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1 **The relationship between Arabian Sea upwelling and Indian Monsoon**
2 **revisited in a high resolution ocean simulation**

3

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7

8 **Abstract**

9 Studies based on sediment records, sea-surface temperature and wind suggest that upwelling along the western coast
10 of Arabian Sea is strongly affected by the Indian summer Monsoon (ISM). We examine this relationship directly in
11 an eddy-resolving global ocean simulation STORM driven by atmospheric reanalysis over the last 61 years. With its
12 very high spatial resolution (10 km), STORM allows us to identify characteristics of the upwelling system. We
13 analyse the co-variability between upwelling and meteorological and oceanic variables from 1950 to 2010. The
14 analysis reveals high interannual correlations between coastal upwelling and along-shore wind-stress ($r=0.73$) as well
15 as with sea-surface temperature ($r=-0.83$). However, the correlation between the upwelling and the ISM is small. We
16 find an atmospheric circulation pattern different from the one that drives the Monsoon as the main modulator of the
17 upwelling variability. In spite of this, the patterns of temperature anomalies that are either linked to Arabian Sea
18 upwelling or to the Monsoon are spatially quite similar, although the physical mechanisms of these links are different.
19 In addition, no long-term trend is detected in our modelled upwelling in the Arabian Sea.

20

21 **Keywords**

22 Arabian Sea upwelling; Indian summer Monsoon; high resolution ocean simulation

23

24 **Acknowledgements**

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27 available data used in this study are gratefully acknowledged.

28

29 **1. Introduction**

30 Coastal upwelling is important for ocean primary production as the upwelled cold nutrient-rich water supports
31 coastal fisheries. In the Arabian Sea, one of the most important coastal upwelling regions along with the Eastern
32 Boundary Upwelling Systems (EBUSs), upwelling is mainly controlled by the along-shore wind-stress during the
33 summer season. It has been generally assumed that the variations of upwelling-favourable winds in this region are
34 connected to the variations of the Indian Monsoon (Findlater 1969). To understand the relationship between the
35 Arabian Sea upwelling and the Indian Monsoon is of great importance. During the upwelling season, the Monsoon
36 brings moisture from south in the Indian Ocean to the Indian subcontinent in the North and causes rainfall there,
37 which is essential to the local population. Because the upwelling cools the ocean surface, an intensified (reduced)
38 upwelling would weaken (enhance) the ocean surface evaporation leading to less (more) precipitation over the Indian
39 subcontinent (Izumo et al. 2008). However, since there are little direct observations of ocean vertical velocity, it has
40 been difficult to directly ascertain the link between the Arabian Sea upwelling and the Indian Monsoon. In this study,
41 we revisit this connection by analysing a very high-resolution ocean simulation with the model MPI-OM driven by
42 meteorological reanalysis over the last decades.

43
44 Additionally, it has been hypothesized that coastal upwelling will strengthen in the major upwelling regions under
45 the influence of global warming (Bakun 1990). In support of this hypothesis, Narayan et al. (2010) detected positive
46 trends in upwelling intensity in the four major EBUSs and more recently Wang et al. (2015) projected the
47 intensification of upwelling in three of the four EBUSs under a strong increase of anthropogenic greenhouse gas
48 emissions. In the Arabian Sea, although little is known about the upwelling trends in terms of vertical velocity over
49 the last few decades, Liao et al. (2015) found that the sea surface temperature (SST) along the Indian Ocean
50 (including the Arabian Sea) coast revealed a reversed trend between the global warming period (1982-1998) and the
51 warming hiatus period (1998-2013), which is related to the focus of the present study due to the close relationship
52 between upwelling and the coastal SST. Bakun's hypothesis is related to the intensification of the land-ocean
53 temperature gradient due to an intensification of the anthropogenic greenhouse gas forcing. We will also analyse to
54 what extent the results from this simulation indicate an intensification of upwelling in the Arabian Sea over the past
55 decades are compatible with this hypothesis.

56

57 The Arabian Sea upwelling and its relationship with the Indian summer Monsoon (ISM) have been broadly
58 investigated. Studies based on the abundance of the foraminiferan *G. bulloides* from the sediment records intimately
59 connected the Arabian Sea upwelling and the ISM (Anderson et al. 2002; Curry et al. 1992; Kroon et al. 1991; Prell
60 and van Campo 1986). Since upwelling advects colder water masses to the surface, sea-surface temperature (SST) is
61 thought to be the most reliable indicator of the coastal upwelling in the Arabian Sea (Prell and Curry 1981) and it has
62 been applied as a traditional upwelling index by various studies. More recently, Godad et al. (2011) reconstructed the
63 SST from planktonic foraminifera and suggested that the peak upwelling season has shifted over the last 22,000
64 years in the western Arabian Sea. A comparison between the foraminifera collected from sediment traps in the
65 western and the eastern Arabian Seas showed that the abundances were significantly correlated to the Monsoon but
66 not to the SST and CO₂ (Naik et al. 2013). Emeis et al. (1995) used SST reconstructed from sediment records and
67 Manghni et al. (1998) processed SST from remote sensing data, while Izumo et al. (2008) combined modelled, in
68 situ and satellite SST data, to derive an upwelling index. In addition, other previous studies have used along-shore
69 upwelling favourable wind-stress as another traditional upwelling index, as originally described by Bakun (1973).
70 Recently, Varela et al. (2015) reported a negative trend in the wind-stress along the Somalia-Oman coast from 1982
71 to 2010 and deCastro et al. (2016) projected a strengthened upwelling along the Somalia coast (very closely located
72 to the Arabian Sea) in the future.

73
74 These upwelling indices have been profusely used but they are not a direct measure of upwelling velocities. It is
75 difficult to monitor vertical velocities over a long time span and therefore other studies have resorted to ocean
76 simulations to study changes in the vertical velocity during upwelling. Recently, Jacox et al. (2014) analysed the
77 vertical velocity from a four-dimensional regional ocean model to investigate the upwelling in the California current
78 system. In the Arabian Sea, Shi et al. (2000) estimated the upwelling velocity off Oman from 1993 to 1995 through
79 the combination of hydrographic and altimetry data. Anderson et al. (1992) employed a simple one-dimensional
80 model to calculate the vertical upwelling velocity off Oman. Rao et al. (2008) used a three-dimensional model to
81 compute the vertical velocity along the west coast of India. Studies applying ocean general circulation models also
82 gave hints on the interaction of upwelling and SST (Ma et al. 2014) and the impact of Kelvin waves at the eastern
83 boundary on the western Arabian Sea upwelling region (Tozuka et al. 2014). However, in terms of modelling
84 applications, a study focused on the western Arabian Sea based on long-term four dimensional upwelling data is not
85 yet available. In this study we fill this gap by employing the direct upwelling velocity data modelled in a high-

86 resolution global ocean simulation over the period 1950 to 2010. We compare the upwelling velocity with traditional
87 upwelling indices (SST and wind-stress) and examine the relationship between the upwelling and the ISM as well as
88 other potential factors that might affect the upwelling. An unexpected finding is that the correlations between the
89 simulated upwelling and three different monsoon indices are low and insignificant, which indicates that over the past
90 61 years the impact of the ISM on the coastal upwelling in the western Arabian Sea could have been weaker than
91 previously thought and that other large-scale atmospheric forcing is a more efficient driver of upwelling in this
92 region.

93

94 **2. Model and Data**

95 The German consortium project STORM is aimed at developing high-resolution global climate change simulations.
96 The ocean model simulation used in this study is hereinafter referred to as the STORM simulation, which is
97 described in von Storch et al. (2012). It is based on the Max-Planck Institute Ocean Model (MPI-OM) and is forced
98 by the 6 hourly National Centers for Environmental Prediction (NCEP) / National Center for Atmospheric Research
99 (NCAR) reanalysis (Kalnay et al. 1996) for the period from 1948 to 2010. The model's original bipolar grid is
100 replaced by a tripolar grid to obtain an isotropic horizontal resolution. Comprising 3602×2394 horizontal grid
101 points in total, the STORM simulation has a horizontal resolution of 0.1° around the equator but coarser towards the
102 poles. The model has 80 levels, separated in the first 200 m by 10 to 15 m.

