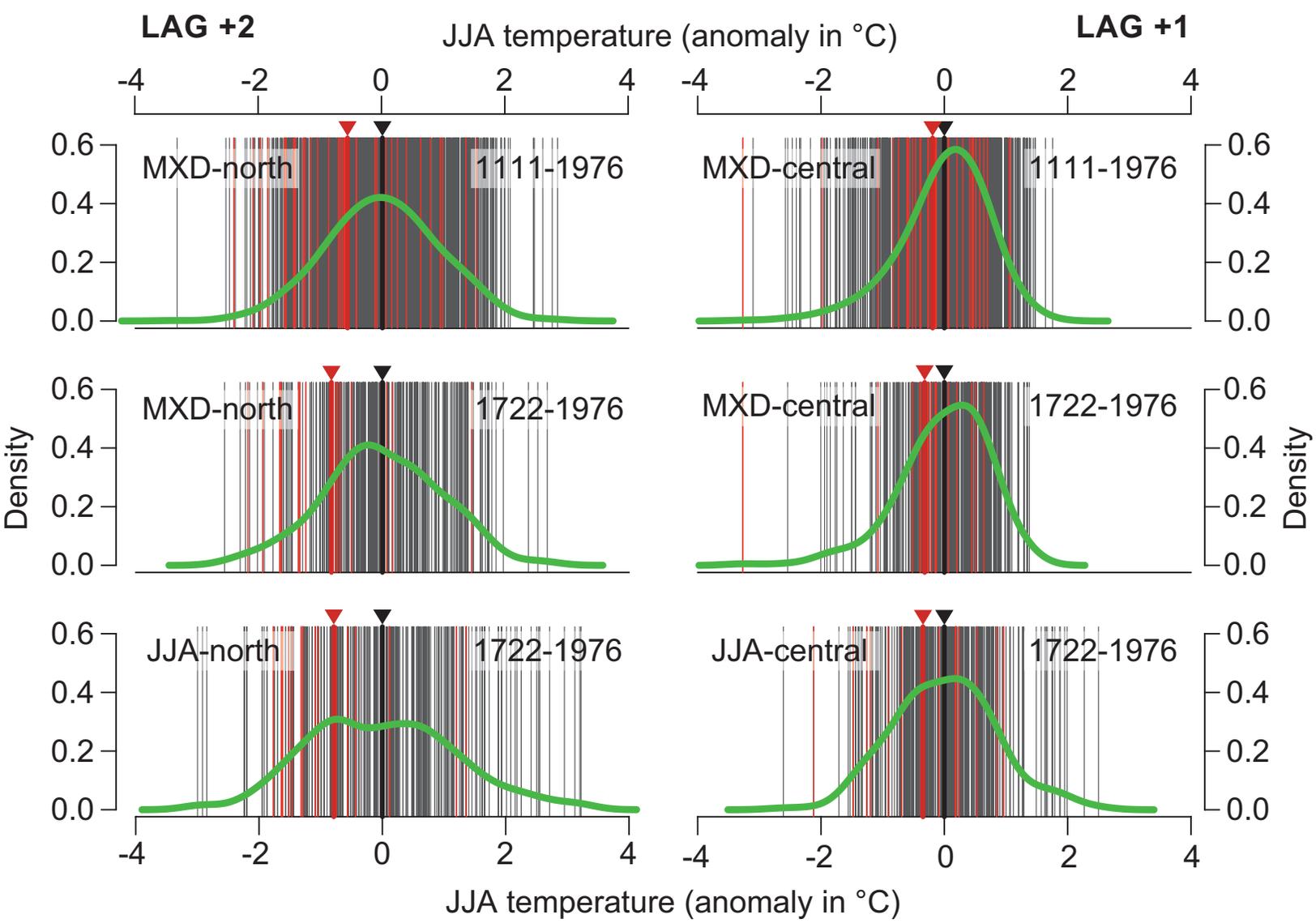


Figure 6



Supplementary Material

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