103

104 Upwelling velocity is derived from the vertical water mass transport in the STORM simulation output. The high
105 spatial resolution of the STORM simulation allows us to capture the upwelling variability on small scales. The
106 chosen upwelling domain (Fig. 1) extends about 90 km offshore along the coast of Yemen and Oman between
107 15.2°N to 22.3°N (Rixen et al. 2000). According to Brock and McClain (1992), we average the upwelling velocity
108 over the upper 200 m of water. We compare the upwelling velocity derived from the STORM simulation with
109 observational SST and wind-stress derived from different sources. SST data are obtained from the Advanced Very
110 High Resolution Radiometer (AVHRR) Pathfinder Version 5.0 (Casey et al. 2010). Wind data are provided by the
111 NCEP/NCAR reanalysis and the Cross-Calibrated Multi-Platform (CCMP) project (Atlas et al. 2011). The area
112 selected for calculation of the wind data time series is the entire ocean domain in Fig. 1. Since different wind datasets
113 are applied and compared here, for the sake of consistency we calculate the wind-stress from the wind datasets

114 instead of using directly the native wind-stress to avoid any bias between the algorithms used to derive the wind-
115 stress by different projects. The along-shore upwelling favourable wind-stress is calculated as:

$$116 \quad \tau_{SW} = \rho \cdot C_D \cdot \sqrt{u^2 + v^2} \cdot (u \cdot \cos\alpha + v \cdot \cos\beta)$$

117 where ρ is the air density which is assumed as 1.22 kg m^{-3} and the drag coefficient C_D is computed using the
118 formulation of Yelland and Taylor (1996); u and v are the zonal (eastward) and meridional (northward) wind speed
119 components respectively; α and β are the angles between the wind speed components and the coastal orientation and
120 in this case both of them are assumed to be 45° because of the orientation of the coast in our study area.

121

122 The monsoon intensity has been defined by different climate indices that capture different aspects of the monsoon
123 variability. Here, we use monsoon indices taken from the literature that are based on low-tropospheric winds over
124 South Asia or Indian precipitation. With this analysis, however, we do not imply that precipitation, for instance, may
125 be a direct driver of Arabian Sea upwelling. In order to estimate the statistical relationship between upwelling and
126 the Monsoon, we employ the wind-based Indian Monsoon index (IMI) defined by Wang and Fan (1999) and the All
127 India Monsoon rainfall index (IMR) from the Indian Institute of Tropical Meteorology (Parthasarathy et al. 1994) as
128 well as the Webster and Yang monsoon index (WYM) defined by Webster and Yang (1992) also defined in terms of
129 wind speed. We calculate the IMI and WYM based on wind speed from NCEP/NCAR reanalysis while the IMR is
130 obtained from station rainfall records in India. In addition, several other meteorological and oceanic variables are
131 also investigated. Surface air temperature (ST) data and sea level pressure (SLP) data from the NCEP/NCAR
132 reanalysis are investigated to provide further understanding. Because of a continuity issue in the STORM simulation
133 output (missing data in year 1949), we limit the study period from 1950 to 2010. The only datasets not covering this
134 whole period are AVHRR SST data (1985-2009), CCMP winds (1988-2010), and the IMR record (1950-2000).

135

136 Since the model has already been globally analysed and assessed (von Storch et al. 2012), we hereby present the
137 information of the modelled mixed layer depth (MLD) and the comparison between the modelled SST and the
138 observational SST from AVHRR. Figure 2 shows that the simulated MLD is shallower along the coast than in the
139 open sea and that the MLD in the upwelling region is slightly deeper during the upwelling season than during the
140 pre- or post- upwelling season, although the mixed layer is clearly deepest during the winter season. In general, the
141 modelled MLD is comparable with the MLD climatology derived by de Boyer Montégut et al. (2004) and that the
142 MLD attains a relative maximum during the upwelling season is a reasonable result as described by Murtugudde et al.

143 (2007). The shallowing of MLD caused by upwelling is counteracted by strong surface turbulent kinetic energy and
144 entrainment cooling, which tend to deepen the MLD. The SSTs from STORM and AVHRR are compared in Fig. 3.
145 In general, the SST from STORM is cooler than that from AVHRR in the upwelling region but they display good
146 correlation ($r=0.51$) and even better correlation in the open sea, which indicates that the model is capable to simulate
147 the observed variabilities. Note that the coastal SST bias between model and the observation may exceed 5°C . This
148 bias is admittedly large, but it is within the SST biases found across the CMIP5 climate models in the coastal
149 upwelling regions in the EBUSs (Richter 2015) and also across the CMIP3 models for the Arabian Sea in the winter
150 season (Marathayil et al. 2013). A recent study based on CMIP5 models also shows cold bias in the Arabian Sea SST
151 during summer (Sayantani et al. 2016). Therefore, the bias detected in the STORM simulation, though large, does
152 not seem to be an outlier among other models. It also indicates that the higher model resolution of STORM
153 compared to the CMIP5 models (10 km versus typically 100 km) is not decisive to reduce the SST bias. The reason
154 for this bias remains, therefore, unexplained and is likely not an artifact of STORM alone, but runs across the whole
155 climate model ensemble. The correct simulation of the mean SST in tropical coastal regions remains a challenge for
156 most global models.

157

158 3. Coastal Upwelling in the Western Arabian Sea

159 Upwelling along the west coast of the Arabian Sea usually starts in May and ends in September (Brock et al. 1991).
160 This is well reproduced in the modelled annual cycle of the upwelling velocity (Fig. 4a), which is converted from the
161 original model output of upward water mass transport. Here, positive values indicate upwelling whereas negative
162 values indicate downwelling. The annual cycle of the upwelling velocity shows that the significant positive values
163 start from May, peak in July and end in September. As one of the traditional upwelling indices, the south-west (SW)
164 wind-stress (Fig. 4b) is in good consistency with the upwelling velocity with a peak in July as well. Another
165 traditional upwelling index is the observed coastal SST. Our modelled SST (Fig. 4c) also reveals good correlation
166 with the upwelling velocity with a lag of approximately one month. This lag can be explained by the time needed to
167 transport deeper and cooler water to the surface and it matches a similar lag between wind-stress and SST found in
168 the observations by Rixen et al. (2000). It is obvious that the ranges of these three annual cycles tend to get larger
169 when the upwelling becomes stronger. Therefore, unless indicated otherwise, when referring to summer period in the
170 following analyses, we average the values from June to August (JJA) for all the variables except SST which is
171 selected from July to September (JAS) due to the previously mentioned lag time.

172

173 The selected coastal upwelling band (Fig. 1) is very narrow but the high resolution of the STORM simulation means
174 it can reproduce the spatial patterns of the upwelling in the study domain. The simulated mean upwelling velocity
175 averaged over this domain in JJA from 1950 to 2010 is about 1.8 m day^{-1} . Upwelling is less intense in the area north
176 to Ras Madrasah and much stronger at regions near the capes such as Sawqirah and Nishtun where the velocity can
177 exceed 6 m day^{-1} at some model grid-cells. These velocities appear compatible with the estimation of the high range
178 of the vertical velocity estimated by Shi et al. (2000) from altimetry data of about 2.5 m day^{-1} , considering the
179 coarser resolution of the satellite data relative to our ocean model. In addition, we conduct an estimation of the
180 upwelling velocity induced by the Ekman transport to verify our modelled upwelling velocity. We use the simple
181 equations described by Rykaczewski and Checkley (2008) to calculate the coastal upwelling velocity caused by
182 Ekman transport. The estimated mean upwelling velocity induced by Ekman transport is about 4 m day^{-1} , which is
183 within the same order of magnitude of the modelled upwelling velocity. This shows that the model produces
184 reasonable result because the upwelling along the western coast of the Arabian Sea is caused by the SW wind-stress
185 through Ekman transport.

186

187 **4. Upwelling Variability**

188 For an understanding about the spatial variability of upwelling in this region, we calculate the standard deviation
189 (STD) and perform an Empirical Orthogonal Function (EOF) analysis (von Storch and Zwiers 2001) of the
190 upwelling velocity. The STD map (Fig. 5a) shows that higher mean intensity of upwelling comes with higher
191 variance, that is, in the regions where the upwelling velocity is higher (Fig. 1), the STD of the upwelling velocity is
192 also higher. The mean STD over the entire study area is about 0.7 m day^{-1} , which is nearly half of the mean
193 upwelling velocity.

194

195 The EOF analysis is a method that identifies the main spatial patterns of coherent variation. This method identifies
196 spatial patterns that describe most of the data variance and that display uncorrelated temporal evolutions. In our case,
197 the leading mode arising from the EOF analysis (Fig. 5b) reveals apparent coastal-offshore pattern and accounts for
198 only 10% of the total variance and cannot be separated from the second mode according to the North's rule (North et
199 al. 1982). In addition, the first principal component (PC1) time series is highly consistent with the spatially averaged
200 upwelling velocity ($r = 0.82$, shown in Fig. 6b), which indicates that the only 10% of the variance evolves coherently

201 and contributes to the spatially averaged upwelling, mostly along the coastal regions, while the remaining 90% of
202 variance over the whole region is affected by local processes. Therefore, the high STD with respect to the mean
203 value of upwelling velocity and the low explained variance from the leading mode of the EOF analysis together
204 indicate that the upwelling in this region is spatially very heterogeneous and must be affected by various and
205 complex processes. A dominating influence by one single mechanism, for instance, the Monsoon, would give rise to
206 a leading pattern describing a much higher portion of variance. This spatially heterogeneous variability of simulated
207 upwelling confirms the findings derived from surface chlorophyll concentrations as an indirect indicator of
208 upwelling (Piontkovski and Al-Jufaili 2013) and modelling results with a high-resolution regional model (L'Hégaret
209 et al. 2015). The high mesoscale variability mainly arises in that model simulation by the generation of Rossby
210 waves along the Indian coast, which may affect the upper 1000 meters in that model. Although the detailed analysis
211 of the mesoscale variability in the STORM simulation is outside the scope of the present study, it is reasonable to
212 assume that the high spatial variability in STORM in this region may be generated by similar mechanisms.

213

214 Beside the spatial variability, we also look at the temporal variability of upwelling. The primary attempt is to
215 investigate any trend in the upwelling time series referring to the Bakun hypothesis (Bakun 1990) that coastal
216 upwelling should intensify as a consequence of anthropogenic greenhouse gas forcing at global scale. This
217 hypothesis has been contested and recent studies have shown both supportive (Sydesman et al. 2014) and
218 unsupportive (Tim et al. 2015) results regarding the long term trends. In our study, however, only a nonsignificant
219 positive trend (p -value = 0.1954) is revealed over the last 61 years (Fig. 6a). This trend has a slope of $0.0035 \text{ m day}^{-1}$
220 per year, which over the 60 years of simulation would imply an increase of about only 12% of the mean coastal
221 upwelling velocity in the domain. Hence, although the trend may physically exist, it cannot be distinguished from a
222 spurious trend caused by stochastic variability. In addition, there are also no significant trends that can be identified
223 in our SST and wind data, comparing to the results of previous studies based on SST (Liao et al. 2015) and wind
224 (Varela et al. 2015). Thus we do not further discuss about trends in the following text. However, although the trend is
225 not statistically significant due to its magnitude relative to the interannual variability and to the short length of the
226 simulation, we detrend all variables in the subsequent correlation analysis to avoid that these correlations maybe
227 contaminated by long-term trends that might not necessarily be physically related.

228

229 We compare the time series of the upwelling velocity with SST and the SW wind-stress to validate our modelled
230 upwelling data since they are generally applied as coastal upwelling indices (Fig. 6b, 6c, and 6d). The time series of
231 upwelling and SST are generated using data within the upwelling domain shown in Fig. 1 while the SW wind-stress
232 time series contains data from a broader area due to the low resolution of the wind data. In Fig. 6b, the upwelling
233 velocity and the PC1 time series from the EOF analysis are compared with SST from the STORM simulation and the
234 SW wind-stress from the NCEP/NCAR reanalysis. The comparison reveals that the upwelling is strongly negatively
235 correlated to the SST ($r = -0.83$) as well as positively to the SW wind-stress ($r = 0.73$). In addition, we also compared
236 our upwelling time series with the indices of the Indian Ocean Basin mode (IOB), Indian Ocean Dipole mode (IOD)
237 and El Niño/Southern Oscillation (ENSO) but no significant correlations could be found. Thus, the effect of the IOB,
238 the IOD and ENSO are very limited on the simulated Arabian Sea upwelling.

239

240 Note that the high correlation between the SST and the upwelling does not necessarily mean that the upwelling
241 directly dominates the SST variability through vertical advection. SST variability could additionally be modulated by
242 the surface energy fluxes, which in turn are also modulated by the wind through latent and sensible heat. We
243 calculate the latent and sensible heat fluxes from the NCEP/NCAR reanalysis in our study area for the upwelling
244 season and find that the upwelling and the SW wind-stress are correlated with the surface heat fluxes at a similar
245 level. The regression coefficients between the SW wind-stress and the heat fluxes show that the upwelling favourable
246 (southerly) wind is linked to increased latent heat loss from the ocean surface and also to stronger sensible heat into
247 the ocean. The cooling effect, as estimated from the regression coefficient, is about three times larger than the
248 warming effect, so that the surface heat fluxes also contribute to cool the ocean surface. However, it is difficult to
249 separate and determine whether the upwelling or the SW wind-stress plays a more important role in affecting the
250 SST variability, since upwelling itself also modulates the surface energy fluxes as it modifies the SSTs. A
251 quantitative estimation of the sole effect of vertical advection on SSTs requires the computation of heat transport by
252 the seasonal mean circulation and by the mesoscale variations, but it lies outside the scope of this study.

253

254 Since the SST and the upwelling velocity are both outputs from the STORM simulation and the NCEP wind data is
255 the forcing used in this simulation, these high correlations are to some extent expected and less persuasive without
256 the support from extra sources. Therefore, we employ wind data from CCMP and SST data from AVHRR (Fig. 6c
257 and 6d) as they are independent of the STORM simulation, although their temporal coverages are shorter than

258 STORM. The correlations between simulated upwelling and these two observed variables are lower (wind $r = 0.49$
259 and SST $r = -0.45$) but they remain significant at the 95% level or higher as in the previous analysis.

260

261 These analyses suggest that the results of the STORM simulation are rather consistent with the observations, and
262 more importantly, the upwelling velocity derived from the STORM simulation is significantly consistent with the
263 traditional upwelling indices, and so it is reasonable to use it for further studies such as investigating the responsible
264 processes affecting the upwelling.

265

266 5. Link to the Indian Monsoon

267 As it has been suggested that the ISM is strongly linked to upwelling in the western Arabian Sea, we examine the
268 relationship between the simulated upwelling velocity and the Indian Monsoon index (IMI), all India Monsoon
269 rainfall index (IMR) and Webster and Yang monsoon index (WYM) in Fig. 7. Note that the IMR is computed by
270 integrating the total rainfall records of numerous stations from June to September (JJAS) and the data source is not
271 available for single months. Thus, we calculate the IMI and the WYM and also the upwelling velocity for the
272 extended JJAS season. All of the three comparisons show low and negative correlations in the northern part of the
273 domain. Higher correlations are found along the coast and to the south especially the regions with more intense
274 upwelling and larger variance, but only a few areas show correlations that pass the significance level of 95%.

275

276 One interesting finding is that the correlation patterns obtained with IMI (Fig. 7a) and with IMR (Fig. 7b) are quite
277 similar. The correlations start to become positive at Ras Madrasah and the highest and the most significant
278 correlations are located between Sawqirah and Nishtun. In addition, the areas with stronger correlation and higher
279 significance strongly overlap. Considering the fact that IMI is calculated from the difference of two wind-speed
280 fields whereas IMR is obtained from the rainfall records, this similarity indicates that the upwelling has very similar
281 link to the variation of the wind-speed and the rainfall. These links likely arise through the large-scale patterns that
282 drive climate anomalies in this region, but do not imply that rainfall is a dynamical driver of upwelling.

283

284 The WYM correlation pattern (Fig. 7c) shows a different structure, with positive values that begin to appear from the
285 north of Ras Madrasah and the strongest and most significant correlation lies between Ras Madrasah and Nishtun.

286 Although WYM is also calculated from the difference of two wind-speed fields, the strategies for selecting the fields

287 are not the same and this is causing the difference between the patterns of IMI and WYM. Additionally, the two
288 wind-based indices, IMI and WYM, capture reduced correlations near Salalah but IMR does not.

289
290 The spatially heterogeneous correlations indicate that the upwelling velocities in different regions along the western
291 Arabian Sea coast are sensitive to different forcing mechanisms. Furthermore, it is surprising that the overall
292 correlations of upwelling with all monsoon indices are rather low and insignificant. With regards to the STORM
293 simulation, therefore, this analysis indicates that the impact of the ISM on western Arabian Sea coastal upwelling is
294 weak and limited to areas with upwelling of higher intensity (Fig. 1) and variability (Fig. 5a).

295

296 **6. Relationship with Sea Level Pressure and Surface Air Temperature**

297 In order to determine the other possible large-scale atmospheric patterns that could influence the variability of
298 upwelling, we study the SLP (Fig. 8) and ST (Fig. 9) field in the broader Asian (Indian Ocean) region. SLP and ST
299 are both reported to be highly associated with the ISM although their relationships with upwelling have not been
300 directly studied yet. Figure 8a shows the mean summer SLP, with the low pressure zone over the Arabian Sea and
301 Indian subcontinent is surrounded by the high pressure zones. The gradient between the high pressure and the low
302 pressure zones contributes to the formation of the ISM. The leading mode of the EOF analysis of SLP (Fig. 8b),
303 which describes a large part of the SLP variability (61%) indicates that the variation of SLP over the ocean and the
304 continent tend to be in phase, which means the variability of the ocean-continent SLP gradient is not large. The first
305 principal component from the EOF analysis of upwelling, PC1 will be used as an index of upwelling in the following
306 analyses. In Fig. 8c we correlate the PC1 with the SLP field to gain an insight between the relationship of the
307 upwelling variability and the SLP field. The pattern of correlations displays the positive correlations over the
308 Arabian Sea and the negative correlations over the Himalayas. We also take one of the monsoon indices, the IMI, to
309 correlate with the SLP field (Fig. 8d). With negative correlations over the Arabian Sea and positive correlations over
310 Himalayas, the IMI shows different connections with the SLP field compared to the correlation pattern derived from
311 the upwelling PC1. Thus, the upwelling PC1 is well correlated to the SW wind generated by the gradient of the SLP
312 field, which is also found in Section 4. However, in contrast to the upwelling PC1, the IMI is not linked to this
313 gradient, which might explain the poor correlation between upwelling and the monsoon indices found in Section 5.

314

315 Since the seasonality of the Indian Monsoon is thought to be mainly driven by the temperature contrasts between
316 land and Indian Ocean, the same analysis is performed on the ST field. The JJA mean ST map (Fig. 9a) shows that
317 the lower temperature dominates the Tibetan Plateau, where also the high SLP is located (Fig. 8a). The leading EOF
318 mode of ST (Fig. 9b) shows that the temperature in northern India and temperature in central India tend to be
319 anticorrelated. The correlations between ST and upwelling PC1 (Fig. 9c) and the correlation between ST and IMI
320 (Fig. 9d) also reveal correlations of opposite signs in these two regions. Thus, according to the EOF analysis, in the
321 years in which the central Indian is colder than northern India, the upwelling and the ISM tend to be more intense.
322 The upwelling PC1 has a similar link to the ST as the IMI although the IMI has more significant correlations. Thus,
323 the relationships between upwelling and temperature and the ISM and temperature are much more similar than in the
324 case of SLP.

325

326 Therefore, we have found that upwelling and Monsoon are connected to different SLP patterns, but both are linked to
327 similar temperature patterns over this part of Asia. This can explain why upwelling may have been considered in the
328 past to be closely related to the Monsoon. The physical explanation that we suggest here is that the SLP pattern
329 related to upwelling is physically linked to stronger westerly winds over the Arabian Sea and a stronger advection of
330 maritime air masses from the Arabian Sea into the Indian subcontinent, causing lower temperatures there. In contrast,
331 as it is well known, the Monsoon is connected to higher rainfall over India, with increased cloudiness, less solar
332 radiation, and therefore also lowers temperatures over the Indian subcontinent. Thus, the physical reasons for the
333 lower temperatures in India in years with stronger Monsoon and in years with stronger upwelling are physically
334 different. The same physical reasoning can be applied in years with weaker upwelling or weaker Monsoon,
335 respectively.

336

337 7. Discussion and Conclusions

338 In this study we use the upwelling simulated by a high-resolution global ocean simulation over the past decades to
339 identify the atmospheric drivers of upwelling along the west coast of the Arabian Sea. With significantly improved
340 spatial resolution, our modelled upwelling velocity presents consistent annual cycle with the traditional upwelling
341 indices.

342

343 One limitation of our study that has to be borne in mind is the degree of realism of the ocean model used. Another
344 possible limitation is the realism of the atmospheric forcing (NCEP/NCAR meteorological reanalysis) used to drive
345 the ocean model. It is difficult to validate the simulated upwelling against direct observations of vertical velocities,
346 and thus we have to rely on indirect analysis. Here, we showed that the link between simulated upwelling and SSTs,
347 and the correlation between simulated upwelling and independent wind-stress data suggest a reasonable degree of
348 realism of the ocean simulation. Also, the annual cycle of the depth of the mixed layer and the spatial heterogeneity
349 of upwelling is compatible with the limited available information from observations.

350

351 One conclusion of our study is that in general, no significant long-term trend is detected in the upwelling time series,
352 although this may be due to the short length of the simulation and the small magnitude of the possible long-term
353 trend relative to the interannual variations.

354

355 The upwelling intensity and variability are found to be higher along the southern coast than along the northern coast
356 of Oman. This result suggests that upwelling along the southern coast is more intense. In addition, the southern coast
357 is also the region where upwelling is most significantly connected to the ISM but the correlation between them is not
358 as high as expected from previous studies. Therefore, this simulation does not reveal a strong impact of the Indian
359 Monsoon on the western Arabian Sea coastal upwelling.

360

361 This low correlation points to other processes that might contribute to the upwelling variability. Both SLP and ST are
362 considered and are compared with the upwelling PC1. The comparisons indicate that the upwelling is strongly
363 affected by the SLP gradient between the Himalayas and the Arabian Sea and is also linked to the ST gradient
364 between northern and central India. These two gradients, however, are also connected to the Monsoon (Feng and Hu
365 2005; Krishnamurthy and Ajayamohan 2010) so caution should be taken when distinguishing the sources that
366 influence the upwelling. On one hand, the upwelling is weakly correlated to the ISM but significantly correlated to
367 the SLP and the ST gradients; on the other hand, both of the SLP and the ST gradients are associated with the ISM.
368 The contrast and the consistency of the relationship between upwelling PC1 and IMI in their correlations with SLP
369 and ST indicate that the link between Monsoon and ST and between upwelling and ST display similar spatial
370 structures, whereas in the case of SLP the correlation patterns are quite different. The physical explanation is that the
371 SLP pattern that drives upwelling in the Arabian Sea is statistically linked to a similar temperature pattern over India

372 that also tend to appear with the Monsoon. The physical connections are, however, different. Whereas the SLP
373 pattern related to upwelling advects cold temperatures from the Arabian Sea into India, the Monsoon is linked to
374 lower temperatures there likely due to higher rainfall and cloudiness.

375

376 The lack of long-term observational data restricts the validation of the results and the data from satellite ocean-colour
377 observations are heavily blocked during the upwelling season in the Arabian Sea. Methods such as the one described
378 by Banzon et al. (2004) will help to recover the gaps in the satellite data and thus the recovered data might be
379 possible to further inspect the results in this study.

380

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496

497 **Figure captions**

498 **Fig.1** Summer mean upwelling velocity (m day^{-1}) from 1950 to 2010. The coastal region surrounded by the red line
499 shows the study area

500

501 **Fig.2** (a) Summer mean mixed-layer depth (MLD) (m) from 1950 to 2010. (b) Annual cycle of MLD (m) in the
502 study area. (c) Time series of summer mean MLD (m) in the study area (1950-2010)

503

504 **Fig.3** (a) Summer mean SST ($^{\circ}\text{C}$) from STORM. (b) Summer mean SST ($^{\circ}\text{C}$) from AVHRR. (c) Summer mean SST
505 difference ($^{\circ}\text{C}$) between STORM and AVHRR. (d) Summer mean SST correlation (r) between STORM and AVHRR.
506 Areas within the green contours are statistically significant at the 95% level or higher. The time period is chosen
507 from 1985 to 2009 to be consistent with AVHRR. The plot in (d) shows the 25-year time series of the summer mean
508 SSTs ($^{\circ}\text{C}$) from STORM and AVHRR in the study area

509

510 **Fig.4** Annual cycle of (a) upwelling velocity (m day^{-1}), (b) SW wind-stress (N m^{-2}) and (c) SST ($^{\circ}\text{C}$) averaged for the
511 study area. Colour shaded areas are the ranges of the annual cycles and grey shaded months are the study periods

512 selected for each variable

513

514 **Fig.5** (a) Standard deviation of the summer mean upwelling velocity (STD) (m day^{-1}) from 1950 to 2010. (b)

515 Leading mode of the EOF analysis of the summer mean upwelling velocity which accounts for only 10% of the

516 variance

517

518 **Fig.6** (a) Time series of summer mean upwelling velocity (m day^{-1}) and its long term trend from 1950 to 2010. (b)

519 Time series comparison of summer mean upwelling velocity, upwelling PC1, SW wind-stress from NCEP and SST

520 from STORM (1950-2010). (c) Time series of summer mean upwelling velocity and SW wind-stress from CCMP

521 (1988-2010). (d) Time series of summer mean upwelling velocity and SST from AVHRR (1985-2009). All the time

522 series are calculated by averaging the values within the study area and the ones in (b), (c) and (d) are detrended and

523 normalized for their spanning time periods

524

525 **Fig.7** Correlations (r) between summer mean upwelling velocity and several Monsoon indices: (a) IMI, (b) IMR as

526 well as (c) WYM indices. Green contours encompass the areas where correlations are significant at the 95% level or

527 higher. The plots to the right of each map show the zonal mean correlation coefficient between each monsoon index

528 and the summer mean upwelling velocity averaged within the study area. The upper dashed lines indicate the general

529 starting points of the positive correlations and between the middle and the lower dashed lines are the areas where the

530 correlations are the highest

531

532 **Fig.8** (a) Summer mean SLP (hPa) from 1950 to 2010. (b) Leading mode of the EOF analysis on the summer mean

533 SLP which accounts for 61% of the variance. (c) Correlation (r) between upwelling PC1 and summer mean SLP. (d)

534 Correlation between IMI and summer mean SLP. The green contours delimit the areas where the correlations are

535 significant at the 95% level or higher

536

537 **Fig.9** (a) Summer mean ST ($^{\circ}\text{C}$) from 1950 to 2010. (b) Leading mode of the EOF analysis on the summer mean ST

538 which accounts for 31% of the variance. (c) Correlation (r) between upwelling PC1 and summer mean surface

539 temperature (ST). (d) Correlation between IMI and summer mean ST. The green contours delimit the areas where the

540 correlations are significant at the 95% level or higher

541

Figure 1

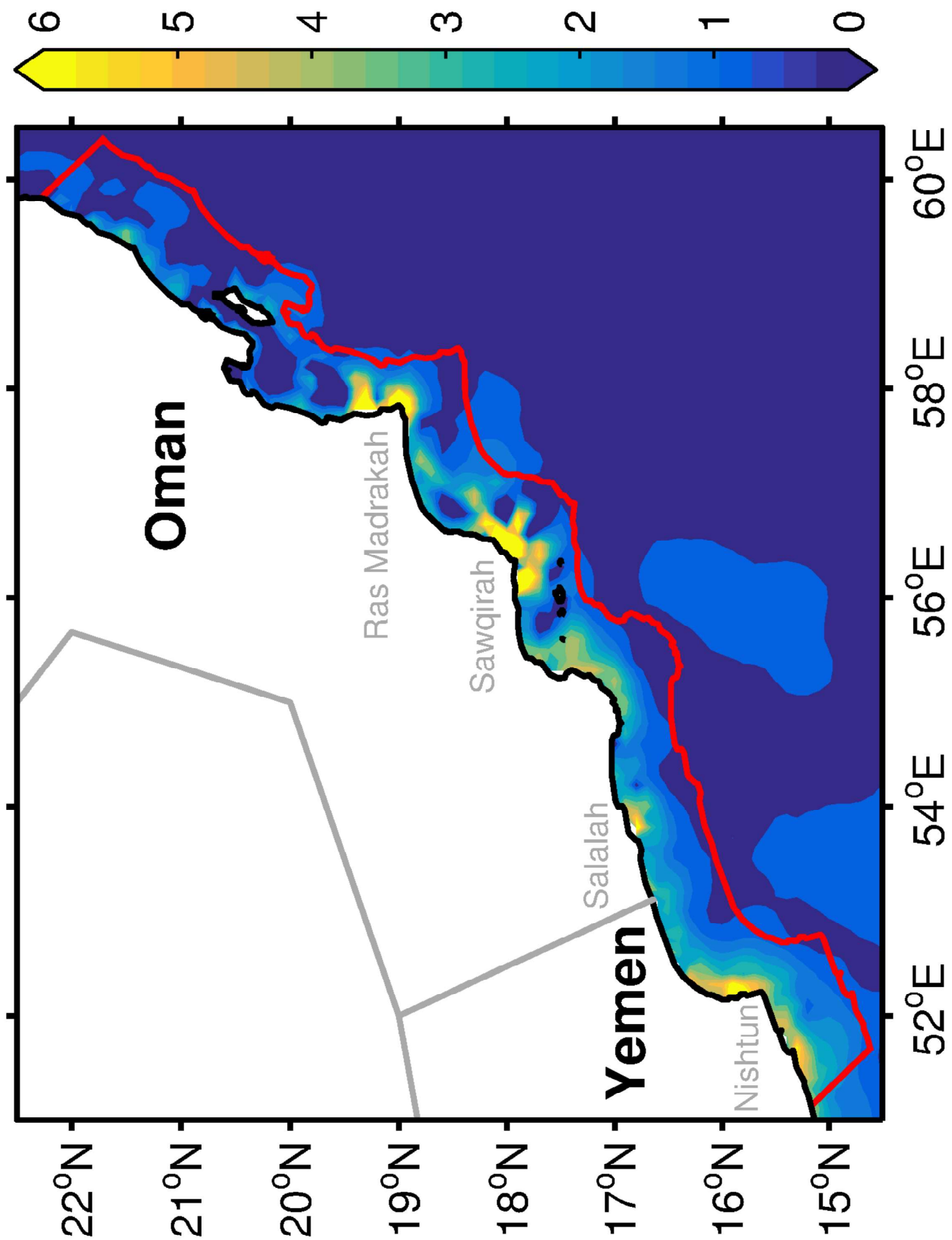


Figure 2

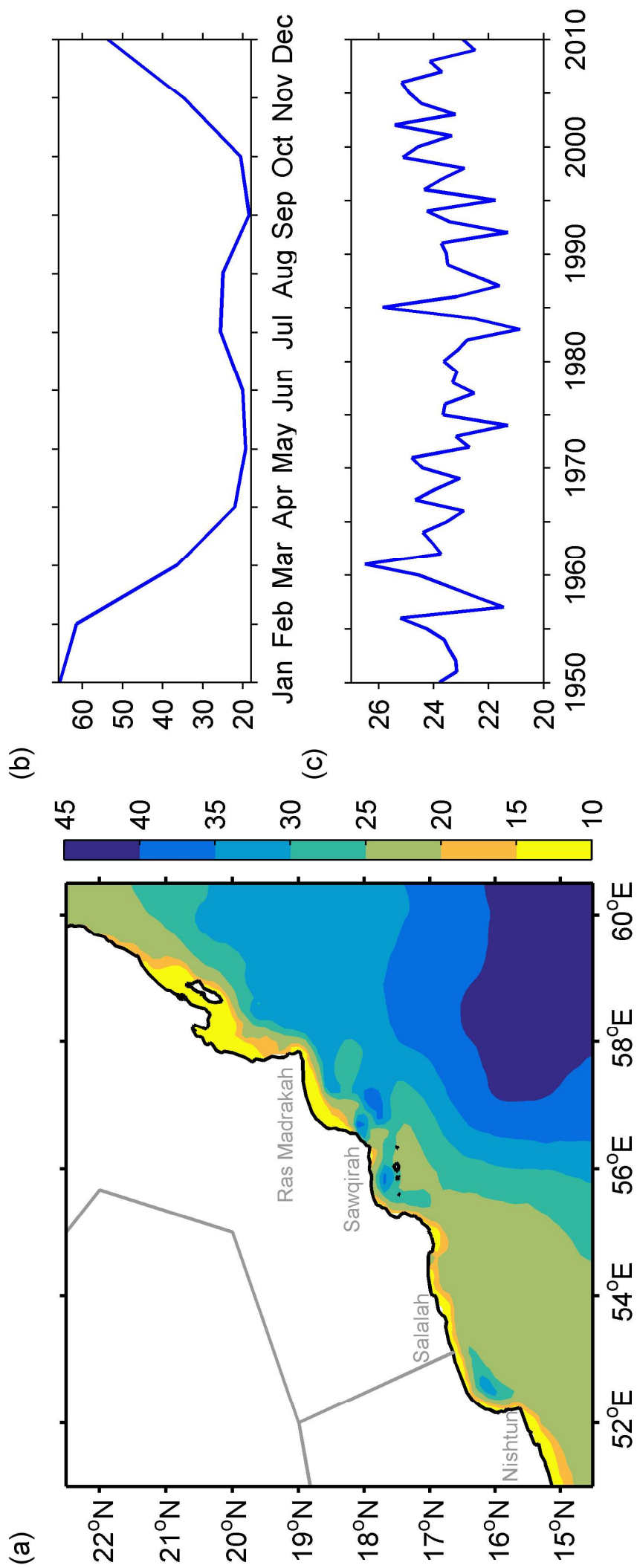


Figure 3

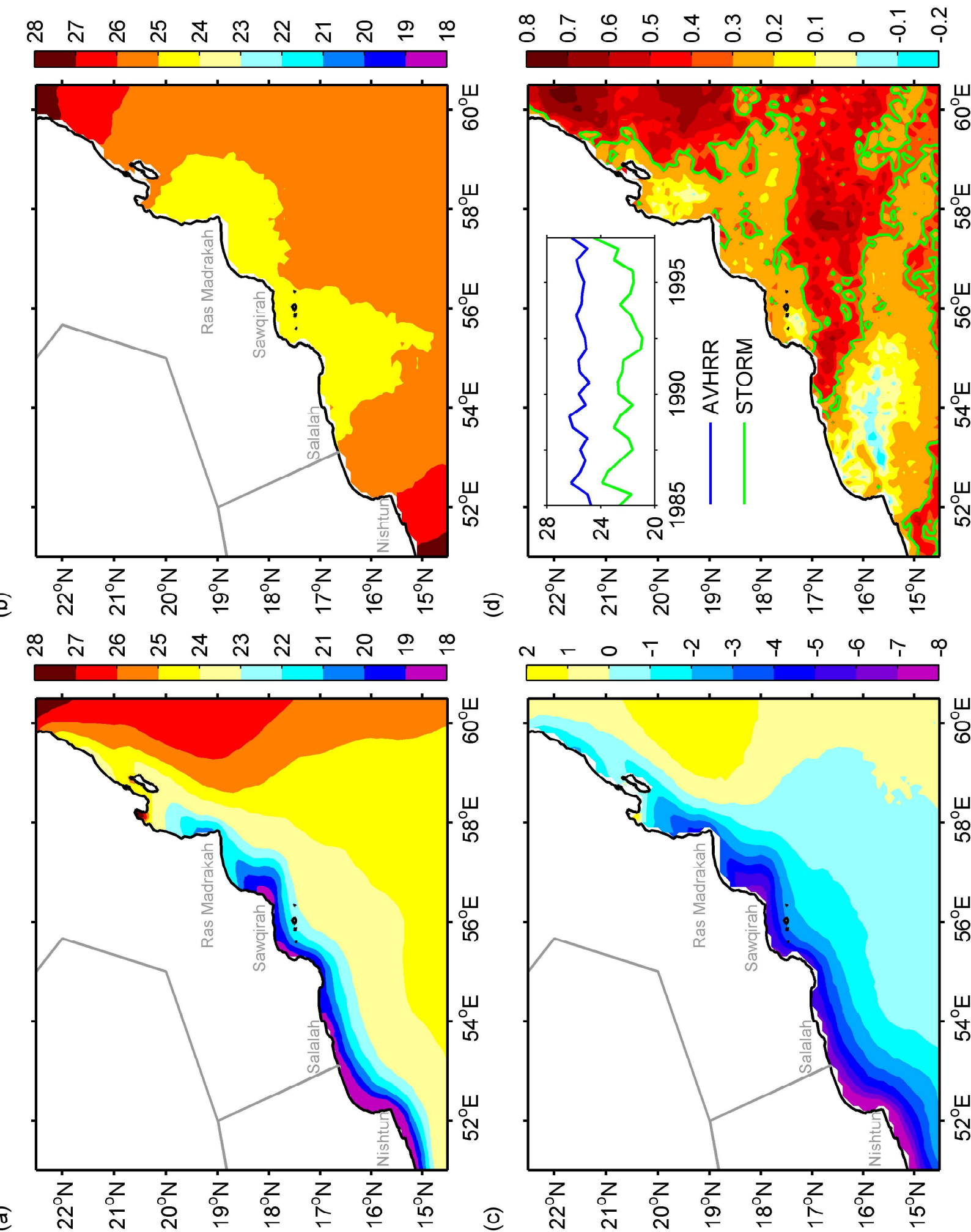
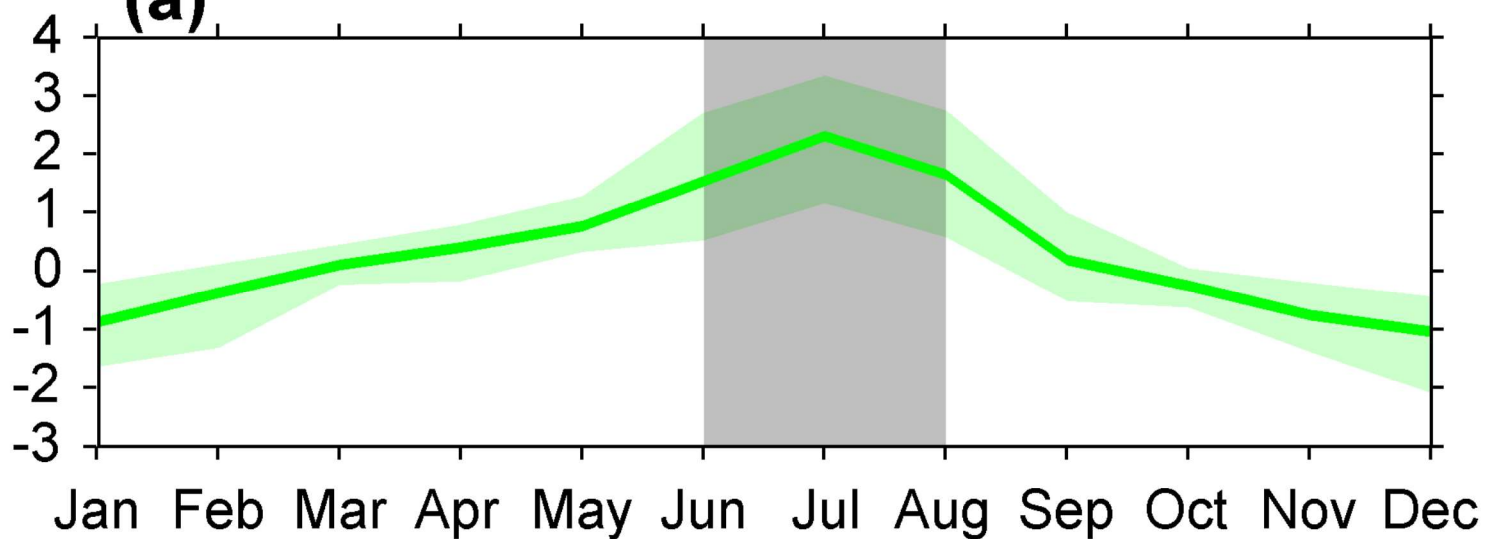
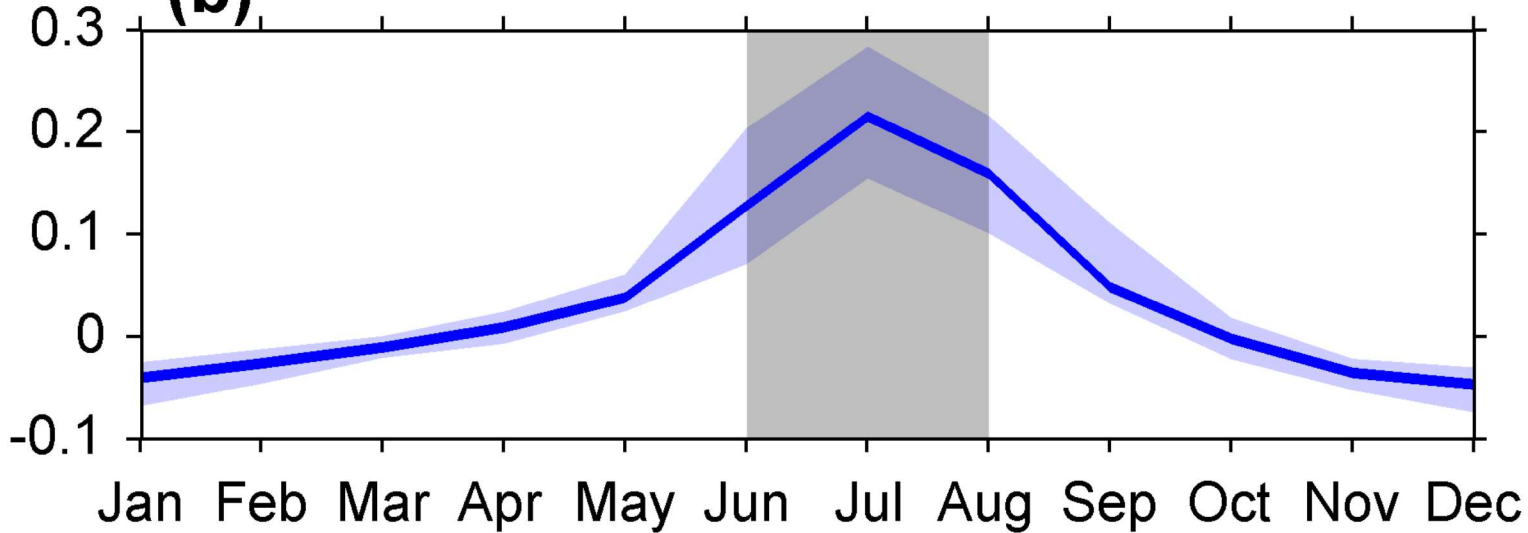


Figure 4

(a)



(b)



(c)

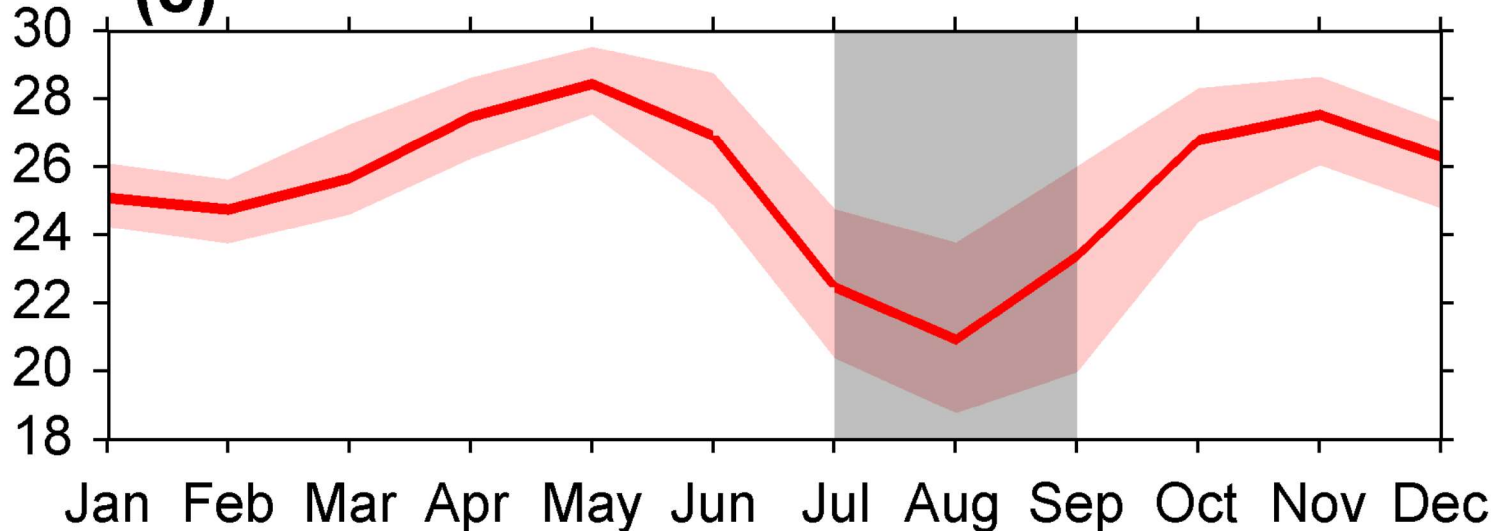


Figure 5

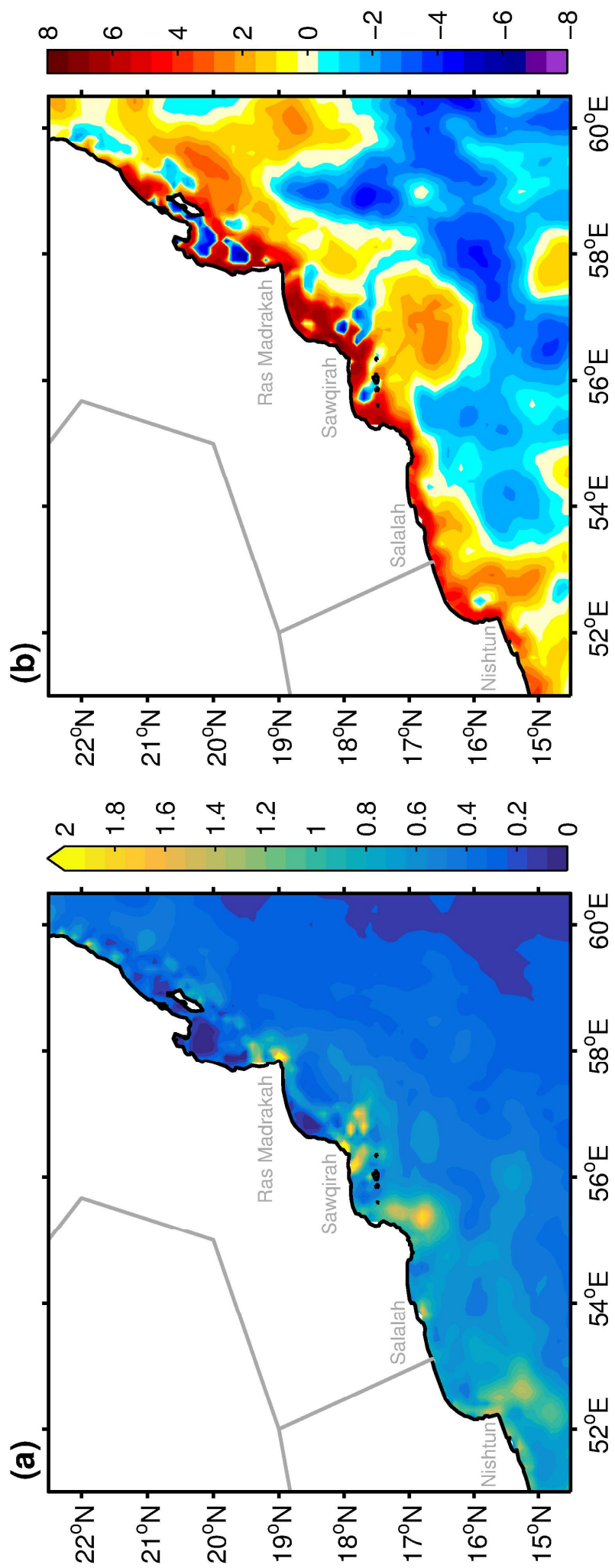


Figure 6

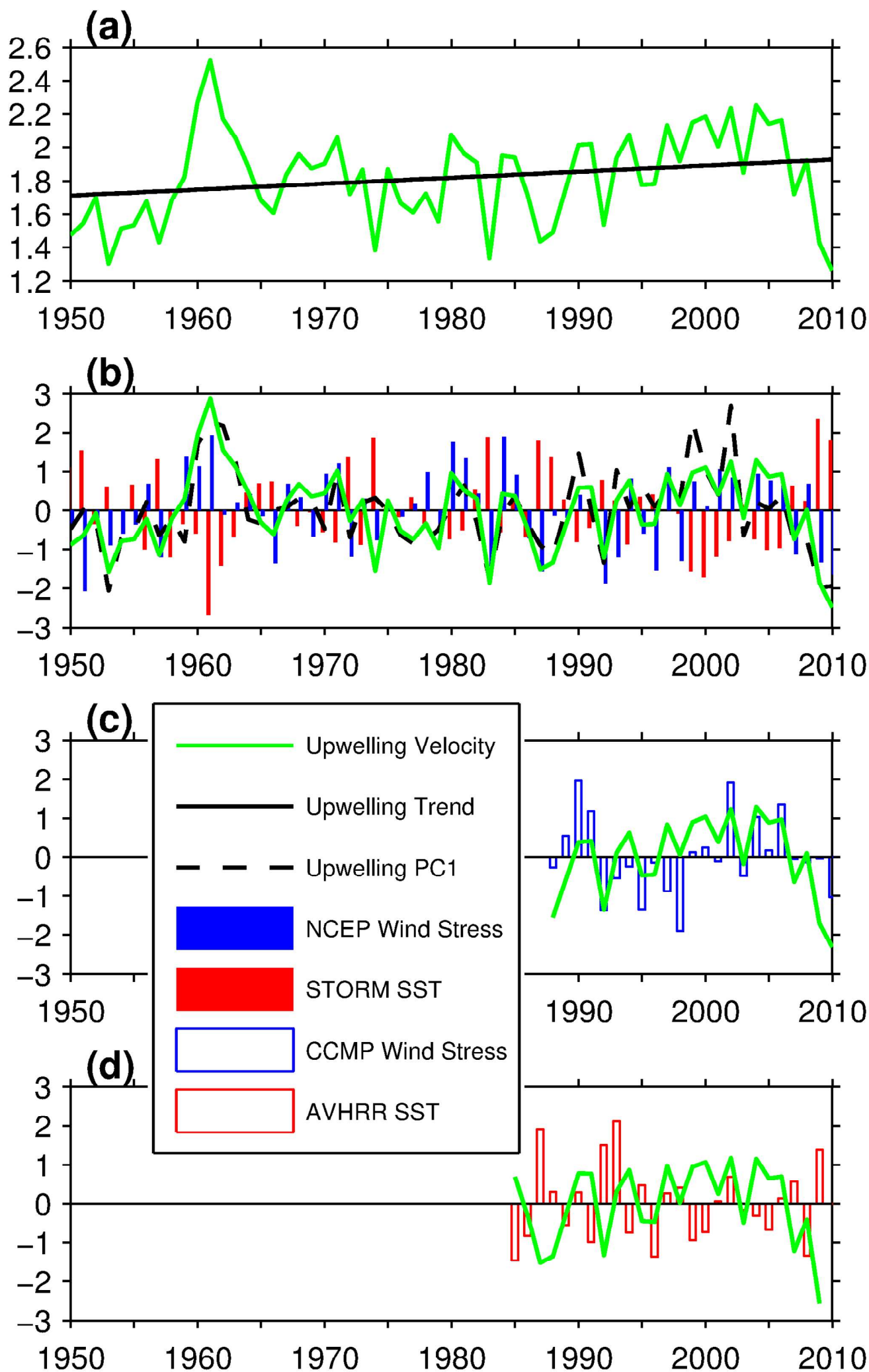


Figure 7

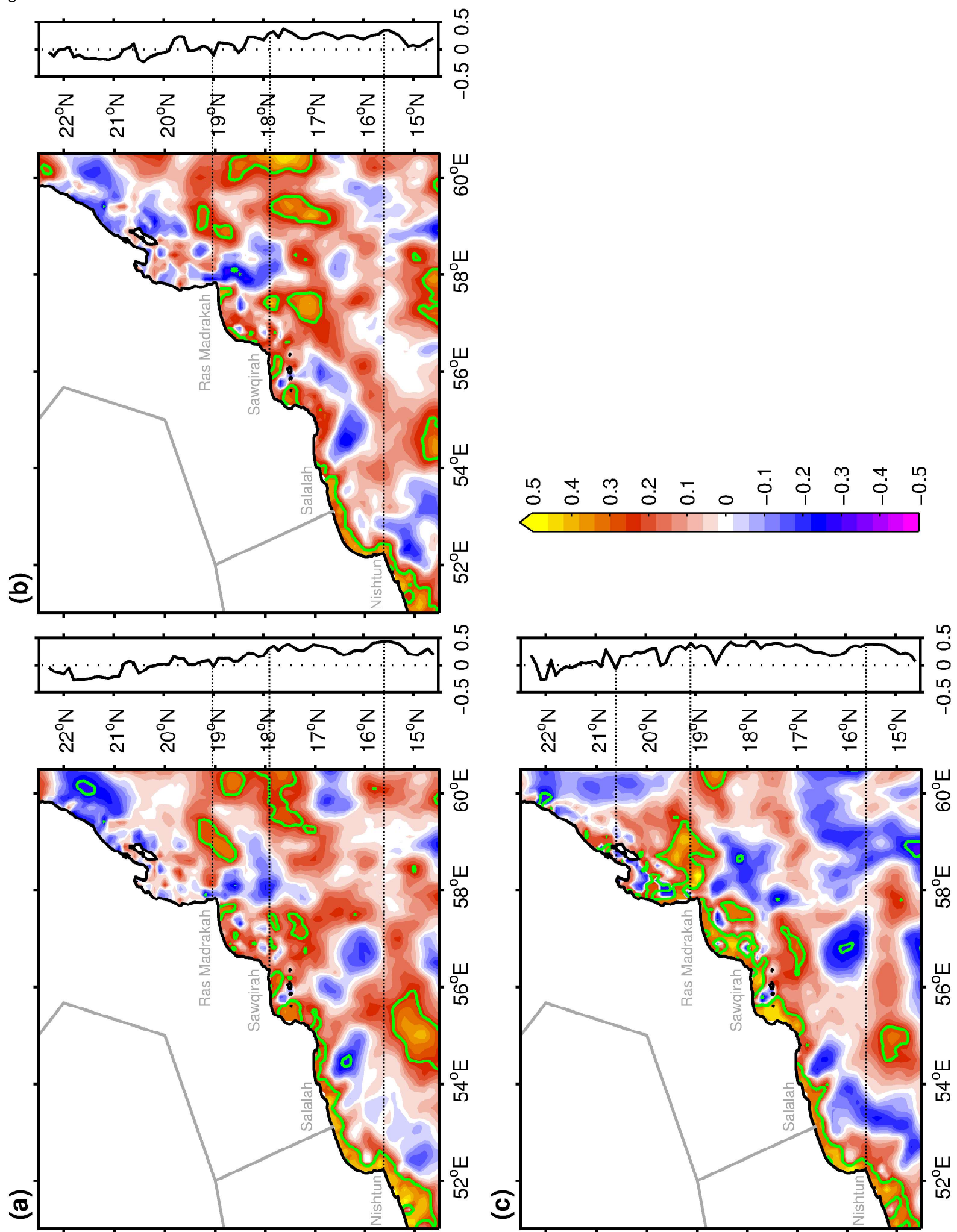


Figure 8

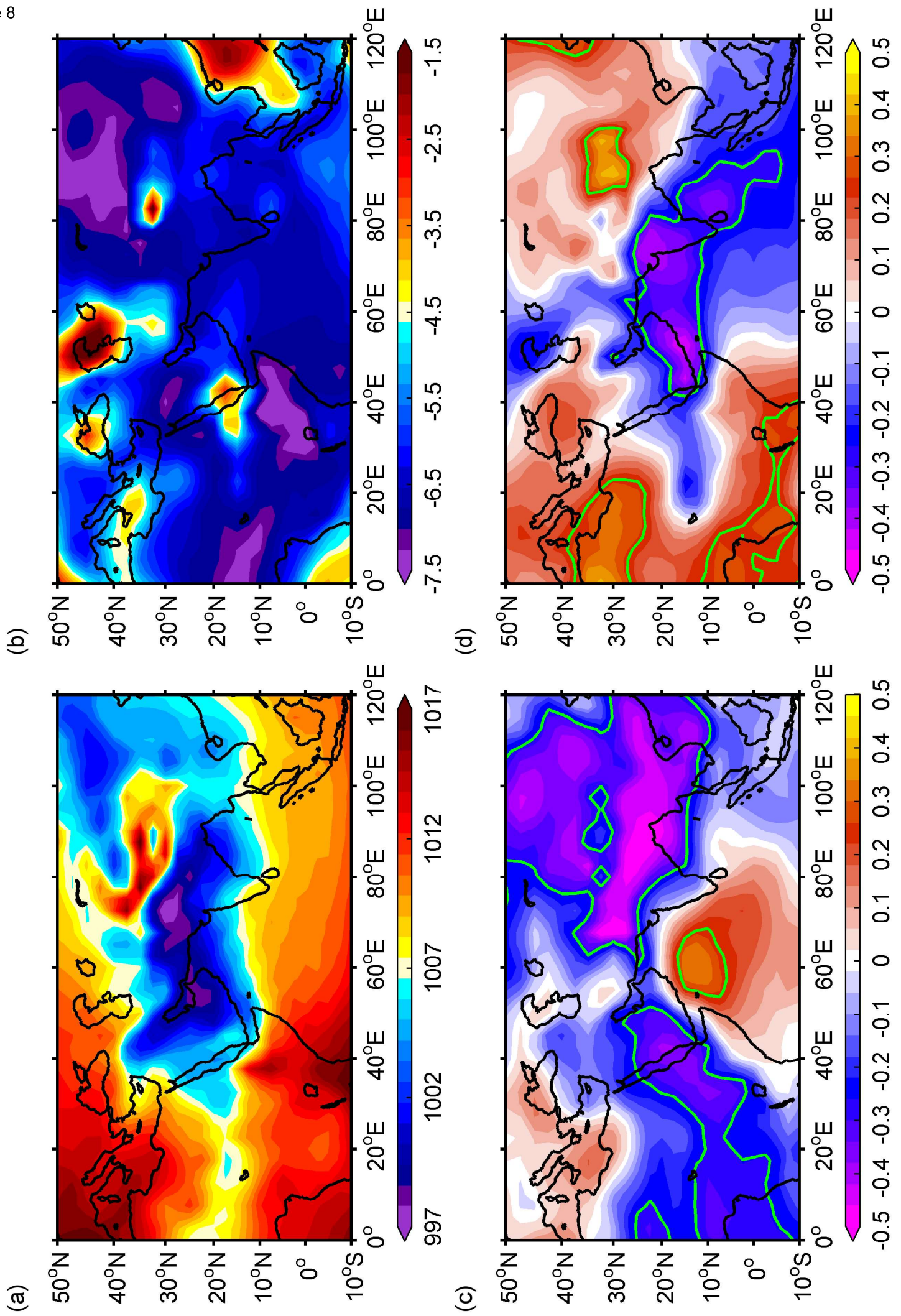


Figure 9

